



AFCARS 2020

Technical Bulletin 20: Data Elements for Out-of-Home Care & Adoption and Guardianship Assistance Data Files

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Introduction

Background

The Department of Health and Human Services (HHS), Administration for Children and Families (ACF), Children's Bureau is responsible for the implementation and management of the Adoption and Foster Care Analysis and Reporting System (AFCARS). State and Tribal title IV-E agencies are required to report AFCARS case-level information on all children in foster care and children who have been adopted with title IV-E agency involvement (per §479 of the Social Security Act). The regulations were updated via the final rule published in May 2020 ([85 FR 28410](#)). Title IV-E agencies have until October 1, 2022 to prepare their data systems to collect and report AFCARS 2020 data. The first submission of AFCARS 2020 is due May 15, 2023.

There are two six-month report periods based on the federal fiscal year: October 1 to March 31 (A submission) and April 1 to September 30 (B submission). The agency must submit the Out-of-Home Care and Adoption and Guardianship Assistance Data Files to the Children's Bureau within 45 days of the end of the report period (May 15 and November 14). If the reporting deadline falls on a weekend, the agency has until the following Monday to submit the data file.

AFCARS Data Uses

AFCARS was established to provide data that would assist in policy development and program management. Policymakers at the federal, Tribal, and state levels use AFCARS to assess the number of children in foster care, the reasons they enter and exit care, and how to prevent their unnecessary placement in foster care. Specifically, the data include information about children who enter foster care, their entries and exits, placement details, and foster/adoptive parent information.

The data enable the Children's Bureau to administer the federal title IV-E foster care and adoption assistance programs more effectively. The Children's Bureau and ACF use these data for a number of purposes, including:

- responding to Congressional requests for current data on children in foster care or those who have been adopted;
- responding to questions and requests from other Federal departments and agencies, including the General Accounting Office (GAO), the Office of Management and Budget (OMB), the Department of Health and Human Services' Office of Inspector General (OIG), national advocacy organizations, states, Tribes, and other interested organizations;
- developing short and long-term budget projections;
- developing trend analyses and short and long-term planning;
- targeting areas for greater or potential technical assistance efforts, for discretionary service grants, research and evaluation, and regulatory change; and
- determining and assessing outcomes for children and families.

Additionally, the AFCARS data are used specifically in the:

- Adoption and Legal Guardianship Incentive Payments Program (ALGIPP);
- Child Welfare Outcomes Report;

- Child and Family Services Reviews (CFSRs);
- Title IV-E Eligibility Reviews; and
- Allotment of funds in the Chafee Foster Care Independence Program (CFCIP).

About the Technical Bulletin

This technical bulletin gives reporting instructions and provides examples for the data elements of the AFCARS 2020 Out-of-Home Care and Adoption and Guardianship Assistance Files. The regulation for each element is shown in a blue box. Following the regulation language, the Children’s Bureau provides explanatory text, examples, differences between AFCARS 1993 and AFCARS 2020, and data reporting standards.

The regulation made some overall changes to the information that title IV-E agencies must report as a part of AFCARS 1993:

- The reporting population for the out-of-home care data file was expanded to include those in out-of-home care who are over age seventeen, regardless of the funding source.
- Reporting requirements changed from only reporting the most recent information about a child’s removals, permanency plans, and placements to reporting all the child’s removals, permanency plans, and living arrangements¹.
- The file also allows for multiple dates and information on specific elements, instead of just the most recent, such as caseworker visit dates and locations.
- The adoption data file submitted for AFCARS 1993 was removed. Many of the data elements that were previously reported as part of the adoption data file are now part of the out-of-home care data file in AFCARS 2020.
- The Adoption and Guardianship Assistance Data File is new. Title IV-E agencies report on children who were in a finalized adoption or legal guardianship under a title IV-E adoption or guardianship assistance agreement.

A table comparing the elements from the AFCARS 1993 foster care data file and adoption data file to the AFCARS 2020 out-of-home care data file is included as Appendix A.

Revisions to the Technical Bulletin

The original version of this technical bulletin was released on October 29, 2020. A revised version 1.1, released on June 29, 2021, contained additional clarifying language and examples for some of the data elements. This version 1.2 is the most recently revised version and includes additional information on record retention and health conditions. To see a table documenting all the significant changes to this bulletin, see Appendix E.

¹ Children who experienced a removal episode before October 1, 2022 only need to report three elements for the prior removal(s): date of removal, date of exit, and exit reason (see 45 CFR 1355.43(b)(3)).

Technical Bulletin Terminology

Child and Youth

The regulation uses the terms child and children as inclusive terms for all who are served by the title IV-E program, including those age 18 or older. For this technical bulletin, the terms youth and child are used interchangeably. Typically, the term youth is used when referring to older children and child is used more generally or for younger children.

Agency

The Children's Bureau uses the term agency in this technical bulletin to refer to the state or Tribal agency administering or supervising the administration of the title IV-E programs. If specific technical guidance is only applicable to state title IV-E agencies, the phrase state agencies is used. If the specific technical guidance is only applicable to Tribal title IV-E agencies, the phrase Tribal agencies is used.

Null

The regulation often makes reference to leaving a data element blank. For this technical bulletin and the purpose of data reporting, blank is synonymous with null or no data and should not be construed as a space or open and closed quotations (“”).

Last Day of the Reporting Period

There are multiple elements that require information to be reported as of the last day of the report period. Agencies should interpret this to mean as of the last day of the reporting period or as of the day of the exit for a child exiting out-of-home care prior to the end of the reporting period. This remains consistent with AFCARS 1993.

Record Retention – Section 1355.43(f)

Record Retention (f)

The title IV-E agency must retain all records necessary to comply with the data requirements in §§ 1355.41 through 1355.45. The title IV-E agency's retention of such records is not limited to the requirements of 45 CFR 92.42(b) and (c).

Agencies shall retain records on all cases submitted as part of the AFCARS files until the child is of an age at which they would be unable to re-enter the reporting population. For agencies implementing an extended foster care program, this could mean keeping the case record on the child until the child would no longer be eligible for services. This requirement is due to the structure of the AFCARS file, which requires historical data to be submitted on all the child's prior removals. The age of eligibility may differ for each state. However, keep in mind that federal guidance may lengthen the age of eligibility in the future (e.g., [Information Memorandum](#) issued in January 2021, which allowed states to increase the age of youth served in out-of-home care to 26 years old as a response to the Covid-19 pandemic), so policies should account for that.

For example, California has an approved extended foster care program for youth up to age 21. If a child is removed at age one and then discharged shortly after, the agency would have to keep this child's record until the child would no longer be eligible for out-of-home care at age 21.

Additional Technical Assistance

The Children's Bureau is currently in the development stages of a new enterprise-wide engineering and architecture service to support state and Tribal title IV-E agencies' AFCARS submissions. The National Child Welfare Data Management System (NCWDMS) is scheduled to be available for the first AFCARS 2020 submission in May 2023.

Additional technical bulletins and resources will be published as they become available. Specifically, there will be a document on how to use the NCWDMS application to upload data and submit it the Children's Bureau. CB will provide resources for agencies on the process to determine the compliance of the submitted files. Additional resources will be provided for state agencies to help with collecting and reporting the elements related to Indian Child Welfare Act (ICWA) (elements 7-12).

As the Children's Bureau receives questions on the data elements, this technical bulletin will be updated with additional information. Please refer to the version number and the date to be assured that you have the most recent version.

To view the AFCARS regulations, visit the Electronic Code of Federal Regulations at ecfr.federalregister.gov and browse to Title 45/Subtitle B/Chapter XIII/Subchapter G/Part 1355.

Visit the AFCARS pages on the Children's Bureau website at <https://www.acf.hhs.gov/cb/research-data-technology/reporting-systems/afcars>. There you will find a link to the AFCARS 2020 Final Rule, policy guidance and resources, and other training materials.

Please direct any questions about this document to the AFCARS mailbox at AFCARS@acf.hhs.gov.

Out-of-Home Care Data File Elements

Reporting Population and Requirements

Out-of-Home Care Reporting Population Section 1355.42(a)(1)-(a)(2)

- (1) A title IV-E agency must report a child of any age who is in out-of-home care for more than 24 hours. The out-of-home care reporting population includes a child in the following situations:
 - (i) A child in foster care as defined in §1355.20.
 - (ii) A child on whose behalf title IV-E foster care maintenance payments are made and who is under the placement and care responsibility of another public agency or an Indian tribe, tribal organization or consortium with which the title IV-E agency has an agreement pursuant to section 472(a)(2)(B)(ii) of the Act.
 - (iii) A child who runs away or whose whereabouts are unknown at the time the child is placed under the placement and care responsibility of the title IV-E agency.
- (2) Once a child enters the out-of-home care reporting population, the child remains in the out-of-home care reporting population through the end of the report period in which the title IV-E agency's placement and care responsibility ends, or a child's title IV-E foster care maintenance payment pursuant to a title IV-E agreement per section 472(a)(2) of the Act ends, regardless of any subsequent living arrangement.

Out-of-Home Care Data Reporting Requirements Section 1355.43(b)(1)-(b)(3)

- (b) Out-of-home care data file. A title IV-E agency must report the information required in §1355.44 pertaining to each child in the out-of-home care reporting population, in accordance with the following:
 - (1) The title IV-E agency must report the most recent information for the applicable data elements in §1355.44(a) and (b).
 - (2) Except as provided in paragraph (b)(3) of this section, the title IV-E agency must report the most recent information and all historical information for the applicable data elements described in §1355.44(c) through (h).
 - (3) For a child who had an out-of-home care episode(s) as defined in §1355.42(a) prior to October 1, 2022, the title IV-E agency must report only the information for the data described in §1355.44(d)(1) and (g)(1) and (3) for the out-of-home care episode(s) that occurred prior to October 1, 2022.

The out-of-home care reporting population includes children of any age who are under the agency's placement and care responsibility for more than 24 hours. This includes youth who are over the age of 17. It also includes a child who has run away at the time the agency receives placement and care responsibility. Additionally, if an agency has an agreement with another public agency or Tribe and is making title IV-E foster care maintenance payments (FCMPs) for that child, then the child would be included in the reporting population.

The reporting population is ***revised in AFCARS 2020*** to include children of any age who are under the agency's placement and care responsibility and are in foster care as defined in §1355.20. This expanded reporting population includes children who are in living arrangements that are not

traditionally considered foster care settings under title IV-B and IV-E program rules once the child enters the reporting population.

The out-of-home care reporting population includes a child under the title IV-E agency's placement and care responsibility who:

- has run away or whose whereabouts are unknown at the time the title IV-E agency becomes responsible for the child, until the title IV-E agency's responsibility for placement and care ends;
- is placed into foster care after a non-foster care setting, until the title IV-E agency's placement and care responsibility ends;
- is placed at home, including a child on a trial discharge or trial home visit, until the title IV-E agency's placement and care responsibility ends;
- is placed from a foster care placement into a non-foster care setting, until the title IV-E agency's placement and care responsibility ends; or
- is age 18 or older, including those in a supervised independent living setting, until the title IV-E agency's placement and care responsibility ends.

The out-of-home care reporting population includes a child: (1) under the placement and care responsibility of another public agency or Tribe that has an agreement pursuant to section 472(a)(2)(B)(ii) of the Act; and (2) on whose behalf title IV-E FCMPs are made. Agencies have asked for clarification specific to juvenile justice agencies, which we provide below:

- If the title IV-E agency has placement and care responsibility of the child and the child moves from a foster care placement to a juvenile justice placement, then the child is in the reporting population until the title IV-E agency's placement and care ends. This is revised from AFCARS 1993 to AFCARS 2020.
- If the title IV-E agency is making a FCMP on behalf of a child who is under the placement and care responsibility of the juvenile justice agency pursuant to a title IV-E agreement under section 472(a)(2)(B)(ii) of the Act, then the child is in the AFCARS reporting population until the FCMP ends.

In some instances, agencies are unclear about when to include within the AFCARS reporting population a child who is receiving services/intervention from the agency. A child must be in a foster care placement per §1355.20, and the agency must have placement and care responsibility for the child. In the case where a child is placed under “protective supervision” (or another state-specific term), yet it was determined that the child did not need to be removed from their parents, the child would not be included in the reporting population for AFCARS. The agency may have placement and care responsibility for the child, but because the child did not need to be removed from their parents, no removal and foster care placement per §1355.20 occurred, so the child is not part of the AFCARS reporting population. In the case where an agency removes a child from one parent and then places the child directly in the noncustodial parent's home, the child would not be included in the reporting population. This is because the noncustodial parent's home is not a foster

care placement per §1355.20, even though the agency has placement and care responsibility for the child.

Agencies should report the most recent information for elements 1-58. If a child has experienced more than one removal, agencies should report applicable information for elements 59-186 for each removal episode. However, if the removal episode(s) began and ended before October 1, 2022, the agency should report all the information on the current episode, but only report three elements for the prior removal(s):

- Element 69 – Date of Removal
- Element 153 – Date of Exit
- Element 155 – Exit Reason

General Information - Section 1355.44(a)

Element 1: Title IV-E Agency

Out-of-Home Care Element 1: Title IV-E Agency (a)(1)

Indicate the title IV-E agency responsible for submitting the Adoption and Foster Care Analysis and Reporting System (AFCARS) data in a format according to ACF's specifications.

Element 1 is the title IV-E agency responsible for submitting the AFCARS data. For state agencies, the title IV-E agency identifier is the 2-digit state Federal Information Processing Standards (FIPS)² code. The state FIPS codes can be located on the Census Bureau's website and are maintained by the American National Standards Institute³. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

For example, Alabama's FIPS code is "01."

For Tribal agencies, the Title IV-E agency identifier is the last three digits of the Environmental Protection Agency (EPA) Tribal identifier data code standard. The "Tribal Entity Mapping" Excel spreadsheet is maintained and updated on the EPA's website⁴ and is reported in Appendix C.

For example, the EPA identifier for Penobscot Nation is "199."

Element 1, title IV-E agency, is **revised in AFCARS 2020** to report the FIPS code or the EPA identifier. In AFCARS 1993, states reported the U.S. Postal Services two-letter abbreviation as the state title IV-E agency identifier.

Data format	String
Data constraint	State agencies: 2-digit state FIPS code Tribal agencies: 3-digit EPA Tribal standard code

² American National Standards Institute codes (ANSI codes) are standardized numeric or alphabetic codes issued by the American National Standards Institute (ANSI) to ensure uniform identification of geographic entities through all federal government agencies.

³ Census FIPS Codes <https://www.census.gov/geographies/reference-files/2017/demo/popest/2017-fips.html>.

⁴ EPA Tribal identifier data standards <https://www.epa.gov/data-standards/tribal-identifier-data-standard>.

Element 2: Report Date

Out-of-Home Care Element 2: Report Date (a)(2)

The report date corresponds with the end of the report period. Indicate the last month and the year of the report period.

Element 2 is the report period ending date, which is the year and last month of the reporting period. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

The AFCARS report periods are October 1 through March 31 (A submission) and April 1 through September 30 (B submission). Data for each report period are due no later than May 15 and November 14, respectively.

For example, for the 23A submission period, the agency should indicate “202303” (year|month).

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYYMM

Element 3: Local Agency

Out-of-Home Care Element 3: Local Agency (a)(3)

Indicate the local county, jurisdiction, or equivalent unit that has primary responsibility for the child in a format according to ACF's specifications.

Element 3 is the identity of the county (or county equivalent) that has the responsibility for the case. The 5-digit state and county FIPS code⁵ must be used. For this element, both state and Tribal agencies must provide the code of the county (or county equivalent) of the caseworker that has primary responsibility for the case. **This code uses the location of the office responsible for the case, not the location of the home residence of the child.** It is advisable that agencies look up their FIPS code on the Census Bureau's website, in the rare case that a county is added or a FIPS code changes.⁶ The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

For example, if the county responsible is Montgomery County, Maryland, the FIPS code is 24031 (2-digit state FIPS | 3-digit county FIPS).

Tribal agencies should also indicate the state and county FIPS code where the caseworker has the primary responsibility.

For example, if Mashpee Wampanoag Tribal agency, located in Barnstable County, Massachusetts, is responsible, the agency should indicate FIPS code "25001" (2-digit state FIPS | 3-digit county FIPS).

For Tribes that are located across multiple counties/states and have multiple office locations, the Tribe should indicate the state and county FIPS code of the office that has the primary responsibility for the case.

If a state or Tribal agency has missing information for the local agency, the 2-digit state FIPS code should be indicated followed by "999" to represent that the local agency is unknown. The county of the central office should not be reported. Missing information should not be reported as blank.

For example, if the local agency is unknown in Virginia, the agency should report 51999 (2-digit state FIPS | 3-digit county FIPS for unknown).

Element 3, local agency, is **revised in AFCARS 2020** to ask agencies to report missing data differently. AFCARS 1993 and AFCARS 2020 both use the state and county FIPS code to identify the local agency.

Data format	String
Data constraint	5-digit state and county FIPS code

⁵ Understanding Geographic Identifiers (GEOIDs) U.S. Census Bureau <https://www.census.gov/programs-surveys/geography/guidance/geo-identifiers.html>.

⁶ Census FIPS Codes <https://www.census.gov/geographies/reference-files/2017/demo/popest/2017-fips.html>.

Element 4: Child Record Number

Out-of-Home Care Element 4: Child Record Number (a)(4)

Indicate the child's record number. This is an encrypted, unique person identification number that is the same for the child, no matter where the child lives while in the placement and care responsibility of the title IV-E agency in out-of-home care and across all report periods and episodes. The title IV-E agency must apply and retain the same encryption routine or method for the person identification number across all report periods. The record number must be encrypted in accordance with ACF standards.

Element 4 is the child record number. The record number is a 12-character identifier that is encrypted and remains the same identifier for the child from intake through the life of the case, regardless of where the family or child moves within the state or Tribal services area responsible for transmitting the data to the Children's Bureau. It is important that the record number submitted to AFCARS not be linked to the child's case ID by outside agencies, including the Children's Bureau. Agencies should verify that they are not using the child's record number for multiple children in a family. Every child must have their own unique ID, which should be the same for each child within other data sets submitted to the Children's Bureau to allow for data analysis among data sets. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

Additional information on encryption is in AFCARS [Technical Bulletin #21](#).

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	String
Data constraint	Encrypted (by ACF Standards), unique within IV-E Agency

Child Information - Section 1355.44(b)

Element 5: Child's Date of Birth

Out-of-Home Care Element 5: Child's Date of Birth (b)(1)

Indicate the month, day and year of the child's birth. If the actual date of birth is unknown because the child has been abandoned, provide an estimated date of birth.

“Abandoned” means that the child was left alone or with others and the identity of the parent(s) or legal guardian(s) is unknown and cannot be ascertained. This includes a child left at a “safe haven”.

Element 5 is the child's date of birth. The element should be submitted in the year/month/day format. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

If a date of birth cannot be ascertained because the child was abandoned, an estimated date of birth can be used in lieu of the actual birth date. Abandoned means that the child was left alone or with others and the identity of the parent or legal guardian is unknown and cannot be ascertained. This includes a child left at a safe haven.

For example, if a newborn child was abandoned and it is unclear when the child was born in August of 2024, the birthday should be indicated as “20240815” (year|month|day).

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYYMMDD

Element 6: Child's Sex

Out-of-Home Care Element 6: Child's Sex (b)(2)

Indicate whether the child is “male” or “female”.

Element 6 is the child's sex. Indicate whether the child is male or female. This element is required and cannot be left blank. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

AFCARS 2020 aligns with the reporting of this element to the National Youth in Transition Database (NYTD)⁷. It is recommended that agencies adopt the same approach that they use for NYTD reporting.

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Numeric
Data constraint	1 = Male 2 = Female

⁷ NYTD Guide to the NYTD Review https://www.acf.hhs.gov/sites/default/files/cb/nytd_review_guide.pdf

Element 7: Agency Made Inquiries

Out-of-Home Care Element 7: Reason to Know a Child is an “Indian child” as Defined in the Indian Child Welfare Act (ICWA) (b)(3)

For state title IV-E agencies only: Indicate whether the state title IV-E agency made inquiries whether the child is an Indian child as defined in ICWA. Indicate “**yes**” or “**no**”.

For element 7, indicate whether the agency asked whether the child is an “Indian child” under ICWA.

Element 7 is only reported by state agencies. Tribal agencies should leave the field null.

For example, if the agency case worker inquired whether the child might be a member of a federally recognized Tribe or participated in Tribal services, Tribal events, or lived on Tribal land, the agency should indicate “1” (yes).

Element 7, agency made inquiries, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should only be used by Tribal agencies

Element 8: Child’s Tribal Membership

Out-of-Home Care Element 8: Child’s Tribal Membership (b)(4)(i)

For state title IV-E agencies only: Indicate whether the child is a member of or eligible for membership in a federally recognized an Indian tribe. Indicate “**yes**”, “**no**”, or “**unknown**”.

For element 8, indicate if the child is a member of or eligible for membership in a federally recognized Tribe. Element 8 is only reported by state agencies. Tribal agencies should leave the field null. Tribes may use terms such as citizenship, enrolled, or member. These terms are synonymous for the purposes of reporting this data element.

If the child is a member, or is eligible for membership, the agency should indicate “1” (yes).

For example, if a child is determined to be a member of the Blackfeet Tribe (located in Montana) and is currently in out-of-home care in Washington State, the agency should indicate “1” (yes).

Examples of when the state agency should indicate “0” (no):

- if the agency inquired of the people affiliated with the child welfare case and no one indicated there was any reason to know that the child may be a member of or eligible for membership in a federally recognized Tribe; or
- if the agency inquired and investigated, yet the potential Tribe indicated that the child was not a member of or eligible for membership; or
- if the agency inquired, investigated, and found that the potential Tribe with which the child was affiliated was not federally recognized.

For example, if a child is a member of the Piscataway Indian Nation of Maryland, the agency should indicate “0” (no) because that Tribe is only recognized in Maryland and is not federally recognized.

The agency should indicate “9” (unknown) if there is reason to know that the child is an “Indian child,” but membership or eligibility has not yet been determined. It also may be used if the agency failed to inquire about the child’s membership status.

The list of federally recognized Tribes, as maintained by the EPA, is in Appendix C.

Element 8, child’s Tribal membership, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes 9 = Unknown Null should only be used by Tribal agencies

Element 9: Federally Recognized Tribe

Out-of-Home Care Element 9: Federally Recognized Tribe(s) (b)(4)(ii)

If the state title IV-E agency indicated “yes” in paragraph (b)(4)(i) of this section, indicate all federally recognized Indian tribe(s) that may potentially be the Indian child's tribe(s). The title IV-E agency must submit the information in a format according to ACF's specifications.

For element 9, indicate all federally recognized Tribes where a child may potentially be a member.

Element 9 is only reported by state agencies. State agencies must indicate the federally recognized Tribe, even if the Tribe is located in another state. Tribal agencies should leave the field null.

If a child is a member of, or eligible for membership in, at least one Tribe, state agencies must list all applicable Tribes.

For example, if an Indian child is eligible for membership in the Choctaw Nation of Oklahoma and the Lytton Rancheria of California, the agency should indicate codes “045” and “171.”

For example, if the parent of the child believes that the child is eligible for membership in the Coyote Valley Band of Pomo Indians, the agency should indicate “068” as the Tribal code.

The Environmental Protection Agency (EPA) Tribal identifier⁸ data code standard is used to identify the federally recognized Tribes. The EPA Tribal identifier codes are in Appendix C. Agencies should assure they are referencing the most up-to-date listing provided by the EPA.

Element 9, federally recognized Tribe, is ***new to AFCARS 2020***.

Data format	String
Data constraint	3-digit EPA Tribal standard code Null should be used if the state agency indicated “0” (no) or “9” (unknown) for element 8 Null should be used by Tribal agencies

⁸ EPA Tribal identifier data standards <https://www.epa.gov/data-standards/tribal-identifier-data-standard>.

Element 10: Application of ICWA

Out-of-Home Care Element 10: Application of ICWA (b)(5)(i)

For state title IV-E agencies only: Indicate whether ICWA applies for the child. Indicate “yes”, “no”, or “unknown”.

For element 10, state agencies should indicate if ICWA applies for the child.

Element 10 is only reported by state agencies. Tribal agencies should leave the field null.

Examples of when “unknown” should be reported are when parents are missing or unavailable or the state agency is waiting for a response on the child’s membership status.

The list of federally recognized Tribes, as maintained by the EPA, is in Appendix C.

Element 10, application of ICWA, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	0 = No 1 = Yes 9 = Unknown Null should only be used by Tribal agencies

Element 11: Date of Determination that ICWA Applies

Out-of-Home Care Element 11: Court Determination that ICWA Applies (b)(5)(ii)

If the state title IV-E agency indicated “yes” in paragraph (b)(5)(i) of this section, indicate the date that the state title IV-E agency was notified by the Indian tribe or state or tribal court that ICWA applies.

For element 11, indicate the year, month, and day that the state agency was notified by the Tribe, state, or Tribal court that ICWA applies.

Element 11 is only reported by state agencies. Tribal agencies should leave the field null.

State agencies should indicate a date in element 11 if they indicated “1” (yes) in element 10. If agencies indicated “0” (no) or “9” (unknown), the agencies should leave element 11 as null.

For example, if the state agency sent notice to Native Village of Minto that a child in their care may be an Indian child as defined by ICWA and the Alaskan Village responded back on June 14 that ICWA applied, the state agency should indicate, “20240614” (year|month|day).

Element 11, court determination that ICWA applies, is ***new to AFCARS 2020***.

Data format	Date
Data constraint	YYYYMMDD Null should be used if the state agency indicated “0” (no) or “9” (unknown) for element 10 Null should be used by Tribal agencies

Element 12: Notification ICWA

Out-of-Home Care Element 12: Notification-ICWA (b)(6)

For state title IV-E agencies only: If the state title IV-E agency indicated “yes” to paragraph (b)(5)(i) of this section, the state title IV-E agency must indicate whether the Indian child's tribe(s) was sent legal notice in accordance with 25 U.S.C. 1912(a). Indicate “yes” or “no”.

For element 12, state agencies should indicate whether the Tribe was sent legal notice.

If the state agency indicated “1” (yes) in element 10, then element 12 should indicate if a legal notification was sent to the Indian child's Tribe.

Element 12 is only reported by state agencies. This element should be null if the state agency indicated “0” or “9” in element 10. Tribal agencies should leave the field null.

Element 12, notification of ICWA, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should be used if the state agency indicated “0” (no) or “9” (unknown) for element 10 Null should be used by Tribal agencies

Elements 13-20: Child's Race

Child's Race (b)(7)

In general, a child's race is determined by the child, the child's parent(s) or legal guardian(s). Indicate whether each race category listed in paragraphs (b)(7)(i) through (viii) of this section applies with a “yes” or “no”.

Out-of-Home Care Element 13: American Indian or Alaska Native (b)(7)(i)

An American Indian or Alaska Native child has origins in any of the original peoples of North or South America (including Central America) and maintains tribal affiliation or community attachment.

Out-of-Home Care Element 14: Asian (b)(7)(ii)

An Asian child has origins in any of the original peoples of the Far East, Southeast Asia or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand and Vietnam.

Out-of-Home Care Element 15: Black or African American (b)(7)(iii)

A Black or African American child has origins in any of the black racial groups of Africa.

Out-of-Home Care Element 16: Native Hawaiian or Other Pacific Islander (b)(7)(iv)

A Native Hawaiian or Other Pacific Islander child has origins in any of the original peoples of Hawaii, Guam, Samoa or other Pacific Islands.

Out-of-Home Care Element 17: White (b)(7)(v)

A white child has origins in any of the original peoples of Europe, the Middle East or North Africa.

Out-of-Home Care Element 18: Race Unknown (b)(7)(vi)

The child or parent or legal guardian does not know, or is unable to communicate the race, or at least one race of the child is not known. This category does not apply when the child has been abandoned or the parents failed to return and the identity of the child, parent(s), or legal guardian(s) is known.

Out-of-Home Care Element 19: Race Abandoned (b)(7)(vii)

The child's race is unknown because the child has been abandoned. Abandoned means that the child was left alone or with others and the identity of the parent(s) or legal guardian(s) is unknown and cannot be ascertained. This includes a child left at a “safe haven”.

Out-of-Home Care Element 20: Race Declined (b)(7)(viii)

The child or parent(s) or legal guardian(s) has declined to identify a race.

Elements 13-20 indicate the child's race. In general, a person's race is determined by how they define themselves. In the case of a young child, a parent or legal guardian determines the race of the child. **The agency may not determine the child's race.** Hispanic/Latino is reported as an ethnicity in element 21.

The agency collecting race information must select all possible racial combinations, if applicable. However, abandoned and declined are elements that should not be chosen in combination with any other element in this section. A primary race should not be identified.

For example, if a child identifies as Asian, African American, and Native Hawaiian, the agency should indicate “1” (yes) for element 14, element 15, and element 16.

Unknown should be used when a child does not know their race, due to a young age or inability to communicate their race. Unknown may also be used if the child is multiracial and one or more race is unknown.

For example, if a child was removed from their grandmother who only knew the race of her daughter, who is white, and not the race of the child's father, the agency should indicate the child's race as white and unknown. The agency should indicate "1" (yes) for elements 17 and 18.

Abandoned should only be used to identify a child's race if the child was abandoned. Abandoned means that the child was left alone or with others and the identity of the parent(s) or legal guardian(s) is unknown and cannot be ascertained. This includes a child left at a "safe haven."

For example, if a young child who is less than a year old has been abandoned, the child's race should be indicated as "1" (abandoned) for element 19. The agency may not assume the child's race.

If a child was abandoned when they were young, but are now able to self-identify, the agency should allow the child to self-identify.

Declined should only be used if the child or parent or legal guardian declined or does not wish to identify a race.

For example, if a parent does not wish to answer information on their child's race, the agency should not assume the child's race and should indicate "1" (yes) for element 20.

Elements 18-20, unknown, abandoned, and declined, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element 21: Child’s Hispanic or Latino Origin

Out-of-Home Care Element 21: Hispanic/Latino Origin (b)(8)

In general, a child's ethnicity is determined by the child or the child's parent(s) or legal guardian(s). A child is of Hispanic or Latino ethnicity if the child is a person of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race. Indicate whether this category applies with a “**yes**” or “**no**”. If the child or the child's parent(s) or legal guardian(s) does not know or is unable to communicate whether the child is of Hispanic or Latino ethnicity, indicate “**unknown**”. If the child is abandoned indicate “**abandoned**”.

Abandoned means that the child was left alone or with others and the identity of the parent(s) or legal guardian(s) is unknown and cannot be ascertained. This includes a child left at a “safe haven”. If the child or the child's parent(s) or legal guardian(s) refuses to identify the child's ethnicity, indicate “**declined**”.

Element 21 indicates the child’s ethnicity. In general, a person’s ethnicity is determined by how they define themselves. In the case of a young child, a parent or legal guardian determines the ethnicity of the child. If a child is of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race, the agency should report “yes” for element 21. **Children of Hispanic/Latino ethnicity may be any race. As with race, the agency may not determine the child’s ethnicity.**

The definitions of abandoned, unknown, and declined have the same parameters as element 18-20, child’s race.

Abandoned should only be used if the child was abandoned. Abandoned means that the child was left alone or with others and the identity of the parent or legal guardian is unknown and cannot be ascertained. This includes a child left at a “safe haven.” If a child was abandoned when they were young, but are now able to self-identify, the agency should allow the child to self-identify.

Unknown should be used when a child does not know their ethnicity, due to a young age or inability to communicate their ethnicity.

Declined should only be used if the child’s or parent or legal guardian declined or does not wish to identify a child’s ethnicity.

The responses to ethnicity are *revised in AFCARS 2020* to add options for unknown, abandoned, and declined.

Data format	Numeric
Data constraint	0 = No 1 = Yes 7 = Abandoned 8 = Declined 9 = Unknown

Element 22: Health Assessment

Out-of-Home Care Element 22: Health Assessment (b)(9)

Indicate whether the child had a health assessment during the current out-of-home care episode. This assessment could include an initial health screening or any follow-up health screening per section 422(b)(15)(A) of the Act. Indicate “**yes**” or “**no.**”

For element 22, indicate if the child had an initial health screening or health assessment. An agency should indicate “1” (yes) if a child had a health assessment at any time during the current out-of-home care episode. If a child has multiple out-of-home care episodes, the agency should indicate if a child had a health assessment for the current out-of-home care episode.

For example, if a nurse administered a health screening after the child entered care, the agency should indicate “1” (yes).

For example, if a child had a health assessment during a previous out-of-home care episode, but not during the current out-of-home care episode, the agency should indicate “0” (no).

Element 22, health assessment, is ***new to AFCARS 2020.***

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element 23 Health Conditions

Out-of-Home Care Element 23: Health, Behavioral, or Mental Health Conditions (b)(10)

Indicate whether the child was diagnosed by a qualified professional, as defined by the state or tribe, as having a health, behavioral or mental health condition listed below, prior to or during the child's current out-of-home care episode as of the last day of the report period.

- Indicate “**child has a diagnosed condition**” if a qualified professional has made such a diagnosis and for each paragraph (b)(10)(i) through (b)(10)(xi) of this section indicate “existing condition”, “previous condition” or “does not apply”, as applicable. “Previous condition” means a previous diagnoses that no longer exists as a current condition.
- Indicate “**no exam or assessment conducted**” if a qualified professional has not conducted a medical exam or assessment of the child and leave paragraphs (b)(10)(i) through (b)(10)(xi) of this section blank.
- Indicate “**exam or assessment conducted and none of the conditions apply**” if a qualified professional has conducted a medical exam or assessment and has concluded that the child does not have one of the conditions listed and leave paragraphs (b)(10)(i) through (b)(10)(xi) of this section blank.
- Indicate “**exam or assessment conducted but results not received**” if a qualified professional has conducted a medical exam or assessment but the title IV-E agency has not yet received the results of such an exam or assessment and leave paragraphs (b)(10)(i) through (b)(10)(xi) of this section blank.

For element 23, indicate if the child has a health, behavioral, or mental health condition that was diagnosed by a qualified medical professional. The diagnosis could have been made before a child's removal or during the time the child was in out-of-home care. Responses to this element are independent of responses to element 22. The agency should indicate “1” if the child has a diagnosed condition, even if the condition was previously diagnosed but has since been resolved and is no longer a current diagnosis.

For example, if a child was born with a congenital disorder that could not be corrected, the agency should indicate “1” (child has a diagnosed condition).

For example, if a child had been diagnosed with attention deficit disorder (ADD) four months before their removal, the agency should indicate “1” (child has a diagnosed condition).

For example, if a child enters care with no known diagnoses and has not yet seen a health care provider, the agency should indicate “0” (no exam or assessment conducted).

For example, if a child was evaluated by a physician who made no diagnoses or referrals, the agency should indicate “2” (exam or assessment conducted and none of the conditions apply).

For example, if a child received an assessment after 2 weeks in care and the child exited care before the results were received, the agency should indicate “3” (exam or assessment conducted but results not received).

If an agency indicates “1” (child has a diagnosed condition), the agency should indicate the condition in elements 24-34. If the agency indicates “0,” “2,” or “3,” the agency should leave elements 24-34 null.

Element 23, health conditions, is *newly revised in AFCARS 2020*. Previously, in AFCARS 1993, agencies only indicated if the child had been clinically diagnosed with a disability.

Data format	Numeric
Data constraint	0 = No exam or assessment conducted 1 = Child has a diagnosed condition 2 = Exam or assessment conducted and none of the conditions apply 3 = Exam or assessment conducted but results not received

Elements 24-34: Specific Health, Behavioral, or Mental Health Conditions

Out-of-Home Care Element 24: Intellectual Disability (b)(10)(i)

The child has, or had previously, significantly sub-average general cognitive and motor functioning existing concurrently with deficits in adaptive behavior manifested during the developmental period that adversely affect the child's socialization and learning.

Out-of-Home Care Element 25: Autism Spectrum Disorder (b)(10)(ii)

The child has, or had previously, a neurodevelopment disorder, characterized by social impairments, communication difficulties, and restricted, repetitive, and stereotyped patterns of behavior. This includes the range of disorders from autistic disorder, sometimes called autism or classical autism spectrum disorder, to milder forms known as Asperger syndrome and pervasive developmental disorder not otherwise specified.

Out-of-Home Care Element 26: Visual Impairment and Blindness (b)(10)(iii)

The child has, or had previously, a visual impairment that may adversely affects the day-to-day functioning or educational performance, such as blindness, amblyopia, or color blindness.

Out-of-Home Care Element 27: Hearing Impairment and Deafness (b)(10)(iv)

The child has, or had previously, an impairment in hearing, whether permanent or fluctuating, that adversely affects the child's day-to-day functioning and educational performance.

Out-of-Home Care Element 28: Orthopedic Impairment or Other Physical Condition (b)(10)(v)

The child has, or had previously, a physical deformity, such as amputations and fractures or burns that cause contractures, or an orthopedic impairment, including impairments caused by a congenital anomalies or disease, such as cerebral palsy, spina bifida, multiple sclerosis, or muscular dystrophy.

Out-of-Home Care Element 29: Mental/Emotional Disorders (b)(10)(vi)

The child has, or had previously, one or more mood or personality disorders or conditions over a long period of time and to a marked degree, such as conduct disorder, oppositional defiant disorder, emotional disturbance, anxiety disorder, obsessive-compulsive disorder, or eating disorder.

Out-of-Home Care Element 30: Attention Deficit Hyperactivity Disorder (b)(10)(vii)

The child has, or had previously, a diagnosis of the neurobehavioral disorders of attention deficit or hyperactivity disorder (ADHD) or attention deficit disorder (ADD).

Out-of-Home Care Element 31: Serious Mental Disorders (b)(10)(viii)

The child has, or had previously, a diagnosis of a serious mental disorder or illness, such as bipolar disorder, depression, psychotic disorders, or schizophrenia.

Out-of-Home Care Element 32: Developmental Delay (b)(10)(ix)

The child has been assessed by appropriate diagnostic instruments and procedures and is experiencing delays in one or more of the following areas: physical development or motor skills, cognitive development, communication, language, or speech development, social or emotional development, or adaptive development.

Out-of-Home Care Element 33: Developmental Disability (b)(10)(x)

The child has or had previously been diagnosed with a developmental disability as defined in the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (Pub. L. 106-402), section 102(8). This means a severe, chronic disability of an individual that is attributable to a mental or physical impairment or combination of mental and physical impairments that manifests before the age of 22, is likely to continue indefinitely and results in substantial functional limitations in three or more areas of major life activity. Areas of major life activity include self-care, receptive and expressive language, learning; mobility, self-direction, capacity for independent living,

economic self-sufficiency, and reflects the individual's need for a combination and sequence of special, interdisciplinary, or generic services, individualized supports or other forms of assistance that are of lifelong or extended duration and are individually planned and coordinated. If a child is given the diagnosis of “developmental disability”, do not indicate the individual conditions that form the basis of this diagnosis separately.

Out-of-Home Care Element 34: Other Diagnosed Condition (b)(10)(xi)

The child has, or had previously, a diagnosed condition or other health impairment other than those described above, which requires special medical care, such as asthma, diabetes, chronic illnesses, a diagnosis as HIV positive or AIDS, epilepsy, traumatic brain injury, other neurological disorders, speech/language impairment, learning disability, or substance abuse issues.

For elements 24-34, agencies should indicate all of the child’s diagnosed health, behavioral, or mental health conditions. Agencies should only indicate responses to these elements if the response to element 23 was “1”, child has a diagnosed condition. If, in the prior element 23, the agency indicated “0”, no exam or assessment conducted, “2”, exam or assessment conducted and none of the conditions apply, or “3”, exam or assessment conducted but results not received, the agency should leave elements 24-34 null.

Some agencies utilize the International Classification of Diseases (ICD-10) and the Diagnostic and Statistical Manual of Mental Disorders (DSM-5) codes for recording children’s physical, behavioral, and mental health conditions. Appendix B includes a table offering guidance on mapping these values to AFCARS elements 24–34. The ICD-10 and DSM-5 directories are valuable resources to title IV-E agencies and use of these codes provide important child-specific case management information. In general, most of the codes the ICD-10 and DSM-5 directories use are not applicable for mapping to AFCARS, but those that are most likely to be applicable are included in Appendix B.

Agencies should indicate “1”, **existing condition**, if the child has a current health, behavioral, or mental health condition.

For example, if a child was diagnosed with spina bifida, the agency should indicate “1” for element 28, and should indicate “0”, does not apply, for elements 24-27 and 29-34.

For example, if a child was diagnosed with asthma and color blindness, the agency should indicate “1” for elements 26 and 34, and should indicate “0”, does not apply, for elements 24-25, 27-33.

For example, if a child was diagnosed with Fetal Alcohol Spectrum Disorder, the agency should indicate “1” for element 34, other diagnosed condition, and should indicate “0” does not apply for elements 24-33.

Agencies should indicate “2”, **previous condition**, if the child has had a health condition, but is no longer diagnosed with the condition.

For example, if a child was diagnosed with a speech development delay, but no longer has the condition, the agency should indicate “2”, previous condition, for element 32 and should indicate “0” does not apply for elements 24-31 and 33-34.

Does not apply should only be used by agencies that indicated “1”, child has a diagnosed condition, in element 23, and the condition named in elements 24-34 is not the condition that was diagnosed.

The response options of existing or previous condition and some of the response options in elements 24-34 are *revised in AFCARS 2020*.

Data format	Numeric
Data constraint	0 = Does not apply 1 = Existing condition 2 = Previous condition Null should be used if the child does not have a previous or existing diagnosed condition.

Element 35: School Enrollment

Out-of-Home Care Element 35: School Enrollment (b)(11)

Indicate whether the child is a full-time student at and enrolled in (or in the process of enrolling in) “**elementary**” or “**secondary**” education, or is a full or part-time student at and enrolled in “**post-secondary education or training**” or “**college**”, as of the earlier of the last day of the report period or the day of exit for a child exiting out-of-home care prior to the end of the report period. A child is still considered enrolled in school if the child would otherwise be enrolled in a school that is currently out of session.

- An “**elementary or secondary school student**” is defined in section 471(a)(30) of the Act as a child that is:
 - enrolled (or in the process of enrolling) in an institution which provides elementary or secondary education, as determined under the law of the state or other jurisdiction in which the institution is located;
 - instructed in elementary or secondary education at home in accordance with a home school law of the state or other jurisdiction in which the home is located;
 - in an independent study elementary or secondary education program in accordance with the law of the state or other jurisdiction in which the program is located, which is administered by the local school or school district;
 - or incapable of attending school on a full-time basis due to the medical condition of the child, which incapability is supported by a regularly updated information in the case plan of the child.
- Enrollment in “**post-secondary education or training**” refers to full or part-time enrollment in any post-secondary education or training, other than an education pursued at a college or university.
- Enrollment in “**college**” refers to a child that is enrolled full or part-time at a college or university.
- If child has not reached compulsory school age, indicate “**not school-age**”.
- If the child has reached compulsory school-age but is not enrolled or is in the process of enrolling in any school setting full-time, indicate “**not enrolled**”.

For element 35, the agency should indicate if the child is enrolled in school as of the last day of the report period or as of the day the child exited foster care. The child is still considered enrolled in school if the school is out of session. If the child has reached school age as defined by the jurisdiction and has not yet been enrolled, report “not enrolled.” If a school-age child is discharged before the school year started, and the child is enrolled or the process of being enrolled, indicate the appropriate school.

For example, if a school district is broken up into elementary (K-5), middle (6-8), and high school (9-12), and the child is in sixth grade, the agency should indicate “3” for secondary school.

For example, if a child enters care in May while in third grade and returns home before the new school year starts, the agency should indicate “2” for elementary school.

For **post-secondary education or training** and **college**, the youth may be enrolled full- or part-time. Post-secondary education includes any trade or technical school a youth may be attending.

For example, if a youth is in massage therapy school, the agency should indicate “4” for post-secondary education or training.

For example, if a youth is studying at a university, the agency should indicate “5” for college.

For example, if a youth is in a GED program, the agency should indicate “4” for post-secondary education or training.

Agencies should indicate **not school-age** for children who are very young or are in a preschool. School age is determined by the local jurisdiction.

For example, if the child is 3 years old, the agency should indicate “1” for not school age.

Agencies should indicate that the child is **not enrolled** if a child has graduated from secondary school (i.e., high school), dropped out of school, was neither enrolled in school nor homeschooled, or was expelled from school, and is not in post-secondary training or college.

For example, if the youth graduated from high school and is now working full-time, the agency should indicate “0” for not enrolled.

Element 35, school enrollment, is **new to AFCARS 2020**.

Data format	Numeric
Data constraint	0 = Not enrolled 1 = Not school-age 2 = Elementary school 3 = Secondary school 4 = Post-secondary education or training 5 = College

Element 36: Highest Educational Level Completed

Out-of-Home Care Element 36: Highest Educational Level Completed (b)(2)

Indicate the highest educational level from kindergarten to college or post-secondary education/training completed by the child as of the last day of the report period. If child has not reached compulsory school-age, indicate “not school-age”.

- Indicate “**kindergarten**” if the child is currently in or about to begin 1st grade.
- Indicate “**1st grade**” if the child is currently in or about to begin 2nd grade.
- Indicate “**2nd grade**” if the child is currently in or about to begin 3rd grade.
- Indicate “**3rd grade**” if the child is currently in or about to begin 4th grade.
- Indicate “**4th grade**” if the child is currently in or about to begin 5th grade.
- Indicate “**5th grade**” if the child is currently in or about to begin 6th grade.
- Indicate “**6th grade**” if the child is currently in or about to begin 7th grade.
- Indicate “**7th grade**” if the child is currently in or about to begin 8th grade.
- Indicate “**8th grade**” if the child is currently in or about to begin 9th grade.
- Indicate “**9th grade**” if the child is currently in or about to begin 10th grade.
- Indicate “**10th grade**” if the child is currently in or about to begin 11th grade.
- Indicate “**11th grade**” if the child is currently in or about to begin 12th grade.
- Indicate “**12th grade**” if the child has graduated from high school.
- Indicate “**GED**” if the child has completed a general equivalency degree or other high school equivalent.
- Indicate “**Post-secondary education or training**” if the child has completed any post-secondary education or training, including vocational training, other than an education pursued at a college or university.
- Indicate “**College**” if the child has completed at least a semester of study at a college or university.

For element 36, indicate the highest level of education that a child has completed as of the last day of the report period or the day of exit.

For example, if a child entered care while in fourth grade, the agency should indicate “3” (third grade) as the highest level of education completed.

For example, if a child entered care in sixth grade and is in eighth grade at the end of the last reporting period, the agency should indicate “7” (seventh grade) as the highest level of education completed.

For example, if a child finished second grade and is on summer vacation and has not started the new school year, the agency should indicate “2” (second grade).

For example, if a child was expelled from school and didn’t finish sixth grade, the agency should indicate “5” (fifth grade).

For example, if a child quits school in 11th grade, the agency should indicate “10” (10th grade).

For example, if a youth finished 11th grade and did not graduate from high school, but was currently working toward their GED, the agency should indicate “11” (11th grade).

For example, if a child is a newborn, the agency should indicate “16” (not school-age).

Element 36, highest educational level completed, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	0 = Kindergarten 1 = First grade 2 = Second grade 3 = Third grade 4 = Fourth grade 5 = Fifth grade 6 = Sixth grade 7 = Seventh grade 8 = Eight grade 9 = Ninth grade 10 = 10th grade 11 = 11th grade 12 = 12th grade 13 = GED 14 = Post-secondary 15 = College 16 = Not school-age

Element 37: Special Education

Out-of-Home Care Element 37: Special Education (b)(14)

Indicate whether the child has an Individualized Education Program (IEP) as defined in section 614(d)(1) of Part B of Title I of the Individuals with Disabilities Education Act (IDEA) and implementing regulations, or an Individualized Family Service Program (IFSP) as defined in section 636 of Part C of Title I of IDEA and implementing regulations, as of the end of the report period. Indicate “yes” if the child has either an IEP or an IFSP or “no” if the child has neither.

For element 37, indicate if a child has an Individualized Education Program (IEP) or an Individualized Family Service Program (IFSP) as of the last day of the report period or the day of exit. The IEP or IFSP does not have to be completely signed and in place in order for the agency to indicate “1” (yes).

For example, if a child has an IFSP, and still has the IFSP at the end of the report period, the agency should indicate “1” (yes).

For example, if a child began the year with an IEP and then was determined to no longer need an IEP before the end of the report period, the agency should indicate “0” (no).

Element 37, special education, is ***new to AFCARS 2020.***

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element 38: Pregnant

Out-of-Home Care Element 38: Pregnant (b)(13)(i)

Indicate whether the child is pregnant as of the end of the report period. Indicate “yes” or “no”.

For element 38, indicate if the child is pregnant at the end of the reporting period. The agency should indicate “1” (yes) if the child is known to be pregnant as of the end of the reporting period or as of the date she exited care. **This element requires a response for a female, no matter the age of the child.** If it is unknown if a child is pregnant, the agency should indicate “0” (no). If the child is male, the response should be null.

For example, if a child enters foster care and is pregnant as of the end of the reporting period, the agency should indicate “1” (yes).

For example, if a child enters foster care while pregnant, but gives birth before the end of the reporting period, the agency should indicate “0” (no).

Element 38, pregnant, is **new to AFCARS 2020**.

Data format	Numeric
Data constraint	0 = No 1 = Yes Null = Male

Element 39: Ever Fathered or Bore Children

Out-of-Home Care Element 39: Ever Fathered or Bore Children (b)(13)(ii)

Indicate whether the child has ever fathered or bore a child. Indicate “yes” or “no”.

For element 39, indicate if the youth has fathered or borne a child. A youth is considered to have a child if the youth has fathered any children who were born or if the youth has given birth to any children. This refers to biological parenthood. The youth does not have to be currently living with their child(ren) or have custody of their child(ren) for the agency to indicate “yes.” If the agency doesn’t know if the child is a parent, the agency should indicate “no.” If the youth who had a child subsequently had their rights terminated, the agency should still indicate “yes.”

For example, if a 16-year-old male is the father of a toddler who is living with the toddler’s mother, the agency should indicate “1” (yes).

For example, if a 19-year-old female is in extended foster care and living in a maternity group home with her baby, the agency should indicate “1” (yes).

For example, if an 18-year-old male is in the reporting population and has two biological children who are living with a grandparent, the agency should indicate “1” (yes).

For example, if a 17-year-old male is in care and his girlfriend is currently pregnant in February and then gives birth in June, the agency should indicate “0” (no) for the A submission period and then “1” (yes) for the B submission.

Element 39, ever fathered or bore children, is ***new to AFCARS 2020.***

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element 40: Child and his/her Child(ren) Placed together

Out-of-Home Care Element 40: Child and his/her Child(ren) Placed Together

(b)(13)(iii)

Indicate whether the child and his/her child(ren) are placed together at any point during the report period, if the response in paragraph (b)(13)(ii) of this section is “yes”. Indicate “yes”, “no”, or “not applicable” if the response in paragraph (b)(13)(ii) of this section is “no”.

For element 40, indicate if the youth is in a living arrangement with their child or children. It does not matter if the child [of the youth in care] is also under the placement and care of the agency, in the custody of the youth, or in the custody of another relative. The agency should indicate “9” (not applicable) if the child does not have children. If all the parental rights of the youth were terminated prior to the reporting period, then the response should be “9” (not applicable). If the youth has more than one child, indicate if the youth and at least one of their children were placed together. Indicate if the youth and their child were placed together at any point during the report period, not just as of the last day of the reporting period.

The agency should indicate “1” (yes) if a youth at any time during the reporting period was in the same living arrangement as their child. The agency should indicate “0” (no) if the youth in foster care is a parent but was not in a living arrangement with their child at any point during the report period.

For example, if a youth is in foster care and is placed in a foster home with their child, the agency should indicate “1” (yes).

For example, if a youth in foster care had a baby, but her baby was never placed in the foster home with her, the agency should indicate “0” (no).

For example, if a youth lived in a foster home with their child for the month of June, then the child moved out of the foster home to live with their grandparents, an agency should indicate “1” (yes).

Element 40, child and his/her child(ren) placed together, is **new to AFCARS 2020**.

Data format	Numeric
Data constraint	0 = No 1 = Yes 9 = Not applicable

Element 41: Prior Adoption

Out-of-Home Care Element 41: Prior adoption(s) (b)(15)

Indicate whether the child experienced a prior legal adoption before the current out-of-home care episode. Include any public, private or independent adoption in the United States or adoption in another country and tribal customary adoptions.

- Indicate “**yes**”, “**no**” or “**abandoned**” if the information is unknown because the child has been abandoned. Abandoned means that the child was left alone or with others and the identity of the parent(s) or legal guardian(s) is unknown and cannot be ascertained. This includes a child left at a “safe haven”.

If the child has experienced a prior legal adoption, the title IV-E agency must complete paragraphs (b)(15)(i) and (ii) of this section; otherwise the title IV-E agency must leave those paragraphs blank.

For element 41, indicate if the child has ever been legally adopted prior to entering care. A legal adoption may include a public, private, or independent adoption. A prior adoption may be in the United States, another country, or a Tribal customary adoption. The agency should indicate “0” if the child has never been adopted, “1” if the child has been legally adopted, and “7” if the child was abandoned. If the child was not abandoned and it was unknown if they were previously adopted, the agency should indicate “0.”

For example, if a child experienced an international adoption as a toddler and just entered care as an adolescent, the agency should indicate “1” (yes).

The responses to element 41, prior adoption, are **revised in AFCARS 2020** to add an option for abandoned.

Data format	Numeric
Data constraint	0 = No 1 = Yes 7 = Abandoned

Element 42: Prior Adoption Date

Out-of-Home Care Element 42: Prior Adoption Date (b)(15)(i)

Indicate the month and year that the most recent prior adoption was finalized. In the case of a prior intercountry adoption where the adoptive parent(s) readopted the child in the United States, the title IV-E agency must provide the date of the adoption (either the original adoption in the home country or the re-adoption in the United States) that is considered final in accordance with applicable laws.

For element 42, prior adoption date, agencies should indicate the year and month of the last finalized adoption. Element 42 should only be answered if the agency indicated “1” (yes) for element 41. If there were multiple prior adoptions for the child in care, the agency should only indicate the most recent prior adoption.

For example, if a child was adopted in April of 2014 and then again in September of 2019 the agency should only indicate “201909” (year\month) as the most recent adoption.

If the date of the prior adoption is unknown, the agency should provide an estimated date.

Null should be used if element 41 is “0” or “7,” indicating the child has never been adopted or had been abandoned.

Element 42, prior adoption date, is ***new to AFCARS 2020.***

Data format	Date
Data constraint	YYYYMM Null should be used if the agency indicated “0” (no) or “7” (abandoned) for element 41

Element 43: Prior Adoption Type – Intercountry

Out-of-Home Care Element 43: Prior Adoption Type –Intercountry (b)(15)(ii)

Indicate whether the child’s most recent prior adoption was an intercountry adoption, meaning that the child’s prior adoption occurred in another country or the child was brought into the United States for the purposes of finalizing the prior adoption. Indicate “yes” or “no”.

For element 43, agencies should indicate if a child’s most recent adoption was in another country or if the child was brought into the United States for the purposes of finalizing the prior adoption. Element 43 should only be answered if the agency indicated “1” (yes) for element 41.

For example, if a child was adopted from Brazil, the agency should indicate “1” (yes).

If, in element 41, the agency indicated “0,” that the child has never been adopted or “7,” that the child was abandoned, the agency should indicate null in element 43.

Element 43, prior adoption type - intercountry, is ***new to AFCARS 2020.***

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should be used if the agency indicated “0” (no) or “7” (abandoned) for element 41

Element 44: Prior Guardianship

Out-of-Home Care Foster Care Element 44: Prior Guardianship (b)(16)(i)

Indicate whether the child experienced a prior legal guardianship before the current out-of-home care episode. Include any public, private or independent guardianship(s) in the United States that meets the definition in section 475(7) of the Act. This includes any judicially created relationship between a child and caretaker which is intended to be permanent and self-sustaining, as evidenced by the transfer to the caretaker of the following parental rights with respect to the child: Protection, education, care and control, custody, and decision making. Indicate “yes”, “no”, or “abandoned” if the information is unknown because the child has been abandoned. “Abandoned” means that the child was left alone or with others and the identity of the parent(s) or legal guardian(s) is unknown and cannot be ascertained. This includes a child left at a “safe haven”. If the child has experienced a prior legal guardianship, the title IV-E agency must complete paragraph (b)(16)(i) of this section; otherwise the title IV-E agency must leave it blank.

For element 44, agencies should indicate if a child had a prior legal guardianship before the current-out-of-home care episode.

Legal guardianship means a judicially created relationship between child and caretaker that is intended to be permanent and self-sustaining, as evidenced by the transfer to the caretaker of the following parental rights with respect to the child: protection, education, care and control of the person, custody of the person, and decision-making.

The agency should indicate “0” if the child has never had a guardianship, “1” if the child has had a legal guardianship, or “7” if the child was abandoned.

Element 44, prior guardianship, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	0 = No 1 = Yes 7 = Abandoned

Element 45: Prior Guardianship Date

Out-of-Home Care Element 45: Prior Guardianship Date (b)(16)(ii)

Indicate the month and year that the most recent prior guardianship became legalized.

For element 45, prior guardianship date, agencies should indicate the year and month of the last legal guardianship. Element 45 should only be answered if the agency indicated “1” (yes) for element 44. If there were multiple prior guardianships for the child in care, the agency should only indicate the most recent prior guardianship.

For example, if a child had a prior guardianship in May of 2018 and then again in August of 2020, the agency should only indicate “202008” (year|month), the most recent guardianship.

If the date of the prior guardianship is unknown, the agency should provide an estimated date.

If, in element 44, the agency indicated “0” (no) or “7” (abandoned), the agency should indicate null in element 45.

Element 45, prior guardianship date, is ***new to AFCARS 2020.***

Data format	Date
Data constraint	YYYYMM Null should be used if the agency indicated “0” (no) or “7” (abandoned) for element 44

Element 46: Support/Assistance Received

Out-of-Home Care Element 46: Child Financial and Medical Assistance (b)(17)

Indicate whether the child received financial and medical assistance at any point during the six-month report period. Indicate “**child has received support/assistance**” if the child was the recipient of such assistance during the report period and indicate which of the following sources of support described in paragraphs (b)(17)(i) through (viii) of this section “applies” or “does not apply”. Indicate “**no support/assistance received**” if none of these apply.

For element 46, agencies should indicate if the child received support or assistance at any point during the reporting period. This would include ANY type of financial assistance. The agency should indicate “1” if the child received support or “0” if the child did not receive support. Types of assistance that a child may have received are indicated in elements 47-54.

Element 46, support/assistance received, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	0 = No support/assistance received 1 = Received support/financial assistance

Elements 47- 54: Types of Financial Assistance

Out-of-Home Care Element 47: State/Tribal Adoption Assistance (b)(17)(i)

The child is receiving an adoption subsidy or other adoption assistance paid for solely by the state or Indian tribe.

Out-of-Home Care Element 48: State/Tribal Foster Care (b)(17)(ii)

The child is receiving a foster care payment that is solely funded by the state or Indian tribe.

Out-of-Home Care Element 49: Title IV-E Adoption Subsidy (b)(17)(iii)

The child is determined eligible for a title IV-E adoption assistance subsidy.

Out-of-Home Care Element 50: Title IV-E Guardianship Assistance (b)(17)(iv)

The child is determined eligible for a title IV-E guardianship assistance subsidy.

Out-of-Home Care Element 51: Title IV-A TANF (b)(17)(v)

The child is living with relatives who are receiving a Temporary Assistance for Needy Families (TANF) cash assistance payment on behalf of the child.

Out-of-Home Care Element 52: Title IV-B (b)(17)(vi)

The child's living arrangement is supported by funds under title IV-B of the Act.

Out-of-Home Care Element 53: Chafee Foster Care Independence Program (b)(17)(vii)

The child is living independently and is supported by funds under the John F. Chafee Foster Care Independence Program.

Out-of-Home Care Element 54: Other Financial Support (b)(17)(viii)

The child is receiving financial support from another source not previously listed in paragraphs (b)(17)(i) through (vii) of this section.

For elements 47-54 agencies should indicate the type of financial assistance that a child received at any point during the reporting period. If the agency indicated “1” (received support/financial assistance) in element 46, the agency should indicate “1” (applies) for each type of financial assistance in elements 47-54.

Most of the elements (47, 48, 51-54) will apply only if the child is receiving the specified assistance. Elements 49 and 50, Title IV-Adoption Subsidy and Title IV-E Guardianship Assistance, will apply if the child is eligible for or receiving the assistance.

For element 54, **other financial support**, agencies should indicate if a child received other financial support that is not included in elements 49-53. Other examples of financial support could include Title IV-D (child support), Title XIX (Medicaid), SSI, Social Security benefits, financial support from religious organization, or a trust fund.

Some of elements 47-54, types of financial assistance, are *revised in AFCARS 2020*.

Data format	Numeric
Data constraint	0 = Does not apply 1 = Applies

Element 55: Foster Care Maintenance Payment

Out-of-Home Care Element 55: Title IV-E Foster Care during Report Period (b)(18)

Indicate whether a title IV-E foster care maintenance payment was paid on behalf of the child at any point during the report period that is claimed under title IV-E foster care with a “yes” or “no”, as appropriate. Indicate “yes” if the child has met all eligibility requirements of section 472(a) of the Act and the title IV-E agency has claimed, or intends to claim, Federal reimbursement for foster care maintenance payments made on the child's behalf during the report period.

For element 55, agencies should indicate if a title IV-E foster care maintenance payment was paid on behalf of the child. Agencies should indicate if the child received assistance at any point during the report period.

Foster care maintenance payments are payments made on behalf of a child eligible for title IV-E foster care to cover the cost of (and the cost of providing) food, clothing, shelter, daily supervision, school supplies, a child's personal incidentals, liability insurance with respect to a child, and reasonable travel for a child's visitation with family, or other caretakers (§ 1355.20(a)).

If a title IV-E foster care maintenance payment was paid, the agency should indicate “1” (yes).

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element 56: Total Number of Siblings

Out-of-Home Care Element 56: Total Number of Siblings (b)(19)

Indicate the total number of siblings of the child. A sibling to the child is his or her brother or sister by biological, legal, or marital connection. Do not include the child who is subject of this record in the total number. If the child does not have any siblings, the title IV-E agency must indicate “0”. If the title IV-E agency indicates “0”, the title IV-E agency must leave paragraphs (b)(20) and (b)(21) blank.

For element 56, indicate the total number of siblings of the child in care. The total number of siblings should include biological siblings, including half siblings, siblings through adoption, and step-siblings. Siblings of any age should be counted, including adult siblings. Siblings may live in any household and do not need to share a living situation.

This number may change over time. If the child was abandoned, the agency should assume the child has no siblings. If the child had a step-sibling through marriage, and then the parents got divorced, the former step-sibling would no longer be counted. Deceased siblings should not be reported.

For example, the agency should indicate “3” if a child has one step-brother and 2 biological sisters.

For example, the agency should indicate “1” if a child has only one sibling, who is 30 years old, and lives in another state.

For example, if a 2-year-old is in care and has no siblings at the time of removal, but the mother gives birth to a new baby before the end of the reporting period, the agency should indicate “1.”

For example, if a child has one biological sibling, yet their shared parents’ rights had been terminated for that sibling, the agency should still indicate “1” as the number of siblings.

Element 56, total number of siblings, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No siblings or unknown if the child has siblings 1 or greater (whole number) = Total number of siblings

Element 57: Siblings in Foster Care

Out-of-Home Care Element 57: Siblings in Foster Care (b)(20)

Indicate the number of siblings of the child who are in foster care as defined in section 1355.20. A sibling to the child is his or her brother or sister by biological, legal, or marital connection. Do not include the child who is subject of this record in the total number. If the child does not have any siblings, the title IV-E agency must leave this paragraph blank. If the child has siblings, but they are not in foster care as defined in section 1355.20, the title IV-E agency must indicate “0”. If the title IV-E agency reported “0”, leave paragraph (b)(21) blank.

For element 57, indicate the total number of siblings in foster care as of the end of the period, or at the time the child exited if the child exited during the period. A sibling may be a full or half biological sibling, sibling through adoption, or step-sibling. All siblings in foster care should be counted. They do not all need to be under the placement and care responsibilities of the same agency. The siblings do not have to be at the same placement setting.

For example, if a child has two biological siblings who are placed in a different foster home, the agency should indicate that the child has “2” siblings in foster care.

For example, if a child and their only sibling are placed at home in a trial home visit, the agency should indicate that there is “1” sibling in foster care.

For example, if a youth was removed from the home and three siblings remained in the home, the agency should indicate that there are “0” siblings in foster care.

For example, if a child living in Arizona has a sister living in Montana and both children are in foster care, albeit different agencies, the reporting agency should indicate “1” sibling in foster care.

The total number of siblings in foster care is based on the number of siblings in foster care at the end of the report period. This number may change over time.

For example, if a child has two siblings and one sibling exited foster care before the end of the reporting period and the other sibling was still in foster care at the end of the report period, the agency should indicate that the child has “1” sibling in foster care.

If a child does not have a sibling in foster care, the agency should report “0.” If the agency knows that the child has a sibling but does not know whether that sibling is also in foster care, the agency should indicate “0.” If the child does not have any siblings, this element should be null.

Element 57, siblings in foster care, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = The child does not have siblings in foster care 1 or greater = The number of siblings in foster care Null should be used if the agency indicated “0” (no siblings or unknown if the child has siblings) for element 56

Element 58: Siblings in Living Arrangement

Out-of-Home Care Element 58: Siblings in Living Arrangement (b)(21)

Indicate the number of siblings of the child who are in the same living arrangement as the child, on the last day of the report period. A sibling to the child is his or her brother or sister by biological, legal, or marital connection. Do not include the child who is subject of this record in the total number. If the child does not have any siblings, the title IV-E agency must leave this paragraph blank. If the child has siblings, but they are not in the same living arrangement as the child, the title IV-E agency must indicate “0”.

For element 58, indicate the total number of siblings in foster care who are also in the same living arrangement as the child. Element 58 should only be answered if the agency indicated “1” or more as the number of siblings in foster care for element 57.

For example, if two siblings are both in the placement and care of the agency, but one child is placed in a foster family home and the other is placed in a residential treatment center, the agency should indicate “0” because the child has no siblings in the same living arrangement as the child.

The number of siblings in the same living arrangement is based on the number of siblings in foster care as of the end of the report period or the date of exit. This number may change over time.

For example, if a child and her sister were both removed and initially placed together in the same foster home, but the sister was moved to a different therapeutic foster home, the agency should indicate “0,” because she was not with her sister at the end of the report period.

For example, if a child was placed in the same licensed foster home as her brother in care, but she exited foster care due to emancipation, the agency should indicate “1” because she was in the same living arrangement as her brother up until the day of her exit.

The agency should indicate null if the child has no siblings or if it is unknown if the child has siblings (“0,” element 56) or if the child has siblings, but the siblings are not in foster care (“0,” element 57).

Element 58, siblings in living arrangement, is ***new to AFCARS 2020.***

Data format	Numeric
Data constraint	0 = The child has siblings, but they are not in the same living arrangement 1 or greater = The number of siblings in the same living arrangement Null should be used if the agency indicated “0” (no siblings or unknown if the child has siblings) for element 56 or “0” (the child does not have siblings in foster care) for element 57

Parent or Legal Guardian - Section 1355.44(c)

Elements 59 & 60: Year of Birth of First Parent or Legal Guardian / Year of Birth of Second Parent or Legal Guardian

Out-of-Home Care Element 59: Year of Birth of First Parent or Legal Guardian (c)(1)

If applicable, indicate the year of birth of the first parent (biological, legal or adoptive) or legal guardian of the child. To the extent that a child has both a parent and a legal guardian, or two different sets of legal parents, the title IV-E agency must report on those who had legal responsibility for the child. We are not seeking information on putative parent(s) in this paragraph (c)(1). If there is only one parent or legal guardian of the child, that person's year of birth must be reported here. If the child was abandoned indicate “**abandoned**”. “Abandoned” means that the child was left alone or with others and the identity of the child's parent(s) or legal guardian(s) is unknown and cannot be ascertained. This includes a child left at a “safe haven”.

Out-of-Home Care Element 60: Year of Birth of Second Parent or Legal Guardian (c)(2)

If applicable, indicate the year of birth of the second parent (biological, legal or adoptive) or legal guardian of the child. We are not seeking information on putative parent(s) in this paragraph (c)(2). If the child was abandoned, indicate “**abandoned**”. “Abandoned” means that the child was left alone or with others and the identity of the child's parent(s) or legal guardian(s) is unknown and cannot be ascertained. This includes a child left at a “safe haven”. Indicate “**not applicable**” if there is not another parent or legal guardian.

For elements 59 and 60, indicate the birth year of the parent or legal guardian. Element 59 indicates the birth year of the first parent or guardian and element 60 indicates the birth year of the second parent or guardian. If the birth year is unknown, the agency should provide an estimated year of birth. Agencies should not use a standard default birth year for unknown year of birth.

For example, if the child was removed from their biological mother and adoptive stepfather, element 59 should be the birth year of the mother and element 60 should be the birth year of the stepfather.

For example, if the child was removed from their biological mother who lived by herself, and the child's biological father lived in a separate location, the year of birth for the mother should be entered for element 59 and the year of birth for the father should be entered for element 60.

If the child was abandoned and the identity of the parents cannot be ascertained, the agency should indicate “7777” for both elements. If there is no second parent or guardian, the agency should indicate for “9999” for element 60.

Elements 59-60, year of birth, are **revised in AFCARS 2020** to change from reporting on the primary caretaker in AFCARS 1993 to the parent or legal guardian and to add options for abandoned and not applicable.

Data format	Numeric
Data constraint	YYYY 7777 = Abandoned 9999 = Not applicable; which is only a valid option for element 60

Elements 61 & 62: Tribal Membership Mother / Tribal Membership Father

Out-of-Home Care Element 61: Tribal Membership Mother (c)(3)

For state title IV-E agencies only, indicate whether the biological or adoptive mother is a member of an Indian tribe. Indicate “yes”, “no” or “unknown”.

Out-of-Home Care Element 62: Tribal Membership Father (c)(4)

For state title IV-E agencies only, indicate whether the biological or adoptive father is a member of an Indian tribe. Indicate “yes”, “no”, or “unknown”.

For elements 61 and 62, indicate if the parent is a member of a federally recognized Tribe. A parent may define his or her race as Native American or Alaskan Native. However, they must be a member of a federally recognized Tribe for the agency to indicate “1” (yes).

Elements 61 and 62 are only reported by state agencies. Tribal agencies should leave the field null.

For example, if the biological father is a member of the Saint Regis Mohawk Tribe, the agency should indicate “1” (yes).

Elements 61 and 62, Tribal membership, are ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes 9 = Unknown Null should be used if there is no parent Null should be used by Tribal agencies for elements 61 and 62

Elements 63 & 64: Termination of Parental Rights for First Parent / Termination of Parental Rights for Second Parent

Out-of-Home Care Elements 63 & 64: Termination/Modification of Parental Rights for First Parent /Termination/Modification of Parental Rights for Second Parent (c)(5)

Indicate whether the termination/modification of parental rights for each parent (biological, legal and/or putative) was voluntary or involuntary. Voluntary means the parent voluntarily relinquished their parental rights to the title IV-E agency, with or without court involvement. Indicate “**voluntary**” or “**involuntary**”. Indicate “**not applicable**” if there was no termination/modification and leave paragraphs (c)(5)(i) and (c)(5)(ii) of this section blank.

For elements 63 and 64, indicate whether the process for terminating or modifying the parental rights for each parent is voluntary, involuntary, or not applicable. This element should be reported if the petition has been filed, even if the termination or modification as reported in elements 67 and 68 has not yet been completed.

A Tribal agency may have an alternative to a termination, such as a modification of parental rights, which is still covered within this element.

In the case where there may be multiple putative fathers for the child, the agency should report multiple entries of the second parent for each putative father for elements 64, 66, and 68. To the extent that a child has both a parent and a legal guardian, or two different sets of legal parents, the agency should report on those who had legal responsibility for the child when the child entered out-of-home care. In the case where a child may have been previously adopted by only one parent and then the agency is removing the child from that parent’s care, there may be no second parent.

For element 63 and 64, indicate if the termination or modification of parental rights for the parent was “1” (voluntary), “2” (involuntary), or “0” (not applicable). Not applicable should only be used if the agency did not seek to terminate or modify the rights of the parent.

For example, if a mother had her parental rights terminated by the court and the father voluntarily gave up his parental rights, the agency should indicate “2” (involuntary) for element 63 and “1” (voluntary) for element 64.

If there is no second parent, the agency should indicate null. Null is only a valid option for element 64.

For example, if a child has been adopted by a single parent from whom the agency is now removing the child and there is not a second parent, the agency should indicate null for element 64.

Elements 63 and 64, termination of parental rights, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = Not applicable if there is no TPR 1 = Voluntary 2 = Involuntary Null should be used if there is no second parent and is only applicable for element 64

Elements 65 & 66: Date of Petition for Termination of Parental Rights for First Parent / Date of Petition for Termination of Parental Rights for Second Parent

Out-of-Home Care Elements 65 & 66: Date of Termination/Modification of Parental rights Petition for First Parent / Date of Termination/Modification of Parental rights Petition for Second Parent (c)(5)(i)

Indicate the month, day and year that each petition to terminate/modify the parental rights of a biological, legal and/or putative parent was filed in court, if applicable. Indicate “**deceased**” if the parent is deceased. If a petition has not been filed, leave this paragraph (c)(5)(i) blank.

For elements 65 and 66, indicate the date of the **petition** to the court to file for the termination or modification of parental rights for each parent. A Tribal agency may have an alternative to a termination, such as a modification of parental rights, which is still covered within this element. These two elements are not to be confused with elements 67 and 68, which are for the dates of the actual termination of parental rights.

In the case where there may be multiple putative fathers for a child, the agency should report multiple entries of the second parent for each putative father for elements 64, 66, and 68. To the extent that a child has both a parent and a legal guardian, or two different sets of legal parents, the agency should report on those who had legal responsibility for the child when the child entered out-of-home care. In the case where a child may have been previously adopted by only one parent and then the agency is removing the child from that parent’s care, there may be no second parent.

For elements 65 and 66 agencies should indicate the year, month, and day that each petition to terminate or modify parental rights was filed in court.

If the parent is deceased, the agency should indicate “66666666.” Agencies should indicate null if elements 63 or 64 were not applicable or null.

For example, if the agency filed a petition for the termination of parental rights for the mother, the agency should indicate the date of the petition in element 65. If the agency has not yet filed for a petition for the father’s termination, the agency should indicate null for element 66.

For example, if neither parent had a termination of parental rights petition filed, the agency should indicate null for both elements 65 and 66.

Elements 65 and 66, date of petition for termination/modification of parental rights, is **new to AFCARS 2020**.

Data format	String
Data constraint	YYYYMMDD 66666666 = Deceased Null = Not applicable Null should be used if there is no second parent which is only applicable for element 66

Elements 67 & 68: Date of Termination of Parental Rights for First Parent / Date of Termination of Parental Rights for Second Parent

Out-of-Home Care Elements 67 & 68: Date of Termination/Modification of Parental Rights for First Parent / Date of Termination/Modification of Parental Rights for Second Parent (c)(5)(ii)

Enter the month, day and year that the parental rights were voluntarily or involuntarily terminated/modified, for each biological, legal and/or putative parent, if applicable. If the parent is deceased, enter the date of death.

Elements 67 and 68 indicate the date of the **actual termination or modification** of parental rights for each parent. A Tribal agency may have an alternative to a termination, such as a modification of parental rights, which is still covered within this element. These two elements are not to be confused with elements 65 and 66, which are for the dates of the petition for termination of parental rights.

In the case where there may be multiple putative fathers for the child, the agency should report multiple entries of the second parent for each putative father for elements 64, 66, and 68. To the extent that a child has both a parent and a legal guardian, or two different sets of legal parents, the agency must report on those who had legal responsibility for the child when the child entered out-of-home care. In the case where a child may have been previously adopted by only one parent and the agency is removing the child from that parent’s care, there may be no second parent.

For element 67 and elements 68, indicate the year, month and day that parental rights of the first and second parent were terminated or modified.

For example, if the court terminated the rights of the mother on August 15, and the father’s rights were terminated on November 3, the agency should report “20230815” (year|month|day) for element 67 and “20231103” for element 68.

If the parent is deceased, the agency should indicate the date the parent died. If the date is unknown, the agency should estimate the date of death.

Agencies should indicate null if elements 63 or 64 were not applicable or null.

For example, if the agency filed to terminate the parental rights of the child and the child had only one parent, due to a prior adoption by only one parent (element 64 was null), the agency should report the date of the actual termination of parental rights for the mother for element 67 and null for element 68.

There are **no changes** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYYMMDD Null = Not applicable Null should be used if there is no second parent, which is only applicable for element 68

Removal Information - Section 1355.44(d)

This section includes information on the child's out-of-home care episode, also referred to as a removal. For elements 69-186, agencies should report separate entries for every out-of-home care episode/removal. This means that the agency should submit multiple responses for elements 69-186, as applicable.

For example, a child was removed from their parents on March 1 and exited care to reunify with their parents on April 14. The agency should report all of the elements related to that removal and exit (elements 69-155).

When the child experienced a subsequent removal from their parents on June 28, the agency should report all of the elements related to the second removal AND all of the elements related to the first removal.

This is **new to AFCARS 2020**. In AFCARS 1993, agencies only reported the information on the most recent removal.

Element 69: Date of Child’s Removal

Out-of-Home Care Element 69: Date of Child’s Removal (d)(1)

Indicate the removal date(s) in month, day and year format for each removal of a child who enters the placement and care responsibility of the title IV-E agency. For a child who is removed and is placed initially in foster care, indicate the date that the title IV-E agency received placement and care responsibility. For a child who ran away or whose whereabouts are unknown at the time the child is removed and is placed in the placement and care responsibility of the title IV-E agency, indicate the date that the title IV-E agency received placement and care responsibility. For a child who is removed and is placed initially in a non-foster care setting, indicate the date that the child enters foster care as the date of removal.

For element 69, indicate the year, month, and day of the child’s removal when the agency received placement and care responsibility.

The agency should report a date for each removal episode. If there is more than one removal, the submitted file should include an entry for each of the dates of removal.

For example, if a child was removed on November 15 and returned to the parent two weeks later, then experienced another removal on December 29, the agency should indicate entries of “20221115” (year|month|day) and “20221229.”

For a youth who ran away, the agency should indicate the date that it received placement and care responsibility for the youth.

For example, if a child was reported to have run away on June 6 and the agency received placement and care responsibility for the child on June 11, the agency should indicate “20230611.”

For a youth who is removed and then placed into a setting that would not make the youth a part of the reporting population (such as a detention center or a long-term hospitalization) the agency should indicate the date that the child is actually placed in a foster care placement, such as a foster family home.

For example, if a child is removed from the custody of the parents on July 1 but wasn’t discharged from the hospital until a month later, the agency should indicate element 69 as August 1 or “20230801.”

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYYMMDD

Element 70: Transaction Date: Removal

Out-of-Home Care Element 70: Removal Transaction Date (d)(2)

A non-modifiable, computer-generated date which accurately indicates the month, day and year each response to paragraph (d)(1) of this section was entered into the information system.

Tardy Transaction Compliance Error (§1355.46(b)(5))

Tardy transactions are instances in which the removal transaction date or exit transaction date described in §1355.44(d)(2) and (g)(2) respectively, are entered into the title IV-E agency's information system more than 30 days after the event.

For element 70, indicate the year, month, and day that the removal date was entered in the data system. This date is automatically generated through the agency's data system and documents the actual date that was inputted into the data system as the date of the child's removal. Agencies should report a transaction date for each removal date reported in element 69.

This is an automatically generated date capturing the actual data entry date of the child's removal (element 69). The date of child's removal should be entered into the system within 30 days of the actual date of child's removal (§1355.46(b)(5)) and should be reported in element 70.

For example, if a caseworker entered a removal date of February 15 into the state's CCWIS system when he was inputting data on February 21, the CCWIS system should capture the date of "20230221" (year|month|day) for element 70, which would be within the 30-day requirement.

The length of allowable time between when the agency enters the date of child's removal (element 69) into the data system (recorded as element 70) **was revised** downward from 60 days in AFCARS 1993 to **30** days in AFCARS 2020.

Data format	Date
Data constraint	YYYYMMDD

Element 71: Environment at Removal

Out-of-Home Care Element 71: Environment at Removal (d)(3)

Indicate the type of environment (household or facility) the child was living in at the time of each removal for each removal reported in paragraph (d)(1) of this section.

- Indicate “**parent household**” if the child was living in a household that included one or both of the child's parents, whether biological, adoptive or legal.
- Indicate “**relative household**” if the child was living with a relative(s), the relative(s) is not the child's legal guardian and neither of the child's parents were living in the household.
- Indicate “**legal guardian household**” if the child was living with a legal guardian(s), the guardian(s) is not the child's relative and neither of the child's parents were living in the household.
- Indicate “**relative legal guardian household**” if the child was living with a relative(s) who is also the child's legal guardian.
- Indicate “**justice facility**” if the child was in a detention center, jail or other similar setting where the child was detained.
- Indicate “**medical/mental health facility**” if the child was living in a facility such as a medical or psychiatric hospital or residential treatment center.
- Indicate “**other**” if the child was living in another situation not so described, such as living independently or homeless.

For element 71, indicate the type of environment a child was living in at the time of removal. If the agency received placement and care responsibility while the child was on runaway status, the agency should indicate the environment from which the child ran away. Agencies should indicate the removal environment for each date reported in element 69.

For example, if a child was living with his parent at the time of his removal, the agency should indicate “1,” (parent household).

For example, if a child was homeless before the first removal, the agency should indicate “7” (other). If the same child had another removal while living with their aunt, the agency should indicate “2” for the second removal.

Element 71, environment at removal, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	1 = Parent household 2 = Relative household 3 = Legal guardian household 4 = Relative legal guardian household 5 = Justice facility 6 = Medical/mental health facility 7 = Other

Elements 72-105 Child and Family Circumstances at Removal

Child and Family Circumstances at Removal (d)(4)

Indicate all child and family circumstances that were present at the time of the child's removal and/or related to the child being placed into foster care for each removal reported in paragraph (d)(1) of this section. Indicate whether each circumstance described in paragraphs (d)(4)(i) through (xxxiv) of this section “**applies**” or “**does not apply**” for each removal indicated in paragraph (d)(1) of this section.

Out-of-Home Care Element 72: Runaway (d)(4)(i)

The child has left, without authorization, the home or facility where the child was residing.

Out-of-Home Care Element 73: Whereabouts Unknown (d)(4)(ii)

The child's whereabouts are unknown and the title IV-E agency does not consider the child to have run away.

Out-of-Home Care Element 74: Physical Abuse (d)(4)(iii)

Alleged or substantiated physical abuse, injury or maltreatment of the child by a person responsible for the child's welfare.

Out-of-Home Care Element 75: Sexual Abuse (d)(4)(iv)

Alleged or substantiated sexual abuse or exploitation of the child by a person who is responsible for the child's welfare.

Out-of-Home Care Element 76: Psychological or Emotional Abuse (d)(4)(v)

Alleged or substantiated psychological or emotional abuse, including verbal abuse, of the child by a person who is responsible for the child's welfare.

Out-of-Home Care Element 77: Neglect (d)(4)(vi)

Alleged or substantiated negligent treatment or maltreatment of the child, including failure to provide adequate food, clothing, shelter, supervision or care by a person who is responsible for the child's welfare.

Out-of-Home Care Element 78: Medical Neglect (d)(4)(vii)

Alleged or substantiated medical neglect caused by a failure to provide for the appropriate health care of the child by a person who is responsible for the child's welfare, although the person was financially able to do so, or was offered financial or other means to do so.

Out-of-Home Care Element 79: Domestic Violence (d)(4)(viii)

Alleged or substantiated violent act(s), including any forceful detention of an individual that results in, threatens to result in, or attempts to cause physical injury or mental harm. This is committed by a person against another individual residing in the child's home and with whom such person is in an intimate relationship, dating relationship, is or was related by marriage, or has a child in common. This circumstance includes domestic violence between the child and his or her partner and applies to a child or youth of any age including those younger and older than the age of majority. This does not include alleged or substantiated maltreatment of the child by a person who is responsible for the child's welfare.

Out-of-Home Care Element 80: Abandonment (d)(4)(ix)

The child was left alone or with others and the parent or legal guardian's identity is unknown and cannot be ascertained. This does not include a child left at a “safe haven” as defined by the title IV-E agency. This category does not apply when the identity of the parent(s) or legal guardian(s) is known.

Out-of-Home Care Element 81: Failure to Return (d)(4)(x)

The parent, legal guardian or caretaker did not or has not returned for the child or made his or her whereabouts known. This category does not apply when the identity of the parent, legal guardian or caretaker is unknown.

Out-of-Home Care Element 82: Caretaker’s Alcohol Use (d)(4)(xi)

A parent, legal guardian or other caretaker responsible for the child uses alcohol compulsively that is not of a temporary nature.

Out-of-Home Care Element 83: Caretaker’s Drug Use (d)(4)(xii)

A parent, legal guardian or other caretaker responsible for the child uses drugs compulsively that is not of a temporary nature.

Out-of-Home Care Element 84: Child Alcohol Use (d)(4)(xiii)

The child uses alcohol.

Out-of-Home Care Element 85: Child Drug Use (d)(4)(xiv)

The child uses drugs.

Out-of-Home Care Element 86: Prenatal Alcohol Exposure (d)(4)(xv)

The child has been identified as prenatally exposed to alcohol, resulting in fetal alcohol spectrum disorders such as fetal alcohol exposure, fetal alcohol effect or fetal alcohol syndrome.

Out-of-Home Care Element 87: Prenatal Drug Exposure (d)(4)(xvi)

The child has been identified as prenatally exposed to drugs.

Out-of-Home Care Element 88: Diagnosed Condition (d)(4)(xvii)

The child has a clinical diagnosis by a qualified professional of a health, behavioral or mental health condition, such as one or more of the following: Intellectual disability, emotional disturbance, specific learning disability, hearing, speech or sight impairment, physical disability or other clinically diagnosed condition.

Out-of-Home Care Element 89: Inadequate Access to Mental Health Services (d)(4)(xviii)

The child and/or child's family has inadequate resources to access the necessary mental health services outside of the child's out-of-home care placement.

Out-of-Home Care Element 90: Inadequate Access to Medical Services (d)(4)(xix)

The child and/or child's family has inadequate resources to access the necessary medical services outside of the child's out-of-home care placement.

Out-of-Home Care Element 91: Child Behavior Problem (d)(4)(xx)

The child's behavior in his or her school and/or community adversely affects his or her socialization, learning, growth and/or moral development. This includes all child behavior problems, as well as adjudicated and non-adjudicated status or delinquency offenses and convictions.

Out-of-Home Care Element 92: Death of Caretaker (d)(4)(xxi)

Existing family stress in caring for the child or an inability to care for the child due to the death of a parent, legal guardian or other caretaker.

Out-of-Home Care Element 93: Incarceration of Caretaker (d)(4)(xxii)

The child's parent, legal guardian or caretaker is temporarily or permanently placed in jail or prison which adversely affects his or her ability to care for the child.

Out-of-Home Care Element 94: Caretaker’s Significant Impairment-Physical/Emotional (d)(4)(xxiii)

A physical or emotional illness or disabling condition of the child's parent, legal guardian or caretaker that adversely limits his or her ability to care for the child.

Out-of-Home Care Element 95: Caretaker’s Significant Impairment-Cognitive (d)(4)(xxiv)

The child's parent, legal guardian or caretaker has cognitive limitations that impact his or her ability to function in areas of daily life, which adversely affect his or her ability to care for the

child. It also may be characterized by a significantly below-average score on a test of mental ability or intelligence.

Out-of-Home Care Element 96: Inadequate Housing (d)(4)(xxv)

The child's or his or her family's housing is substandard, overcrowded, unsafe or otherwise inadequate which results in it being inappropriate for the child to reside.

Out-of-Home Care Element 97: Voluntary Relinquishment for Adoption (d)(4)(xxvi)

The child's parent has voluntarily relinquished the child by assigning the physical and legal custody of the child to the title IV-E agency, in writing, for the purpose of having the child adopted. This includes a child left at a "safe haven" as defined by the title IV-E agency.

Out-of-Home Care Element 98: Child Requested Placement (d)(4)(xxvii)

The child, age 18 or older, has requested placement into foster care.

Out-of-Home Care Element 99: Sex Trafficking (d)(4)(xxviii)

The child is a victim of sex trafficking at the time of removal.

Out-of-Home Care Element 100: Parental Immigration Detainment or Deportation (d)(4)(xxix)

The parent is or was detained or deported by immigration officials.

Out-of-Home Care Element 101: Family Conflict Related to Child's Sexual Orientation, Gender Identity, or Gender Expression (d)(4)(xxx)

There is family conflict related to the child's expressed or perceived sexual orientation, gender identity, or gender expression. This includes any conflict related to the ways in which a child manifests masculinity or femininity.

Out-of-Home Care Element 102: Educational Neglect (d)(4)(xxxii)

Alleged or substantiated failure of a parent or caregiver to enroll a child of mandatory school age in school or provide appropriate home schooling or needed special educational training, thus allowing the child or youth to engage in chronic truancy.

Out-of-Home Care Element 103: Public Agency Title IV-E Agreement (d)(4)(xxxiii)

The child is in the placement and care responsibility of another public agency that has an agreement with the title IV-E agency pursuant to section 472(a)(2)(B) of the Act and on whose behalf title IV-E foster care maintenance payments are made.

Out-of-Home Care Element 104: Tribal Title IV-E Agreement (d)(4)(xxxiiii)

The child is in the placement and care responsibility of an Indian tribe, tribal organization or consortium with which the title IV-E agency has an agreement and on whose behalf title IV-E foster care maintenance payments are made.

Out-of-Home Care Element 105: Homelessness (d)(4)(xxxv)

The child or his or her family has no regular or adequate place to live. This includes living in a car, or on the street, or staying in a homeless or other temporary shelter.

For elements 72-105, indicate all circumstances that were present at the time of the child's removal, including the specific reason(s) that a child was removed. Agencies should indicate "0" (does not apply) or "1" (applies) for **each** of the child and family circumstances indicated in elements 72-105. Agencies should indicate the circumstances for each removal reported in element 69.

For example, if the child was removed due to prenatal drug exposure and the mother has a substance use disorder, the agency should indicate "1" (applies) for elements 83 and 86.

For example, if a child was removed primarily due to neglect, but the child was also diagnosed with ADHD, received multiple suspensions from school, and the caseworker found that the apartment they were living in

was unsafe, the agency should indicate “1” (applies) for elements 77 (neglect), 88 (diagnosed condition), and 91 (child behavior problem) to indicate all of the circumstances in the child’s life at the time of removal.

Runaway vs whereabouts unknown

Agencies should only report either runaway or whereabouts unknown, not both.

Element 72, **runaway**, should apply if the child left their home or facility without authorization. The agency may or may not know where the child is living.

For example, if a youth packed a suitcase and left their home without permission, telling their parent they were going to move in with a friend, the agency should indicate “1” (runaway) for element 72 because the youth ran away.

Element 73, **whereabouts unknown**, should only be used if the agency does not know where the child is, and it has been determined that the child has not run away. A child who was kidnapped would fit in this category.

For example, if a child was taken without permission from their grandparents, who are their legal guardians, by their father and the agency does not know where the father or the child are residing, the agency should indicate “1” applies for element 73, whereabouts unknown.

Abandonment vs voluntary relinquishment for adoption vs failure to return

Agencies should only report one of these response options.

Element 80, **abandonment**, should only be used if the parents’ identity is unknown and cannot be ascertained. This does not include a child left at a “safe haven,” because that specific circumstance is addressed below. This is the sole response to be used when the identity of the parent is unknown.

For example, if an infant was left on the doorstep of an office building and the parent is unknown, the agency should indicate “1” (applies) for element 80, abandonment.

Element 97, **voluntary relinquishment for adoption**, should only be used if the child's parent has voluntarily relinquished the child by officially assigning the physical and legal custody of the child to the title IV-E agency. This includes a child left at a “safe haven.”

For example, if a parent has surrendered her baby at a state’s official “safe haven” location, the agency should indicate “1” (applies) for element 97, voluntary relinquishment for adoption.

Element 81, **failure to return**, should only be used if the parent, legal guardian, or caretaker did not return for the child. This category does not apply when the identity of the parent, legal guardian, or caretaker is unknown.

For example, if a mother left her child with a babysitter and did not return to pick them up within a reasonable amount of time, and attempts to locate the mother were unsuccessful, the agency should indicate “1” (applies) for element 81, failure to return.

Public agency title IV-E agreement and Tribal title IV-E agreement

Element 103, **public agency title IV-E agreement**, and element 104, **Tribal title IV-E agreement**, are only used to identify children in the reporting population who are under a title IV-E agreement, pursuant to section 472(a)(2)(B) of the Act, with a public or Tribal agency and are receiving title IV-E foster care maintenance payments. These elements would not be reported for a child who is under the placement and care responsibility of the reporting title IV-E agency.

For example, Ohio has a title IV-E agreement with its juvenile justice agency. Ohio is making foster care maintenance payments to the juvenile justice agency on behalf of the child who is under the placement and care responsibility of the juvenile justice agency. Thus, the agency should indicate “1” (applies) for element 103, public agency title IV-E agreement.

For example, Oklahoma has a title IV-E agreement with the Quapaw Tribe of Oklahoma. If the state is making foster care maintenance payments on behalf of a child who is under the placement and care responsibility of the Quapaw Tribe, the agency should indicate “1” (applies) for element 104, Tribal title IV-E agreement.

Elements 72-105, child and family circumstances at removal, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	0 = Does not apply 1 = Applies

Element 106: Prior Victim of Sex Trafficking

Out-of-Home Care Element 106: Victim of Sex Trafficking Prior to Entering Foster Care (d)(5)

Indicate whether the child had been a victim of sex trafficking before the current out-of-home care episode. Indicate “**yes**” if the child was a victim or “**no**” if the child had not been a victim.

For element 106, indicate if the child is known to have been a victim of sex trafficking before the current removal episode. This could mean that the child was removed due to their victimization or that they were a victim at any time in their past. The agency should only indicate “1” (yes) if the child was a victim of sex trafficking before the current removal episode.

For example, if a child was sex trafficked by a parent and was consequently removed from the home, the agency should indicate “1” (yes).

For example, if a teenager entering care was a victim of sex trafficking at the age of 10, the agency should indicate “1” (yes).

This element should not include a child who experienced sex trafficking while in the current removal episode. If a child was a victim of sex trafficking in the current removal episode, the agency should indicate that experience in element 109.

Element 106, prior victim of sex trafficking, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element 107: Prior Report to Law Enforcement

Out-of-Home Care Element 107: Report to Law Enforcement (d)(5)(i)

If the title IV-E agency indicated “yes” in paragraph (d)(5) of this section, indicate whether the title IV-E agency made a report to law enforcement for entry into the National Crime Information Center (NCIC) database. Indicate “**yes**” if the agency made a report to law enforcement and indicate “**no**” if the agency did not make a report.

For element 107, indicate if the agency reported the sex trafficking victimization of the child to law enforcement. If the agency indicated “1” (yes) for element 106, victim or sex trafficking, the agency should indicate whether it was reported: either “0” (no) or “1” (yes) for element 107. If the agency indicated “0” (no) for element 106, the agency should leave element 107 null.

This element specifically asks whether the agency made a report to law enforcement and should only be reported as “1” (yes) if the agency made the report directly to law enforcement. This applies to a child who was victimized in the past or was recently a victim of sex trafficking.

For example, if a child was removed from his home due to being sex trafficked and the agency filed a report with law enforcement, the agency should report “1” (yes).

Elements 107, prior report to law enforcement, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should be used if the agency indicated “0” (no) for element 106

Element 108: Prior Date of Sex Trafficking Report to Law Enforcement

Out-of-Home Care Element 108: Dates of Report (d)(5)(ii)

If the title IV-E agency indicated “yes” in paragraph (d)(5)(i), indicate the date that the agency made the report to law enforcement.

Element 108 should indicate the date that the title IV-E agency reported the child’s sex trafficking victimization to law enforcement. If the agency reported “1” (yes) for element 107, report to law enforcement, the agency should indicate the year, month, and day that their agency made the report. If there were multiple reports to law enforcement, the agency should report every date.

Elements 108, prior date of sex trafficking report to law enforcement, is ***new to AFCARS 2020***

Data format	Date
Data constraint	YYYYMMDD Null should be used if the agency indicated “0” (no) for element 107

Element 109: Victim of Sex Trafficking while in Foster Care

Out-of-Home Care Element 109: Victim of Sex Trafficking while in Foster Care (d)(6)

Indicate “**yes**” if the child was a victim of sex trafficking while in out-of-home care during the current out-of-home care episode. Indicate “**no**” if the child was not a victim of sex trafficking during the current out-of-home care episode.

For element 109, indicate if the child was a victim of sex trafficking while in the placement and care responsibility of the agency **during the current removal episode**. The agency should only indicate “1” (yes) if the child was a victim of sex trafficking during the current out-of-home care episode.

For example, if the youth was placed in a group home, then was sex trafficked by an adult she met online for two months, after which the agency changed her placement to a residential treatment center, the agency should indicate “1” (yes) because the youth was victimized during the current out-of-home care episode.

This element should not include incidences of sex trafficking any time before the current removal episode. Previous incidences of sex trafficking should be reported in elements 106-108.

Elements 109, victim of sex trafficking while in foster care, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element 110: Report to Law Enforcement for Current Victimization

Out-of-Home Care Element 110: Report to Law Enforcement (d)(6)(i)

If the title IV-E agency indicated “yes” in paragraph (d)(6) of this section, indicate whether the agency made a report to law enforcement for entry into the NCIC database. Indicate “**yes**” if the title IV-E agency made a report(s) to law enforcement and indicate “**no**” if the title IV-E agency did not make a report.

Element 110 indicates if the agency reported the child’s sex trafficking victimization that happened during the current removal episode to law enforcement. If an agency indicated “1” (yes) for element 109, victim of sex trafficking while in foster care, it should indicate whether it was reported, either “0” (no) or “1” (yes) for element 110. If the agency indicated “0” (no) for element 109, the agency should leave element 110 null.

This element specifically asks whether or not the agency made a report to law enforcement and should only be reported as “1” (yes) if the agency made the report directly to law enforcement.

For example, if the agency made a report to law enforcement about the victimization of the youth described in the example for element 109, the agency should report “1” (yes).

Elements 110, report to law enforcement for current victimization, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should be used if the agency indicated “0” (no) for element 109

Element 111: Date of Sex Trafficking Report to Law Enforcement

Out-of-Home Care Element 111: Date (d)(6)(ii)

If the title IV-E agency indicated “yes” in paragraph (d)(6)(i), indicate the date(s) the agency made the report(s) to law enforcement.

Element 111 should indicate the date that the title IV-E agency reported the child’s current sex trafficking victimization to law enforcement. If the agency reported “1” (yes) for element 110, report to law enforcement, the agency should indicate the year, month, and day that their agency made the report. If there were multiple reports to law enforcement, the agency should report every date.

Element 111, date of sex trafficking report to law enforcement, is *new to AFCARS 2020*

Data format	Date
Data constraint	YYYYMMDD Null should be used if the agency indicated null or “0” (no) for element 109

Living Arrangement and Provider Information - Section 1355.44(e)

This section includes information on the child's living arrangement, also referred to as a placement. For elements 112-146, agencies should report information on every living arrangement, including each time the child ran away. This means that the agency should submit multiple responses for elements 112-146, where applicable.

For example, a child was removed from his parents on October 10. He was placed in a foster home with his aunt, from which he ran away two weeks later, and then was placed in a group home. The agency should report all of the living arrangements elements.

The agency should indicate responses for elements 112-146 for the placement in the foster home.

The agency should indicate responses for elements 112-122 for the time when the child ran away.

The agency should indicate responses for elements 112-122 for the placement in the group home.

This is ***new to AFCARS 2020***. In AFCARS 1993, agencies only reported the information on the most recent placement.

Element 112: Date of Living Arrangement

Out-of-Home Care Element 112: Date of Living Arrangement (e)(1)

Indicate the **month, day and year** representing the **first date of placement** in each of the child's living arrangements for each out-of-home care episode. In the case of a child who has run away, whose whereabouts are unknown, or who is already in a living arrangement and remains there when the title IV-E agency receives placement and care responsibility, indicate the date of the Voluntary Placement Agreement or court order providing the title IV-E agency with placement and care responsibility for the child, rather than the date when the child was originally placed in the living arrangement.

For element 112, indicate the year, month, and day of the child's placement into the living arrangement. The agency should report a date for each living arrangement. If there is more than one living arrangement, the submitted file should include an entry for the date of each living arrangement. Agencies will be guided by their own policies and data system constraints on the number of events, such as runaways, part-time placements, and short-term medical stays, that would be counted as living arrangements for the child's record. Any instance of respite care for a child should not reported as a living arrangement.

For example, if a child was removed and placed with their grandmother on February 15, the agency should indicate the first day of that living arrangement "20230215" (year|month|day). If the child was moved to a different living arrangement with a new foster family, the agency should indicate the first day of the second living arrangement as "20230525."

For children who have runaway or whose whereabouts are unknown, the agency should indicate the date the agency received placement and care responsibility.

For example, if the agency received a court order that gives it placement and care responsibility for the child on November 10 and the child runs away before the caseworker is able to pick her up, the agency should indicate "20221110" as the date of living arrangement and indicate the type of living arrangement. For this example, the agency should indicate "12" (runaway) for element 120.

For children who are already in a living arrangement, the agency should indicate the date of the voluntary placement agreement or court order providing the agency with placement and care responsibility, not the date of placement at that living arrangement where the child was last placed.

For example, if a state agency placed a child in a living arrangement with a relative foster family on August 28 and then transferred placement and care responsibility to a Tribal agency on November 10, the tribal agency should indicate "20221110" as the date of living arrangement.

For children placed on a campus with multiple settings (e.g., cottages) who move from one location to another, the agency should not report the move as a new living arrangement.

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYYMMDD

Element 113: Foster Family Home

Out-of-Home Care Element 113: Foster Family Home (e)2

Indicate whether each of the child's living arrangements is a foster family home, with a “yes” or “no” as appropriate. If the child has run away or the child's whereabouts are unknown, indicate “no”. If the title IV-E agency indicates that the child is living in a foster family home, by indicating “yes”, the title IV-E agency must complete the data element Foster family home type in paragraph (e)(3) of this section. If the title IV-E agency indicates “no”, the title IV-E agency must complete paragraph (e)(4) of this section.

For element 113, the agency should indicate if a child is living in a foster family home. If the child is in a foster family home, the agency should indicate “1” for yes and fill out at least one element for foster home type, elements 114-119. If the agency indicates “1” (yes) for a foster family home, the agency should indicate the foster parent characteristics elements 123-146.

If the child is not in a foster family home, has run away from the foster family home, or the child’s whereabouts are unknown, the agency should indicate “0” for no and indicate which other living arrangement type the child is in for element 120. Agencies should report this element for each living arrangement date as reported in element 112. If the agency indicates “0” (no) for element 113 the agency must indicate null for elements 123-146.

Element 113, foster family home, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element 114-119: Foster Family Home Type

If the title IV-E agency indicated that the child is living in a foster family home in paragraph (e)(2) of this section, indicate whether each foster family home type listed in paragraphs (e)(3)(i) through (vi) of this section applies or does not apply; otherwise the title IV-E agency must leave paragraph (e)(3) blank.

Out-of-Home Care Element 114: Licensed Home (e)(3)(i)

The child's living arrangement is licensed or approved by the state or tribal licensing/approval authority.

Out-of-Home Care Element 115: Therapeutic Foster Family (e)(3)(ii)

The home provides specialized care and services.

Out-of-Home Care Element 116: Shelter Care Foster Family Home (e)(3)(iii)

The home is so designated by the state or tribal licensing/approval authority and is designed to provide short-term or transitional care.

Out-of-Home Care Element 117: Relative Foster Family (e)(3)(iv)

The foster parent(s) is related to the child by biological, legal or marital connection and the relative foster parent(s) lives in the home as his or her primary residence.

Out-of-Home Care Element 118: Pre-Adoptive Home (e)(3)(v)

The home is one in which the family and the title IV-E agency have agreed on a plan to adopt the child.

Out-of-Home Care Element 119: Kin Foster Family Home (e)(3)(vi)

The home is one in which there is a kin relationship as defined by the title IV-E agency, such as one where there is a psychological, cultural or emotional relationship between the child or the child's family and the foster parent(s) and there is not a legal, biological, or marital connection between the child and foster parent.

For elements 114-119, indicate the type of foster family home. Agencies may identify one or more type of foster family home. Agencies should respond to these elements if the response to element 113 was “1” (yes) to whether the child was in a foster family home.

For example, a child may be placed in a licensed therapeutic foster home. The agency should indicate “1” (applies) for both elements 114, licensed home, and 115, therapeutic foster family.

For element 119, **kin foster family home**, states or Tribes should follow their own definition of kin or fictive kin. If a state or Tribe does not have a definition for kin or fictive kin, the agency should indicate kin foster family home when there is a psychological, cultural, or emotional relationship between the child or the child's family and the foster parent and **there is not a legal, biological, or marital connection** between the child and foster parent.

For example, if a child is placed with an “aunt” who is close enough that the parent considers her family but is not connected legally, biologically, or through marriage, the agency should indicate “1” (yes) for element 119, kin foster family home.

If the agency indicated “0” (no) in element 113, the agency should indicate null for elements 114-119 to indicate the child is not living in a foster family home.

For a child who is currently in a foster home which then becomes a pre-adoptive home, the agency should not enter the date of that transition as a new living arrangement for element 112, **date of living arrangement**. The agency should newly indicate element 118, **pre-adoptive home**, as “1”

(applies) (in addition to any other descriptors of the home in elements 114-119). This approach means that the AFCARS submission will not reflect the exact date that the home in which the child was living became a pre-adoptive home.

For example, for a child who enters a kin foster home on February 10 which then becomes a pre-adoptive home on October 18, the agency should indicate “1” (yes) for element 119, kin foster family home, in the A submission period. For the B submission period the agency should indicate “1” (yes) for element 118, pre-adopt home, and element 119, kin foster family home.

For example, a child enters a foster home with their aunt at the beginning of the reporting period which then becomes a pre-adoptive home by the end of the reporting period. The agency should indicate “1” (yes) for element 117, relative foster family, and element 118, pre-adopt home.

Some of elements 114-119, foster family home type, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	0 = Does not apply 1 = Applies Null should only be used if the agency indicated “0” (no) for element 113

Element 120: Other Living Arrangement Type

Out-of-Home Care Element 120: Other Living Arrangement Type (e)(4)

If the title IV-E agency indicated that the child's living arrangement is other than a foster family home in paragraph (e)(2) of this section, indicate the type of setting; otherwise the title IV-E agency must leave this paragraph (e)(4) blank.

- Indicate “**group home-family operated**” if the child is in a group home that provides 24-hour care in a private family home where the family members are the primary caregivers.
- Indicate “**group home-staff operated**” if the child is in a group home that provides 24-hour care for children where the care-giving is provided by shift or rotating staff.
- Indicate “**group home-shelter care**” if the child is in a group home that provides 24-hour care which is short-term or transitional in nature, and is designated by the state or tribal licensing/approval authority to provide shelter care.
- Indicate “**residential treatment center**” if the child is in a facility that has the purpose of treating children with mental health or behavioral conditions or if the child is placed with a parent who is in a licensed residential family-based treatment facility for substance abuse per section 472(j) of the Act. This does not include a qualified residential treatment program defined in section 472(k)(4) of the Act.
- Indicate “**qualified residential treatment program**” if the child is in a placement that meets all of the requirements of section 472(k)(2)(A) and (4) of the Act.
- Indicate “**child care institution**” if the child is in a private child care institution, or a public child care institution which accommodates no more than 25 children, and is licensed by the state or tribal authority responsible for licensing or approving child care institutions. This includes a setting specializing in providing prenatal, post-partum, or parenting supports for youth per section 472(k)(2)(B) of the Act, and a setting providing high-quality residential care and supportive services to children and youth who have been found to be, or are at risk of becoming, sex trafficking victims per section 472(k)(2)(D) of the Act. This does not include detention facilities, forestry camps, training schools or any other facility operated primarily for the detention of children who are determined to be delinquent.
- Indicate “**child care institution-shelter care**” if the child is in a child care institution and the institution is designated to provide shelter care by the state or tribal authority responsible for licensing or approving child care institutions and is short-term or transitional in nature.
- Indicate “**supervised independent living**” if the child is living independently in a supervised setting.
- Indicate “**juvenile justice facility**” if the child is in a secure facility or institution where alleged or adjudicated juvenile delinquents are housed.
- Indicate “**medical or rehabilitative facility**” if the child is in a facility where an individual receives medical or physical health care, such as a hospital. Indicate “**psychiatric hospital**” if the child is in a facility that provides emotional or psychological health care and is licensed or accredited as a hospital.
- Indicate “**runaway**” if the child has left, without authorization, the home or facility where the child was placed.

- Indicate “**whereabouts unknown**” if the child is not in the physical custody of the title IV-E agency or person or institution with whom the child has been placed, the child's whereabouts are unknown and the title IV-E agency does not consider the child to have run away.
- Indicate “**placed at home**” if the child is home with the parent(s) or legal guardian(s) in preparation for the title IV-E agency to return the child home permanently.

For element 120, indicate the type of living arrangement for the child if they were not placed in a foster family home. The agency should only report this element if the agency indicated “0” (no) in element 113, as the child was not placed in a foster family home.

The agency should choose the option that most closely fits the living arrangement. Agencies should be guided by their own policies and data system constraints on the number of events, such as runaways and short-term medical stays, that are counted as living arrangements for the child’s record.

Agencies should use **qualified residential treatment program (QRTP)** only if all of the requirements of section 472(k)(2)(A) and (4) of the Social Security Act are met. If a QRTP loses accreditation, or the certain child eligibility requirements are not met while the child is in that placement, that living arrangement is no longer able to be claimed as a QRTP. Thus, agencies must choose a different living arrangement option for element 120 that fits the child’s placement without adding another date of living arrangement (element 112).

Agencies should use **child care institution-shelter care** if the living arrangement is short-term and designated to provide shelter. This option should be used for a short-term hotel or another short-term stay that does not fit any other response option.

Runaway vs whereabouts unknown

Agencies should choose either runaway or whereabouts unknown and may not select both.

Runaway should be used if the child left their home or facility without authorization. The agency may or may not know where the child is living.

For example, if a youth packed a suitcase and left her home without permission, telling her parent she was going to move in with a friend, the agency should indicate “12” (runaway) for element 120.

For example, if a youth ran away from his current group home placement and no one has any idea where the child is, the agency should indicate “12” (runaway) as another placement for element 120.

Whereabouts unknown, should only be used if the agency does not know where the child is and has determined that the child has not run away.

For example, if a child was taken without permission from his grandparents, who are his legal guardians, by his father and the agency does not know where the father or the child are residing, the agency should indicate “13” (whereabouts unknown) for element 120.

Placed at home should be used if the child is in the placement and care responsibility of the agency and the child has returned the principal caretaker or placed with a parent or guardian. This may also

be referred to as a trial home visit. Such placements may occur at start of a removal episode, or more commonly, at the end of an episode. Guidance for AFCARS 1993 asked that the child be discharged after 6 months. AFCARS 2020 is different; an agency must keep the child in the reporting population until the agency’s placement and care ends.

For example, for a child who was removed from his divorced mother who had full-time custody before the removal and then the child was placed with his father, the agency should indicate “14” (placed at home).

The responses to element 120, other living arrangement types, are **revised in AFCARS 2020** from the current placement settings in AFCARS 1993.

Data format	Numeric
Data constraint	1 = Group home-family operated 2 = Group home-staff operated 3 = Group home-shelter care 4 = Residential treatment center 5 = Qualified residential treatment program 6 = Child care institution 7 = Child care institution-shelter care 8 = Supervised independent living 9 = Juvenile justice facility 10 = Medical or rehabilitative facility 11 = Psychiatric hospital 12 = Runaway 13 = Whereabouts unknown 14 = Placed at home Null should only be used if the agency indicated “1” (yes) for element 113

Element 121: Location of Living Arrangement

Out-of-Home Care Element 121: Location of Living Arrangement (e)(5)

Indicate whether each of the child's living arrangements reported in paragraph (e)(1) of this section is located within or outside of the reporting state or tribal service area or is outside of the country.

- Indicate “**out-of-state or out-of-tribal service area**” if the child's living arrangement is located outside of the reporting state or tribal service area but inside the United States.
- Indicate “**in-state or in-tribal service area**” if the child's living arrangement is located within the reporting state or tribal service area.
- Indicate “**out-of-country**” if the child's living arrangement is outside of the United States.
- Indicate “**runaway or whereabouts unknown**” if the child has run away from his or her living arrangement or the child's whereabouts are unknown.

If the title IV-E agency indicates either “out-of-state or out-of-tribal service area” or “out-of-country” for the child's living arrangement, the title IV-E agency must complete paragraph (e)(6) of this section; otherwise the title IV-E agency must leave paragraph (e)(6) of this section blank.

For element 121, indicate the location of the living arrangement of the child. Agencies should report this element for each living arrangement date reported in element 112. A state agency should indicate “1” for in-state and “2” for out-of-state. A Tribal agency should indicate “1” for in-tribal service area and “2” for out-of-tribal service area.

For example, if the New Hampshire state agency placed a child in a foster home within New Hampshire, the agency should indicate “1” (in-state service area). If that same agency placed a child in Vermont, the agency should indicate “2” (out-of-state service area).

For example, if the Navajo Nation title IV-E agency placed a child in a foster home within the Navajo Nation’s Tribal service area, the agency should indicate “1” (in-tribal service area). If that same agency placed a child in Washington and did not consider the location part of its service area, the agency should indicate “2” (out-of-tribal service area).

Agencies should only indicate “4” for runaway or whereabouts unknown if the agency indicated “12” (runaway) or “13” (whereabouts unknown) for element 120.

For example, if the agency indicated “12” (runaway) in element 120 because the child has run away from their foster home placement, the agency should indicate “4” (runaway or whereabouts unknown) in element 121.

The responses to element 121, location of living arrangement, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	1 = In-state or in-tribal service area 2 = Out-of-state or out-of-tribal service area 3 = Out-of-country 4 = Runaway or whereabouts unknown

Element 122: Jurisdiction or Country Where Child is Living

Out-of-Home Care Element 122: Jurisdiction or Country Where Child is Living (e)(6)

Indicate the state, tribal service area, Indian reservation, or country where the reporting title IV-E agency placed the child for each living arrangement, if the title IV-E agency indicated either “out-of-state” or “out-of-tribal service area” or “out-of-country” in paragraph (e)(5) of this section; otherwise the title IV-E agency must leave paragraph (e)(6) of this section blank. The title IV-E agency must report the information in a format according to ACF's specifications.

For element 122, indicate the location where the child is living if they were placed out-of-state or out-of-tribal service area. If the agency indicated “2” (out-of-state/out-of-tribal service area) or “3” (out-of-country) for element 121, it should indicate the jurisdiction in which the child was placed.

If the child is placed in another state, the agency should use a 2-digit state FIPS code⁹. If the child is placed in another country, the agency should use the International Organization for Standardization (ISO) 3-letter alpha code¹⁰. If the agency placed a child in a tribal service area, the agency should indicate the 3-digit EPA Tribal standard code¹¹.

For example, if the Michigan state agency placed a child with a foster family in Ohio, the agency should indicate “39” (2-digit state FIPS).

For example, if the Maine state agency placed a child with a foster family in Canada, the agency should indicate “CAN,” the ISO alpha code for Canada.

For example, if the Choctaw Nation of Oklahoma title IV-E agency placed a child in a group home run by the Cherokee Nation, the agency should indicate “038,” the EPA code for the Cherokee Nation, which is outside the Tribal service area of Choctaw.

The EPA standard Tribal code and the ISO standard code are located in Appendix C and D.

Element 122, jurisdiction or country where the child is living, is ***new to AFCARS 2020***.

Data format	String
Data constraint	State: 2-digit state FIPS code Tribal: 3-digit EPA Tribal standard code Country 3-digit ISO Alpha code Null should be used if the agency indicated “1” (in-state or in-Tribal service area) or “4” (runaway or whereabouts unknown) for element 121

⁹ Census FIPS Codes <https://www.census.gov/geographies/reference-files/2017/demo/popest/2017-fips.html>

¹⁰ ISO 3155 Country code standard <https://www.iso.org/iso-3166-country-codes.html>

¹¹ EPA Tribal identifier data standards <https://www.epa.gov/data-standards/tribal-identifier-data-standard>

Element 123: Marital Status of the Foster Parent

Out-of-Home Care Element 123: Marital Status of the Foster Parent(s) (e)(7)

Indicate the marital status of the child's foster parent(s) for each foster family home living arrangement in which the child is placed, as indicated in paragraph (e)(3) of this section.

- Indicate “**married couple**” if the foster parents are considered united in matrimony according to applicable laws. Include common law marriage, where provided by applicable laws.
- Indicate “**unmarried couple**” if the foster parents are living together as a couple but are not united in matrimony according to applicable laws.
- Indicate “**separated**” if the foster parent is legally separated or is living apart from his or her spouse.
- Indicate “**single adult**” if the foster parent is not married and is not living with another individual as part of a couple.

If the response is either “married couple” or “unmarried couple”, the title IV-E agency must complete the paragraphs for the second foster parent in paragraphs (e)(14) through (e)(18) of this section; otherwise the title IV-E agency must leave those data elements blank.

For element 123, the agency indicates the marital status of the foster parent(s) if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 123 null. If the response is “married couple” or “unmarried couple,” the agency should report year of birth, tribal membership, race, ethnicity, and sex of both foster parents (elements 125-146).

For example, if the child is placed with his 26-year-old brother who is living alone, the agency should indicate “4” (single adult).

Agencies should use their state or Tribal definitions for common law marriage and legal separation. If there are two foster parents who do not fit any of the categories, the agency should choose one parent to report as single adult.

The responses to element 123, marital status, are **revised in AFCARS 2020** to add options for separated and single adult.

Data format	Numeric
Data constraint	1 = Married couple 2 = Unmarried couple 3 = Separated 4 = Single adult Null should be used if the agency indicated “0” (no) for element 113

Element 124: Child's Relationship to the Foster Parent

Out-of-Home Care Element 124: Child's Relationships to the Foster Parent(s) (e)(8)

Child's relationship to the foster parent(s). Indicate the type of relationship between the child and his or her foster parent(s), for each foster family home living arrangement in which the child is placed, as indicated in paragraph (e)(3) of this section.

- Indicate “**relative(s)**” if the foster parent(s) is the child's relative (by biological, legal or marital connection).
- Indicate “**non-relative(s)**” if the foster parent(s) is not related to the child (by biological, legal or marital connection).
- Indicate “**kin**” if the foster parent(s) has kin relationship to the child as defined by the title IV-E agency, such as one where there is a psychological, cultural or emotional relationship between the child or the child's family and the foster parent(s) and there is not a legal, biological, or marital connection between the child and foster parent.

For element 124, indicate the child’s relationship to the foster parent(s) if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 124 null.

The agency should indicate “1” if the child is placed with a relative, “2” if the child is placed with a foster parent not related to the child, or “3” if the child is placed with a foster parent considered to be kin.

For example, if a child is placed in a therapeutic foster home of no relation to the child, the agency should indicate “2” (non-relative).

Relative vs. kin

Relative should be used if the foster parent has a biological, legal, or marital connection to the child, such as an aunt, uncle, grandparent, sibling, step-sibling or cousin.

Kin should be used in accordance with the state or Tribe’s own definition of kin or fictive kin. If a state or Tribe does not have a definition for kin or fictive kin, the agency should indicate kin when there is a psychological, cultural or emotional relationship between the child or the child's family and the foster parent and **there is not a legal, biological, or marital connection** between the child and foster parent.

For example, if a child is placed in a living arrangement with their uncle, the agency should indicate “1” (relative).

For example, if a child is placed with a Tribal elder who is considered part of the family, the agency should indicate “3” (kin).

Element 124, child relationship to the foster parent, is **new to AFCARS 2020**.

Data format	Numeric
Data constraint	1 = Relative 2 = Non-relative 3 = Kin Null should be used if the agency indicated "0" (no) for element 113

Element 125: Year of Birth for First Foster Parent

Out-of-Home Care Element 125: Year of Birth for First Foster Parent (e)(9)

Indicate the year of birth for the first foster parent for each foster family home living arrangement in which the child is placed, as indicated in paragraph (e)(3) of this section.

For elements 125 and 136, indicate the birth year of the foster parent if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 125 null.

Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For example, in a two-parent household, the agency should indicate the mother’s year of birth, “1982,” for element 125 and indicate the father’s year of birth, “1979,” for element 136.

For a one-parent household, indicate the year of birth for element 125 and leave element 136 null.

If the exact year of birth is unknown, the agency should estimate a year of birth.

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYY Null should be used if the agency indicated “0” (no) for element 113

Element 126: Tribal Membership of First Foster Parent

Out-of-Home Care Element 126: First Foster Parent Tribal Membership (e)(10)

For state title IV-E agencies only: Indicate whether the first foster parent is a member of an Indian tribe. Indicate “yes”, “no”, or “unknown”.

For element 126, indicate if the foster parent is a member of a federally recognized Tribe if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 126 null.

A foster parent may define his or her race as Native American or Alaskan Native however, they must be a member of a federally recognized Tribe for the agency to indicate “1” (yes).

Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For a one-adult household, indicate the first foster parent Tribal membership in element 126, and leave element 137 null.

The list of federally recognized Tribes, as maintained by the EPA, is in Appendix C.

Element 126, Tribal membership of first foster parent, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No 1 = Yes 9 = Unknown Null should be used if the agency indicated “0” (no) for element 113

Elements 127-133: Race of First Foster Parent

Indicate the race of the first foster parent for each foster family home living arrangement in which the child is placed, as indicated in paragraph (e)(3) of this section. In general, an individual's race is determined by the individual. Indicate whether each race category listed in paragraphs (e)(16)(i) through (e)(16)(vii) of this section applies with a “yes” or “no”.

Out-of-Home Care Element 127: American Indian or Alaska Native (e)(11)(i)

An American Indian or Alaska Native individual has origins in any of the original peoples of North or South America (including Central America) and maintains tribal affiliation or community attachment.

Out-of-Home Care Element 128: Asian (e)(11)(ii)

An Asian individual has origins in any of the original peoples of the Far East, Southeast Asia or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand and Vietnam.

Out-of-Home Care Element 129: Black or African American (e)(11)(iii)

A Black or African American individual has origins in any of the black racial groups of Africa.

Out-of-Home Care Element 130: Native Hawaiian or Other Pacific Islander (e)(11)(iv)

A Native Hawaiian or Other Pacific Islander individual has origins in any of the original peoples of Hawaii, Guam, Samoa or other Pacific Islands.

Out-of-Home Care Element 131: White (e)(11)(v)

A White individual has origins in any of the original peoples of Europe, the Middle East or North Africa.

Out-of-Home Care Element 132: Race Unknown (e)(11)(vi)

The foster parent does not know his or her race, or at least one race.

Out-of-Home Care Element 133: Race Declined (e)(11)(vii)

The first foster parent has declined to identify a race.

Elements 127-133 indicate the race of the first foster parent. Elements 138-144 indicate the race of the second foster parent. If the agency responded “1” (yes) to element 113, foster family home, these elements should be completed. If the response to element 113 was “0” (no), the agency should leave elements 127-133 null.

Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For a one-parent household, indicate the race of the first foster parent, elements 127-133, and leave elements 138-144 null.

The foster parent must be allowed to self-identify their race. The agency should select all possible racial combinations and not identify a ‘primary’ race. **The agency may not determine the foster parent’s race.** Hispanic/Latino is reported as an ethnicity, not a race.

For example, if the foster parent identifies as Asian, African American, and Native Hawaiian, the agency should indicate “1” (yes) for elements 128, element 129, and element 130.

Unknown should be used when the foster parent does not know their race. Unknown may also be used if the foster parent is multiracial and one or more race is unknown.

For example, if a foster parent does not know their racial identity, the agency should indicate “1” (yes) for element 132 and “0” (no) for all other race elements.

For example, if a foster parent does not know their full racial identity because they were adopted, but considers themselves white and multiracial, the agency should indicate “1” (yes) for elements 131 and 132, white and unknown.

Declined should only be used if the foster parent declined or does not wish to identify a race.

For example, if a foster parent does not wish to answer information about their race, the agency should not assume their race and should indicate “1” (yes) for element 133.

Elements 132 and 133, unknown and declined, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should be used if the agency indicated “0” (no) for element 113

Element 134: Hispanic or Latino Ethnicity of First Foster Parent

Out-of-Home Care Element 134: Hispanic or Latino Ethnicity of First Foster Parent (e)(12)

Indicate the Hispanic or Latino ethnicity of the first foster parent for each foster family home living arrangement in which the child is placed, as indicated in paragraph (e)(3) of this section. In general, an individual's ethnicity is determined by the individual. An individual is of Hispanic or Latino ethnicity if the individual is a person of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race. Indicate whether this category applies with a “**yes**” or “**no**”. If the first foster parent does not know his or her ethnicity indicate “**unknown**”. If the individual refuses to identify his or her ethnicity, indicate “**declined**”.

For elements 134 and 145, indicate the ethnicity of the first and second foster parent if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 134 null.

In general, a person’s ethnicity is determined by how they define themselves. Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For a one-parent household, indicate the ethnicity of the first foster parent, element 134, and leave element 145 null.

As with race information, the foster parent should self-identify this information. If a foster parent is of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race, the agency should report “yes” for element 134. Foster parents of Hispanic/Latino ethnicity may be any race. As with race, **the agency may not determine the foster parent’s ethnicity.**

Unknown should be used when a foster parent does not know their ethnicity.

Declined should only be used if the foster parent declined or does not wish to identify their ethnicity.

The responses to ethnicity are *revised in AFCARS 2020* to add options for unknown and declined.

Data format	Numeric
Data constraint	0 = No 1 = Yes 8 = Declined 9 = Unknown Null should be used if the agency indicated “0” (no) for element 113

Element 135: Sex of First Foster Parent

Out-of-Home Care Element 135: Sex of First Foster Parent (e)(13)

Indicate whether the first foster parent is “**female**” or “**male**”.

For elements 135 and element 146, indicate the sex of the foster parent if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 135 null.

Indicate whether the foster parent is male or female. Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For a one-parent household, indicate the sex of the first foster parent, element 135, and leave element 146 null.

Element 135, sex of the first foster parent, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	1 = Male 2 = Female Null should be used if the agency indicated “0” (no) for element 113

Element 136: Year of Birth for Second Foster Parent

Out-of-Home Care Element 136: Year of Birth for Second Foster Parent (e)(14)

Indicate the **birth year** of the second foster parent for each foster family home living arrangement in which the child is placed, as indicated in paragraph (e)(3) of this section, if applicable. The title IV-E agency must leave this paragraph (e)(14) blank if there is no second foster parent according to paragraph (e)(7) of this section.

For elements 125 and 136 indicate the birth year of the foster parent if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 136 null.

Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For example, in a two-parent household, the agency should indicate the mother’s year of birth, “1989,” for element 125 and indicate the father’s year of birth, “1988,” for element 136.

For a one-parent household, indicate the year of birth for the first foster parent in element 125 and leave element 136 null.

If the exact year of birth is unknown, the agency should indicate an estimated year of birth.

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYY Null should be used when there is no second foster parent Null should be used if the agency indicated “0” (no) for element 113

Element 137: Tribal Membership of Second Foster Parent

Out-of-Home Care Element 137: Second Foster Parent Tribal Membership (e)(15)

For state title IV-E agencies only: Indicate whether the second foster parent is a member of an Indian tribe. Indicate “yes”, “no”, or “unknown”.

For element 137 indicate if the foster parent is a member of a federally recognized Tribe if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 137 null.

A foster parent may define his or her race as Native American or Alaskan Native; however, they must be a member of a federally recognized Tribe for the agency to indicate “1” (yes).

Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For a one-parent household, indicate the first foster parent Tribal membership in element 126 and leave element 137 null.

The list of federally recognized Tribes, as maintained by the EPA, is in Appendix C.

Element 137, Tribal membership of second foster parent, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	0 = No 1 = Yes 9 = Unknown Null should be used when there is no second foster parent Null should be used if the agency indicated “0” (no) for element 113

Elements 138-144: Race of Second Foster Parent

Indicate the race of the second foster parent for each foster family home living arrangement in which the child is placed, as indicated in paragraph (e)(3) of this section, if applicable. In general, an individual's race is determined by the individual. Indicate whether each race category listed in paragraphs (e)(16)(i) through (vii) of this section applies with a “yes” or “no”. The title IV-E agency must leave this paragraph (e)(16) blank if there is no second foster parent according to paragraph (e)(7) of this section.

Out-of-Home Care Element 138: American Indian or Alaska Native (e)(16)(i)

An American Indian or Alaska Native individual has origins in any of the original peoples of North or South America (including Central America) and maintains tribal affiliation or community attachment.

Out-of-Home Care Element 139: Asian (e)(16)(ii)

An Asian individual has origins in any of the original peoples of the Far East, Southeast Asia or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand and Vietnam.

Out-of-Home Care Element 140: Black or African American (e)(16)(iii)

Black or African American individual has origins in any of the black racial groups of Africa.

Out-of-Home Care Element 141: Native Hawaiian or other Pacific Islander (e)(16)(iv)

A Native Hawaiian or Other Pacific Islander individual has origins in any of the original peoples of Hawaii, Guam, Samoa or other Pacific Islands.

Out-of-Home Care Element 142: White (e)(16)(v)

A White individual has origins in any of the original peoples of Europe, the Middle East or North Africa.

Out-of-Home Care Element 143: Race Unknown (e)(16)(vi)

The second foster parent does not know his or her race, or at least one race.

Out-of-Home Care Element 144: Race Declined (e)(16)(vii)

The second foster parent has declined to identify a race.

Elements 127-133 indicate the race of the first foster parent. Elements 138-144 indicate the race of the second foster parent. If the agency responded “1” (yes) to element 113, foster family home, these elements should be completed. If the response to element 113 was “0” (no), the agency should leave elements 138-144 null.

Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For a one-parent household, indicate the race of the first foster parent, elements 127-133, and leave elements 138-144 null.

The foster parent must be allowed to self-identify their race. The agency should select all possible racial combinations and not identify a ‘primary’ race. **The agency may not determine the foster parent’s race.** Hispanic/Latino is reported as an ethnicity not a race.

For example, if the foster parent identifies as Asian, African American, and Native Hawaiian, elements 139, element 140, and element 141 will be “1” (yes).

Unknown should be used when the foster parent does not know their race. Unknown may also be used if the foster parent is multiracial and one or more race is unknown.

For example, if a foster parent does not know their racial identity, the agency should indicate “1” (yes) for element 143 and “0” (no) for all other race elements.

For example, if a foster parent does not know their full racial identity because they were adopted, but considers themselves white and multiracial, the agency should indicate “1” (yes) for elements 142 and 143, white and unknown.

Declined should only be used if the foster parent declined or does not wish to identify a race.

For example, if a foster parent does not wish to answer information on their race, the agency should not assume their race and should indicate “1” (yes) for element 144.

Elements 143 and 144, unknown and declined, are ***revised in AFCARS 2020.***

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should be used when there is no second foster parent Null should be used if the agency indicated “0” (no) for element 113

Element 145: Hispanic or Latino Ethnicity of Second Foster Parent

Out-of-Home Care Element 145: Hispanic or Latino Ethnicity of Second Foster Parent (e)(17)

Indicate the Hispanic or Latino ethnicity of the second foster parent for each foster family home living arrangement in which the child is placed, as indicated in paragraph (e)(3) of this section, if applicable. In general, an individual's ethnicity is determined by the individual. An individual is of Hispanic or Latino ethnicity if the individual is a person of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race. Indicate whether this category applies with a “**yes**” or “**no**”. If the second foster parent does not know his or her ethnicity, indicate “**unknown**”. If the individual refuses to identify his or her ethnicity, indicate “**declined**”. The title IV-E agency must leave this paragraph (e)(17) blank if there is no second foster parent according to paragraph (e)(7) of this section.

For elements 134 and 145, indicate the ethnicity of the first and second foster parent if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 145 null.

In general, a person’s ethnicity is determined by how they define themselves. Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For a one-parent household, indicate the ethnicity of the first foster parent, element 134, and leave element 145 null.

As with race information, the foster parent should self-identify this information. If a foster parent is of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race, the agency should report “yes” for element 145. Foster parents of Hispanic/Latino ethnicity may be any race. As with race, **the agency may not determine the foster parent’s ethnicity.**

Unknown should be used when a foster parent does not know their ethnicity.

Declined should only be used if the foster parent declined or does not wish to identify their ethnicity.

The responses to ethnicity are *revised in AFCARS 2020* to add options for unknown and declined.

Data format	Numeric
Data constraint	0 = No 1 = Yes 8 = Declined 9 = Unknown Null should be used when there is no second foster parent Null should be used if the agency indicated “0” (no) for element 113

Element 146: Sex of Second Foster Parent

Out-of-Home Care Element 146: Sex of Second Foster Parent (e)(18)

Indicate whether the second foster parent self identifies as “female” or “male”.

For elements 135 and element 146, indicate the sex of the foster parent if the response to element 113 was “1” (yes) to foster family home. If the response to element 113 was “0” (no), the agency should leave element 146 null.

Indicate whether the foster parent is male or female. Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 125-146.

For a one-parent household, indicate the sex of the first foster parent, element 135, and leave element 146 null.

Element 146, sex of the second foster parent, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	1 = Male 2 = Female Null should be used when there is no second foster parent Null should be used if the agency indicated “0” (no) for element 113

Permanency Planning - Section 1355.44(f)

This section includes information on the child's plans for permanency for the out-of-home care episode. Agencies should report all the dates associated with permanency plans and hearings. In addition, agencies should report all dates of caseworker visits. The agency will most likely submit multiple responses for each element.

This is *new to AFCARS 2020*. In AFCARS 1993 agencies only reported the information on the most recent case plan goal and date of periodic review.

Element 147: Date of Permanency Plan

Out-of-Home Care Element 147: Date of Permanency Plan (f)(2)

Indicate the month, day and year that each permanency plan(s) was established during each out-of-home care episode.

For element 147, agencies should indicate the year, month, and day that the permanency plan was established. If the plan for permanency changes within the current out-of-home care episode, the agency should add an additional entry with the new date of the plan. If the child does not yet have a permanency plan established, the agency should indicate null.

The agency should report at least one date for a permanency plan for each removal episode (element 69). If there is more than one removal, the submitted file should include a date of a permanency plan for each of the dates of removal.

For example, if the agency decides on April 1 that the child's plan for permanency will be to reunify with their parents, the agency should indicate the date the plan was established as "20230401" (year|month|day) for element 147 and indicate "1" (reunify) for element 148, permanency plan. If the child's plans for permanency changes in three months, the agency should indicate the new date of the permanency plan as an additional entry of "20230706" for element 147 and add a new plan for element 148.

Element 147, date of permanency, is ***new to AFCARS 2020***.

Data format	Date
Data constraint	YYYYMMDD Null should be used if a permanency plan has not yet been established

Element 148: Permanency Plan

Out-of-Home Care Element 148: Permanency Plan (f)(1)

Indicate each permanency plan established for the child.

- Indicate “**reunify with parent(s) or legal guardian(s)**” if the plan is to keep the child in out-of-home care for a limited time and the title IV-E agency is to work with the child's parent(s) or legal guardian(s) to establish a stable family environment.
- Indicate “**live with other relatives**” if the plan is for the child to live permanently with a relative(s) (by biological, legal or marital connection) who is not the child's parent(s) or legal guardian(s).
- Indicate “**adoption**” if the plan is to facilitate the child's adoption by relatives, foster parents, kin or other unrelated individuals.
- Indicate “**guardianship**” if the plan is to establish a new legal guardianship.
- Indicate “**planned permanent living arrangement**” if the plan is for the child to remain in foster care until the title IV-E agency's placement and care responsibility ends. The title IV-E agency must only select “planned permanent living arrangement” consistent with the requirements in section 475(5)(C)(i) of the Act.
- Indicate “**permanency plan not established**” if a permanency plan has not yet been established.

For element 148, indicate the child’s permanency plan for the date indicated in element 147. An agency should only select one type of permanency plan. If the agency has a process for concurrent planning, the agency should choose one option as the primary plan. If the plan for permanency changes within the current out-of-home care episode, the agency should add an additional entry with the new plan.

The agency should report at least one permanency plan for each removal episode (element 69). If there is more than one removal, the submitted file should include at least one permanency plan for each of the dates of removal.

Live with other relatives is a permanency plan where the agency works with a child’s relatives in order to establish permanency. A child’s relatives could include biological, legal or through martial connection, such as an aunt or uncle, grandparent, sibling or step-sibling, or cousin. An agency should select this option if the plan for the child is to live with kin, as defined by the state or Tribe.

Guardianship is a permanency plan where the agency plans to establish a new legal guardianship arrangement for the child with a relative or a non-relative.

Planned permanent living arrangement is a permanency plan where the agency works with the child to remain in foster care or other permanent living arrangement until the agency’s responsibility ends. An agency’s responsibility could end once a child is emancipated or ages out of foster care.

The responses for element 148, permanency plan, are *revised in AFCARS 2020*.

Data format	Numeric
Data constraint	1 = Reunify with parent or legal guardian 2 = Live with other relative 3 = Adoption 4 = Guardianship 5 = Planned permanent living arrangement Null should be used if a permanency plan has not yet been established

Element 149: Date of Periodic Review

Out-of-Home Care Element 149: Date of Periodic Review (f)(3)

Enter the month, day and year of each periodic review, either by a court or by administrative review (as defined in section 475(6) of the Act) that meets the requirements of section 475(5)(B) of the Act.

For element 149, agencies should indicate the year, month, and day of each periodic review. Agencies should indicate the date of the periodic review by a court or by an administrative review process. If a review has not yet been performed, the agency should indicate null.

If there are multiple reviews within an out-of-home care episode, the agency should add an additional entry with the new date of the periodic review.

The agency should report at least one date for a periodic review for each removal episode (element 69). If there has not yet been a review, the agency should indicate null. If there is more than one removal, the submitted file should include a date of a periodic review for each of the dates of removal.

For example, if a panel of appropriate persons meets to do an administrative review of the child's case every month for six months, the agency should indicate the actual dates (year|month|day) of each of the six reviews as separate entries.

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYYMMDD Null = Not applicable

Element 150: Date of Permanency Hearing

Out-of-Home Care Element 150: Date of Permanency Hearing (f)(4)

Enter the month, day and year of each permanency hearing held by a court or an administrative body appointed or approved by the court that meets the requirements of section 475(5)(C) of the Act.

For element 150, agencies should indicate the year, month, and day of each permanency hearing. The permanency hearing must be conducted by a family or juvenile court or another court of competent jurisdiction or by an administrative body appointed or approved by the court. If a hearing has not occurred, the agency should indicate null.

If there are multiple hearings within an out-of-home care episode, the agency should add an additional entry with each new hearing.

The agency should report at least one permanency hearing date for each removal episode (element 69) unless the hearing has not yet happened. If there is more than one removal, the submitted file should include at least one hearing date for each removal.

For example, if a permanency hearing is held on the child's case exactly twelve months after he entered foster care on October 1, 2023, the agency should indicate "20241001," (year|month|day). If the child is still in care a year later and receives another hearing, the agency should indicate the date of the second hearing in a separate entry.

Element 150, date of permanency hearings, is ***new to AFCARS 2020***, but may have been captured in AFCARS 1993 as the most recent periodic review date.

Data format	Date
Data constraint	YYYYMMDD Null = Not applicable

Element 151: Caseworker Visit Dates

Out-of-Home Care Element 151: Caseworker Visit Dates (f)(5)

Enter each date in which a caseworker had an in-person, face-to-face visit with the child consistent with section 422(b)(17) of the Act. Indicate the **month, day** and **year** of each visit.

For element 151, agencies should indicate the year, month, and day of each caseworker visit. Agencies should indicate the date of every single visit by a caseworker. If there are multiple visits within an out-of-home care episode, the agency should add an additional entry with the new date of the caseworker visit. Element 151 only includes visits which were held in-person.

The agency should report at least one date for a caseworker visit for each removal episode (element 69) unless the visit has not yet happened. If there is more than one removal, the submitted file should include a caseworker visit date for each of the dates of removal.

For example, if a caseworker made monthly visits within a reporting period to meet with a child at their home, the agency should indicate each visit date (year|month|day) as a separate entry.

Element 151, caseworker visit dates, is ***new to AFCARS 2020***.

Data format	Date
Data constraint	YYYYMMDD Null should be used if the caseworker has not visited

Element 152: Caseworker Visit Location

Out-of-Home Care Element 152: Caseworker Visit Location (f)(6)

Indicate the location of each in-person, face-to-face visit between the caseworker and the child.

- Indicate “**child's residence**” if the visit occurred at the location where the child is currently residing, such as the current foster care provider's home, child care institution or facility.
- Indicate “**other location**” if the visit occurred at any location other than where the child currently resides, such as the child's school, a court, a child welfare office or in the larger community.

For element 152, agencies should indicate the location where the caseworker met with the child during each visit reported in element 151. If the caseworker visit occurred where the child is currently living, the agency should indicate “1” for the child’s residence. If the caseworker visited at another location besides the child’s residence, the agency should indicate “2” for other location. If there are multiple visits within an out-of-home care episode, the agency should add an additional entry with the new location for each of the caseworker visits reported in element 151. Element 152 only includes visits which were held in person.

For example, if a caseworker made two visits to meet with the child, where the first one was at the child’s foster home and the second at their school, the agency should report two separate entries for element 152, “1” (child’s location) and an additional one that indicates “2” (other location).

Element 152, caseworker visit location, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	1 = Child’s residence 2 = Other location Null should be used if the caseworker has not visited

General Exit Information - Section 1355.44(g)

This section includes information on the child's exit from the out-of-home care episode. The responses to this exit section complete and close out a removal episode, which began with a child's removal date in element 69. For elements 153-156 agencies should indicate information on every out-of-home care episode from which the child exited. This means that the agency should submit multiple responses for a child's exit from out-of-home care for elements 153-156, when applicable.

This is *new to AFCARS 2020*. In AFCARS 1993 agencies only reported the most recent removal and exit.

Element 153: Date of Exit

Out-of-Home Care Element 153: Date of Exit (g)(1)

Indicate the month, day and year for each of the child's exits from out-of-home care. An exit occurs when the title IV-E agency's placement and care responsibility of the child ends. If the child has not exited out-of-home care, the title IV-E agency must leave this paragraph (g)(1) blank. If this paragraph (g)(1) is applicable, paragraphs (g)(2) and (g)(3) of this section must have a response.

For element 153, date of exit, agencies should indicate the year, month, and day when a child exits out-of-home care. When a child exits out-of-home care, the agency is no longer responsible for placement or care of the child. If the child is still in out-of-home care, the agency should leave element 153 null.

The agency should report a date of exit for each removal episode (element 69) unless the child is still in care.

There are ***no changes*** in how this element is reported from AFCARS 1993 to AFCARS 2020.

Data format	Date
Data constraint	YYYYMMDD Null should be used if the child has not exited foster care

Element 154: Transaction Date: Exit

Out-of-Home Care Element 154: Exit Transaction Date (g)(2)

A non-modifiable, computer-generated date which accurately indicates the **month, day and year** each response to paragraph (g)(1) of this section was entered into the information system.

Tardy Transaction Compliance Error (§1355.46(b)(5))

Tardy transactions are instances in which the removal transaction date or exit transaction date described in §1355.44(d)(2) and (g)(2) respectively, are entered into the title IV-E agency's information system more than 30 days after the event.

For element 154, indicate the year, month, and day that the date of child's exit was entered into the data system. This date is automatically generated through the agency's data system and documents the actual date the child's exit date was entered into the data system. Agencies should report a transaction date for each date of exit reported in element 153.

This is an automatically generated date capturing the actual data entry date of the child's exit (element 153). The date of child's exit should be entered into the system within 30 days of the actual date of child's exit (§1355.46(b)(5)) and should be reported in element 154.

For example, if a child exited foster care to adoption on January 8 and the worker updated the data system on January 23 with the January 8th date for element 153, then element 154 would be reported as "20240123" (year|month|day), which would be within the 30-day requirement.

The length of allowable time between the exit date and when the agency actually enters the date of exit (element 153) into the data system (recorded as element 154) **was revised** downward from 60 days in AFCARS 1993 **to 30** days in AFCARS 2020.

Data format	Date
Data constraint	YYYYMMDD Null should be used if the child has not exited foster care

Element 155: Exit Reason

Out-of-Home Care Element 155: Exit Reason (g)(3)

Indicate the reason for each of the child's exits from out-of-home care.

- Indicate “**not applicable**” if the child has not exited out-of-home care.
- Indicate “**reunify with parent(s)/legal guardian(s)**” if the child was returned to his or her parent(s) or legal guardian(s) and the title IV-E agency no longer has placement and care responsibility.
- Indicate “**live with other relatives**” if the child exited to live with a relative (related by a biological, legal or marital connection) other than his or her parent(s) or legal guardian(s).
- Indicate “**adoption**” if the child was legally adopted.
- Indicate “**emancipation**” if the child exited care due to age.
- Indicate “**guardianship**” if the child exited due to a legal guardianship of the child.
- Indicate “**runaway or whereabouts unknown**” if the child ran away or the child's whereabouts were unknown at the time that the title IV-E agency's placement and care responsibility ends.
- Indicate “**death of child**” if the child died while in out-of-home care.
- Indicate “**transfer to another agency**” if placement and care responsibility for the child was transferred to another agency, either within or outside of the reporting state or tribal service area.

For element 155, agencies should indicate the reason for the child’s exit from out-of-home care. The agency should only choose the one option that most closely fits the reason for the child’s exit. If a child is still in the placement and care responsibility of the agency at the end of the report period, the agency should indicate “9” (not applicable). Agencies should report an exit reason for each date of exit reported in element 153.

Reunify with parent(s)/legal guardian(s) is the reason to be reported if the child was returned to their parent or legal guardian and the title IV-E agency's placement and care responsibility has ended. This includes reunifying with a parent or legal guardian, even if the child was not removed from that parent or legal guardian.

For example, if a child was removed from her biological mother, placed in a non-relative foster home, but then exited foster care to live with her biological father, the agency should indicate “1” (reunify with parent(s)/legal guardian(s)).

Guardianship vs live with other relatives

Guardianship should be used if the child exited out-of-home care under a new legal guardianship arrangement for the child. This includes legal guardianships established with a relative or a non-relative.

Live with other relatives should only be used if the child is exiting care to live with a relative who is not a parent or legal guardian and there is no legal guardianship agreement. This response option could be used if there is an informal or voluntary agreement with the parents for the child to live with a relative, or if the judge ordered the living arrangement outside of a legal guardianship.

For example, if a child exits out-of-home care to live with their grandparent under a legal guardianship, the agency should indicate “5” (guardianship).

For example, if a child exits out-of-home care to live with their aunt under a voluntary agreement with the child’s biological parent, the agency should indicate “2” (live with other relative).

The response options for element 155, exit reason, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	1 = Reunify with parent or legal guardian 2 = Live with other relative 3 = Adoption 4 = Emancipation 5 = Guardianship 6 = Runaway or whereabouts unknown 7 = Death of child 8 = Transfer to another agency 9 = Not applicable

Element 156: Transfer to another Agency

Out-of-Home Care Element 156: Transfer to another Agency (g)(4)

If the title IV-E agency indicated the child was transferred to another agency in the data element Exit reason described in paragraph (g)(3) of this section, indicate the type of agency that received placement and care responsibility for the child from the following options:

- “State title IV-E agency”,
- “Tribal title IV-E agency”,
- “Indian tribe or tribal agency (non-IV-E)”,
- “juvenile justice agency”,
- “mental health agency”,
- “other public agency” or
- “private agency”.

For element 156, agencies should indicate if the child was transferred to another agency during the report period. Element 156 will only be answered if the agency indicated “8” (transfer to another agency) in element 155.

For example, if the child exited foster care because the case was transferred to the juvenile justice system, the agency should indicate “4” (juvenile justice agency) for element 156.

Null should be used if the child did not exit out-of-home care or if the child exited out-of-home care due to another reason, such as to reunify with a parent or legal guardian, live with other relatives, adoption, emancipation, guardianship, runaway or whereabouts unknown, or death.

Element 156, transfer to another agency, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	1 = State title IV-E agency 2 = Tribal title IV-E agency 3 = Indian Tribe or Tribal agency (non-IV-E) 4 = Juvenile justice agency 5 = Mental health agency 6 = Other public agency 7 = Private agency Null should be used if the agency indicated any response option other than “8” (transfer to another agency) for element 155

Exit to Adoption and Guardianship Information - Section 1355.44(h)

***Out-of-Home Care* Exit to Adoption and Guardianship Information 1355.44(h)**

Report information in paragraph (h) only if the title IV-E agency indicated the child exited to adoption or legal guardianship in the data element Exit reason described in paragraph (g)(3) of this section. Otherwise the title IV-E agency must leave the data elements in paragraph (h) blank.

This section only includes information on children who exit out-of-home care to adoption or legal guardianship. If the child is still under the placement and care responsibility of the agency, the elements in this section should remain null. If the child exits care for any reason other than adoption or guardianship, such as: reunify with parent, live with other relatives, emancipation, runaway or whereabouts unknown, death of child, or transfer to another agency, the agency would indicate null for the elements in this section (elements 157-186).

Many of the data elements that were previously reported as part of the adoption data file in AFCARS 1993 are now part of the exit to adoption and guardianship section of the out-of-home care data file in AFCARS 2020.

Element 157: Marital Status of the Adoptive Parent or Guardian

Out-of-Home Care Element 157: Marital Status of the Adoptive parent(s) or Guardian(s) **(h)(1)**

Indicate the marital status of the adoptive parent(s) or legal guardian(s).

- Indicate “**married couple**” if the adoptive parents or legal guardians are considered united in matrimony according to applicable laws. Include common law marriage, where provided by applicable laws.
- Indicate “**married but individually adopting or obtaining legal guardianship**” if the adoptive parents or legal guardians are considered united in matrimony according to applicable laws but are individually adopting or obtaining legal guardianship.
- Indicate “**separated**” if the foster parent is legally separated or is living apart from his or her spouse.
- Indicate “**unmarried couple**” if the adoptive parents or guardians are living together as a couple but are not united in matrimony according to applicable laws. Use this response option even if only one person of the unmarried couple is the adoptive parent or legal guardian of the child.
- Indicate “**single adult**” if the adoptive parent or legal guardian is not married and is not living with another individual as part of a couple.

If the response is “married couple” or “unmarried couple”, the title IV-E agency also must complete paragraphs for the second adoptive parent or second legal guardian in paragraphs (h)(8) through (12) of this section; otherwise the title IV-E agency must leave those paragraphs blank.

For element 157, agencies indicate the marital status of the adoptive parent(s) or guardian(s). Agencies should remain consistent in the assignment of first adoptive parent/guardian and second adoptive parent/guardian for elements 158-183. For married or unmarried couples, the agency should report year of birth, Tribal membership, race, ethnicity, and sex of both parents or guardians.

Agencies should use their state or Tribal definitions for common law marriage and legal separation. If there are two adoptive parents or guardians who do not fit any of the categories, the agency should choose one to report as “single adult.”

The response options for marital status are *revised in AFCARS 2020*.

Data format	Numeric
Data constraint	1 = Married couple 2 = Unmarried couple 3 = Separated 4 = Single adult 5 = Married but individually adopting or obtaining legal guardianship Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Element 158-161: Child's Relationship to the Adoptive Parent or Guardian

Indicate the type of relationship between the child and his or her adoptive parent(s) or legal guardian(s). Indicate whether each relationship listed in paragraphs (h)(2)(i) through (iv) of this section “applies” or “does not apply”.

Out-of-Home Care Element 158: Relative (h)(2)(i)

The adoptive parent(s) or legal guardian(s) is the child's relative (by biological, legal or marital connection).

Out-of-Home Care Element 159: Kin (h)(2)(ii)

The adoptive parent(s) or legal guardian(s) has a kin relationship with the child, as defined by the title IV-E agency, such as one where there is a psychological, cultural or emotional relationship between the child or the child's family and the adoptive parent(s) or legal guardian(s) and there is not a legal, biological, or marital connection between the child and foster parent.

Out-of-Home Care Element 160: Non-Relative (h)(2)(iii)

The adoptive parent(s) or legal guardian(s) is not related to the child by biological, legal or marital connection.

Out-of-Home Care Element 161: Foster Parent (h)(2)(iv)

The adoptive parent(s) or legal guardian(s) was the child's foster parent(s).

Elements 158-161 indicate the relationship the child has with the adoptive parent(s) or guardian(s). Agencies should indicate all possible relationships that a child has with their adoptive parent(s) or guardian(s).

For a **kin foster family home**, states or Tribes should follow their own definition of kin or fictive kin. If a state or Tribe does not have a definition for kin or fictive kin, the agency should indicate kin foster family home when there is a psychological, cultural or emotional relationship between the child or the child's family and the foster parent and **there is not a legal, biological, or marital connection** between the child and foster parent.

For example, if the adoptive parents are the child's foster parents who have been a close friend of the family since their birth, the agency should indicate “1” (applies) for element 159, kin, and element 161, foster parent.

For example, if the child was placed with a foster parent who is now their legal guardian, the agency should indicate “1” (applies) for element 160, non-relative, and element 161, foster parent.

Elements 158-161, child relationship to adoptive parent or guardian, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	0 = Does not apply 1 = Applies Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Element 162: Date of Birth of First Adoptive Parent or Guardian

Out-of-Home Care Element 162: Date of Birth of First Adoptive Parent or Guardian (h)(3)

Indicate the month, day and year of the birth of the first adoptive parent or legal guardian.

For elements 162 and 173, indicate the date of birth of the adoptive parent or guardian in the year, month, and day format. Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 158-183.

For example, for a married couple, the agency should indicate the mother's date of birth, "19850119" (year|month|day), for element 162 and indicate the father's date of birth, "19850420," for element 173.

For a one-parent household, indicate the date of birth for element 162 and leave element 173 null.

If the exact date of birth is unknown, the agency should indicate an estimated date of birth.

Element 162, date of birth of adoptive parent or guardian, is **revised in AFCARS 2020** to report the year, month, and day instead of just the year as in AFCARS 1993.

Data format	Date
Data constraint	YYYYMMDD Null should be used if the agency indicated "1" (reunify with parent or legal guardian), "2" (live with other relative), "4" (emancipation), "6" (runaway or whereabouts unknown), "7" (death of child), "8" (transfer to another agency), or "9" (not applicable) for element 155

Element 163: Tribal Membership of First Adoptive Parent or Guardian

Out-of-Home Care Element 163: First Adoptive Parent or Guardian Tribal Membership (h)(4)

Indicate whether the first adoptive parent or guardian is a member of an Indian tribe. Indicate “yes”, “no” or “unknown”.

For elements 163 and 174, indicate if the adoptive parent or guardian is a member of a federally recognized Tribe. An adoptive parent or guardian may define his or her race as Native American or Alaskan Native. However, they must be a member of a federally recognized Tribe for the agency to indicate “1” (yes).

Agencies should remain consistent in the assignment of first adoptive parent or guardian and second adoptive parent or guardian for elements 158-183.

For a one-parent household, indicate if the first adoptive parent or guardian is a member of a Tribe in element 163 and leave element 174 null.

The list of federally recognized Tribes maintained by the EPA is in Appendix C.

Element 163, Tribal membership first adoptive parent or guardian, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	0 = No 1 = Yes 9 = Unknown Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Elements 164-170: Race of First Adoptive Parent or Guardian

In general, an individual's race is determined by the individual. Indicate whether each race category listed in the data elements described in paragraphs (h)(5)(i) through (h)(5)(vii) of this section applies with a “yes” or “no”.

Out-of-Home Care Element 164: American Indian or Alaska Native (h)(5)(i)

An American Indian or Alaska Native individual has origins in any of the original peoples of North or South America (including Central America) and maintains tribal affiliation or community attachment.

Out-of-Home Care Element 165: Asian (h)(5)(ii)

An Asian individual has origins in any of the original peoples of the Far East, Southeast Asia or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand and Vietnam.

Out-of-Home Care Element 166: Black or African American (h)(5)(iii)

A Black or African American individual has origins in any of the black racial groups of Africa.

Out-of-Home Care Element 167: Native Hawaiian or other Pacific Islander (h)(5)(iv)

A Native Hawaiian or Other Pacific Islander individual has origins in any of the original peoples of Hawaii, Guam, Samoa or other Pacific Islands.

Out-of-Home Care Element 168: White (h)(5)(v)

A White individual has origins in any of the original peoples of Europe, the Middle East or North Africa.

Out-of-Home Care Element 169: Race Unknown (h)(5)(vi)

The first adoptive parent or legal guardian does not know his or her race, or at least one race.

Out-of-Home Care Element 170: Race Declined (h)(5)(vii)

The first adoptive parent, or legal guardian has declined to identify a race.

Elements 164-170 indicate the race of the first adoptive parent or guardian. Elements 175-181 indicate the race of the second adoptive parent or guardian. Agencies should remain consistent in the assignment of first adoptive parent or guardian and second adoptive parent or guardian for elements 158-183.

For a one-parent household, indicate the race of the first adoptive parent or guardian, elements 164-170, and leave elements 175-181 null.

The adoptive parent or guardian must be allowed to self-identify their race. The agency should select all possible racial combinations. The agency should not identify a ‘primary’ race. **The agency may not determine the adoptive parent’s or guardian’s race.** Hispanic/Latino is reported as an ethnicity not a race.

For example, if the adoptive parent identifies as Asian and Native Hawaiian, element 165 and element 167 should indicate “1” (yes).

Unknown should be used when the adoptive parent or guardian does not know their race. Unknown may also be used if the adoptive parent or guardian is multiracial and one or more race is unknown.

For example, if a foster parent does not know their racial identity, the agency should indicate “1” (yes) for element 169 and “0” (no) for all other race elements.

For example, if a foster parent does not know their full racial identity because they were adopted, but considers themselves white and multiracial, the agency should indicate “1” (yes) for elements 168 and 169, white and unknown.

Declined should only be used if the adoptive parent or guardian declined or does not wish to identify a race.

For example, if an adoptive parent declines to answer information on their race, the agency should not assume their race and should indicate “1” (yes) for element 170.

Elements 169 and 170, unknown and declined, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Element 171: Hispanic or Latino Ethnicity of First Adoptive Parent or Guardian

Out-of-Home Care Element 171: Hispanic or Latino Ethnicity of First Adoptive Parent or Guardian (h)(6)

In general, an individual's ethnicity is determined by the individual. An individual is of Hispanic or Latino ethnicity if the individual is a person of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race. Indicate whether this category applies with a “yes” or “no”. If the first adoptive parent or legal guardian does not know his or her ethnicity, indicate “**unknown**”. If the individual refuses to identify his or her ethnicity, indicate “**declined**”.

Elements 171 and 182 indicate the ethnicity of the first and second adoptive parent or guardian. In general, a person's ethnicity is determined by how they define themselves. Agencies should remain consistent in the assignment of first adoptive parent or guardian and second adoptive parent or guardian for elements 158-183.

For a one-parent household, indicate the ethnicity of the first adoptive parent or guardian, element 171, and leave element 182 null.

As with race information, the adoptive parent should self-identify this information. If an adoptive parent is of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race, the agency should report “yes” for element 134. Adoptive parents or guardians of Hispanic/Latino ethnicity may be any race. As with race, **the agency may not determine the adoptive parent's or guardian's ethnicity.**

Unknown, and declined are ***newly defined in AFCARS 2020***. Unknown and declined follow the same parameters as the first adoptive parent or guardian's race, elements 164-170.

Unknown should be used when an adoptive parent or guardian does not know their ethnicity.

Declined should only be used if the adoptive parent or guardian declined or does not wish to identify their ethnicity.

The responses to ethnicity are ***revised in AFCARS 2020*** to add options for unknown and declined.

Data format	Numeric
Data constraint	0 = No 1 = Yes 8 = Declined 9 = Unknown Null should be used if the agency indicated “1” (reunify with parent legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency) or “9” (not applicable) for element 155

Element 172: Sex of First Adoptive Parent or Guardian

Out-of-Home Care Element 172: Sex of First Adoptive Parent or Guardian (h)(7)

Indicate whether the first adoptive parent self identifies as “female”, “male”.

For elements 172 and element 183, indicate the sex of the adoptive parent or guardian. Indicate whether the adoptive parent or guardian is male or female. Agencies should remain consistent in the assignment of first adoptive parent or guardian and second adoptive parent or guardian for elements 158-183.

For a one-parent household indicate the sex of the first adoptive parent or guardian in element 172 and leave element 183 null.

Element 173, sex of the first adoptive parent or guardian, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	1 = Male 2 = Female Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Element 173: Date of birth of Second Adoptive Parent or Guardian

Out-of-Home Care Element 173: Date of birth of Second Adoptive Parent, Guardian, or Other Member of the Couple (h)(8)

Indicate the **month, day and year** of the date of birth of the second adoptive parent, legal guardian, or other member of the couple. The title IV-E agency must leave this data element blank if there is no second adoptive parent, legal guardian, or other member of the couple according to paragraph (h)(1) of this section.

For elements 162 and 173, indicate the date of birth of the adoptive parent or guardian in the year, month, and day format. Agencies should remain consistent in the assignment of first adoptive parent or guardian and second adoptive parent or guardian for elements 158-183.

For example, in an unmarried couple, indicate the mother's date of birth, "19920921" (year|month|day) for element 162 and indicate the father's date of birth, "19951002" for element 173.

For a one-parent household, indicate the date of birth for element 162 and leave element 173 null.

If the exact date of birth is unknown, the agency should indicate an estimated date of birth.

Element 173, date of birth of adoptive parent or guardian, is ***revised in AFCARS 2020*** to report the year, month, and day instead of just the year as AFCARS 1993.

Data format	Date
Data constraint	YYYYMMDD Null should be used when there is no second adoptive parent, guardian, or other member of couple Null should be used if the agency indicated "1" (reunify with parent or legal guardian), "2" (live with other relative), "4" (emancipation), "6" (runaway or whereabouts unknown), "7" (death of child), "8" (transfer to another agency), or "9" (not applicable) for element 155

Element 174: Tribal Membership of Second Adoptive Parent or Guardian

Out-of-Home Care Element 174: Second Adoptive Parent, Guardian, or Other Member of the Couple Tribal Membership (h)(9)

Indicate whether the second adoptive parent or guardian is a member of an Indian tribe. Indicate “yes”, “no” or “unknown”.

For elements 163 and 174, indicate if the adoptive parent or guardian is a member of a federally recognized Tribe. An adoptive parent or guardian may define their race as Native American or Alaskan Native. However, they must be a member of a federally recognized Tribe for the agency to indicate “1,” yes.

Agencies should remain consistent in the assignment of first adoptive parent or guardian and second adoptive parent or guardian for elements 158-183.

For a one-parent household indicate the year of birth for the first adoptive parent or guardian in element 163 and leave element 174 null.

The list of federally recognized Tribes maintained by the EPA is in Appendix C.

Element 174, Tribal membership of second adoptive parent or guardian, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	0 = No 1 = Yes 9 = Unknown Null should be used when there is no second adoptive parent, guardian, or other member of couple Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Elements 175-181: Race of Second Adoptive Parent or Guardian

In general, an individual's race is determined by the individual. Indicate whether each race category listed in paragraphs (h)(10)(i) through (vii) of this section applies with a “yes” or “no”. The title IV-E agency must leave this paragraph (h)(10) blank if there is no second adoptive parent, legal guardian, or other member of the couple according to paragraph (h)(1) of this section.

Out-of-Home Care Element 175: American Indian or Alaska Native (h)(10)(i)

An American Indian or Alaska Native individual has origins in any of the original peoples of North or South America (including Central America) and maintains tribal affiliation or community attachment.

Out-of-Home Care Element 176: Asian (h)(10)(ii)

An Asian individual has origins in any of the original peoples of the Far East, Southeast Asia or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand and Vietnam.

Out-of-Home Care Element 177: Black or African American (h)(10)(iii)

A Black or African American individual has origins in any of the black racial groups of Africa.

Out-of-Home Care Element 178: Native Hawaiian or other Pacific Islander (h)(10)(iv)

A Native Hawaiian or Other Pacific Islander individual has origins in any of the original peoples of Hawaii, Guam, Samoa or other Pacific Islands.

Out-of-Home Care Element 179: White (h)(10)(v)

A White individual has origins in any of the original peoples of Europe, the Middle East or North Africa.

Out-of-Home Care Element 180: Race Unknown (h)(10)(vi)

The second adoptive parent or legal guardian does not know his or her race, or at least one race.

Out-of-Home Care Element 181: Race Declined (h)(10)(vii)

The second adoptive parent, or legal guardian has declined to identify a race.

Elements 175-181 indicate the race of the second adoptive parent or guardian. Agencies should remain consistent in the assignment of first adoptive parent or guardian and second adoptive parent or guardian for elements 158-183.

For a one-parent household, indicate the race of the first adoptive parent or guardian, elements 164-170, and leave elements 175-181 null.

The adoptive parent or guardian must be allowed to self-identify their race. Agencies should select all possible racial combinations. The agency should not identify a ‘primary’ race. **The agency may not determine the adoptive parent’s or guardian’s race.** Hispanic/Latino is reported as an ethnicity not a race.

For example, if the adoptive parent identifies as American Indian and African American, element 175 and element 177 should indicate “1” (yes).

Unknown should be used when the adoptive parent or guardian does not know their race. Unknown may also be used if the adoptive parent or guardian is multiracial and one or more race is unknown.

For example, if a foster parent does not know their racial identity, the agency should indicate “1” (yes) for element 180 and “0” (no) for all other race elements.

For example, if a foster parent does not know their full racial identity because they were adopted, but considers themselves white and multiracial, the agency should indicate “1” (yes) for elements 179 and 180, white and unknown.

Declined should only be used if the adoptive parent or guardian declined or does not wish to identify a race.

For example, if the adoptive parent declines to answer information on their race, the agency should not assume their race and should indicate “1” (yes) for element 181.

Elements 180 and 181, unknown and declined, are **revised in AFCARS 2020**.

Data format	Numeric
Data constraint	0 = No 1 = Yes Null should be used when there is no second adoptive parent, guardian, or other member of couple Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Element 182: Hispanic or Latino Ethnicity of Second Adoptive Parent or Guardian

Out-of-Home Care Element 182: Hispanic or Latino Ethnicity of Second Adoptive Parent, Guardian, or Other Member of the Couple (h)(11)

In general, an individual's ethnicity is determined by the individual. An individual is of Hispanic or Latino ethnicity if the individual is a person of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race. Indicate whether this category applies with a “yes” or “no”. If the second adoptive parent or legal guardian does not know his or her ethnicity, indicate “**unknown**”. If the individual refuses to identify his or her ethnicity, indicate “**declined**”.

Elements 171 and 182 indicate the ethnicity of the first and second adoptive parent or guardian. In general, a person’s ethnicity is determined by how they define themselves. Agencies should remain consistent in the assignment of first foster parent and second foster parent for elements 158-183.

For a one-parent household, indicate the ethnicity of the first adoptive parent or guardian, element 171, and leave the ethnicity of the second adoptive parent or guardian, element 182, null.

As with race information, the adoptive parent or guardian should be reporting ethnic information. If an adoptive parent or guardian is of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race the agency should report “yes” for element 182. Adoptive parents or guardians of Hispanic/Latino ethnicity may be any race. **As with race, the agency may not determine the adoptive parent’s or guardian’s ethnicity.**

Unknown and declined follow the same parameters as the second adoptive parent or guardian’s race, elements 175-181.

Unknown should be used when an adoptive parent or guardian does not know their ethnicity.

Declined should only be used if the adoptive parent or guardian declined or refused to identify their ethnicity.

The responses to ethnicity are *revised in AFCARS 2020* to add options for unknown and declined.

Data format	Numeric
Data constraint	0 = No 1 = Yes 8 = Declined 9 = Unknown Null should be used when there is no second adoptive parent, guardian, or other member of couple Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Element 183: Sex of Second Adoptive Parent or Guardian

Out-of-Home Care Element 183: Sex of Second Adoptive Parent, Guardian, or Other Member of the Couple (h)(12)

Indicate whether the second adoptive parent, guardian, or other member of the couple self identifies as “**female**” or “**male**”.

For elements 172 and 183, indicate the sex of the adoptive parent or guardian. Indicate whether the adoptive parent or guardian is male or female. Agencies should remain consistent in the assignment of first adoptive parent or guardian and second adoptive parent or guardian for elements 158-183.

For a one-parent household, indicate the sex of the first adoptive parent or guardian, element 172, and leave element 183 null.

Element 183, sex of the second adoptive parent or guardian, is *new to AFCARS 2020*.

Data format	Numeric
Data constraint	1 = Male 2 = Female Null should be used when there is no second adoptive parent, guardian, or other member of couple Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Element 184: Intra/Interjurisdictional Adoption or Guardianship

Out-of-Home Care Element 184: Inter/Intrajurisdictional Adoption or Guardianship (h)(13)

Inter/Intrajurisdictional adoption or guardianship. Indicate whether the child was placed within the state or tribal service area, outside of the state or tribal service area or into another country for adoption or legal guardianship.

- Indicate “**interjurisdictional adoption or guardianship**” if the reporting title IV-E agency placed the child for adoption or legal guardianship outside of the state or tribal service area but within the United States.
- Indicate “**intercountry adoption or guardianship**” if the reporting title IV-E agency placed the child for adoption or legal guardianship outside of the United States.
- Indicate “**intrajurisdictional adoption or guardianship**” if the reporting title IV-E agency placed the child within the same state or tribal service area as the one with placing responsibility.

For element 184, the agency should indicate if a child was placed for adoption or guardianship within the state or Tribal service area, “1,” outside of the state or Tribal service area, “2,” or outside of the United States, “3.”

In an **intrajurisdictional** adoption or guardianship the child is placed **within** the same state or Tribal service area as the agency responsible.

For example, if the state agency in Minnesota places a child for adoption within Minnesota, the agency should indicate “1” (intrajurisdictional).

For example, if the Cherokee Nation Tribal agency places a child for adoption within the Tribal service area for Cherokee Nation, the agency should indicate “1” (intrajurisdictional).

An **interjurisdictional** adoption or guardianship occurs when a child is placed for adoption or legal guardianship **outside** of the agency’s service area.

For example, if the state agency in California places a child for adoption with a family in Idaho, the agency should indicate “2” (interjurisdictional).

For example, if the Keweenaw Bay Indian Community places a child for adoption with a family living in Florida, which is outside their Tribal service area, the agency should indicate “2” (interjurisdictional).

An **intercountry** adoption or guardianship occurs when a child is placed for adoption outside of the United States.

For example, if the state agency in Kansas placed a child for adoption by relatives in Australia, the agency should indicate “2” as an intercountry adoption or guardianship.

Element 184, intra/interjurisdictional adoption or guardianship, is ***newly defined in AFCARS 2020.***

Data format	Numeric
Data constraint	<p>1 = Intrajurisdictional (within state or Tribal service area)</p> <p>2 = Interjurisdictional (outside of state or Tribal service area)</p> <p>3 = Intercountry</p> <p>Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155</p>

Element 185: Assistance Agreement Type

Out-of-Home Care Element 185: Assistance Agreement Type (h)(14)

Indicate the type of assistance agreement between the title IV-E agency and the adoptive parent(s) or legal guardian(s):

- “Title IV-E adoption assistance agreement”;
- “State/tribal adoption assistance agreement”;
- “Adoption-Title IV-E agreement non-recurring expenses only”;
- “Adoption-Title IV-E agreement Medicaid only”;
- “Title IV-E guardianship assistance agreement”;
- “State/tribal guardianship assistance agreement”; or
- “**no agreement**” if there is no assistance agreement.

For element 185, indicate the type of assistance agreement between the agency and the adoptive parent or legal guardian.

For example, if an agency has a Title IV-E adoption assistance agreement with an adoptive parent, the agency should indicate “1” (title IV-E adoption assistant agreement).

Element 185, assistance agreement type, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	0 = No agreement 1 = Title IV-E adoption assistance agreement 2 = State/tribal adoption assistance agreement 3 = Adoption-Title IV-E agreement non-recurring expenses only 4 = Adoption-Title IV-E agreement Medicaid only 5 = Title IV-E guardianship assistance agreement 6 = State/Tribal guardianship assistance agreement Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155

Element 186: Siblings in Adoptive or Guardianship Home

Out-of-Home Care Element 186: Siblings in Adoptive or Guardianship Home (h)(15)

Indicate the number of siblings of the child who are in the same adoptive or guardianship home as the child. A sibling to the child is his or her brother or sister by biological, legal, or marital connection. Do not include the child who is subject of this record in the total number. If the child does not have any siblings, the title IV-E agency must indicate “**not applicable**”. If the child has siblings, but they are not in the same adoptive or guardianship home as the child, the title IV-E agency must indicate “0”.

For element 186, indicate if a child is in adoptive or guardianship home with their sibling(s). The agency should indicate the total number of siblings, whether biological, including half siblings, siblings through adoption, or step-siblings who are in the same adoptive or guardianship home as the child. This element does not include any siblings who have been or will be acquired through the adoptive process. The sibling could be in the same home either because they were adopted at the same time as the child, or they were in the home prior to the adoption of the child.

For example, if a child is in the same adoptive home as their stepbrother, the agency should indicate “1.”

For example, if an only child is exiting to an adoptive home where the adoptive parent has two other children (who will become their adoptive siblings after the legal adoption), the agency should indicate “99” (no siblings).

For example, if a child has three sisters, and two of the sisters are in the adoptive home, but the third sibling does not live with them, the agency should indicate “2.”

If the child has siblings but none are in the same adoptive or guardian home, the agency should indicate “0” (child has siblings, but in the same home).

If a child does not have any siblings, the agency should indicate “99” (no siblings/not applicable).

Element 186, siblings in adoptive or guardianship home, is ***new to AFCARS 2020***.

Data format	Numeric
Data constraint	<p>0 = child has sibling(s), but not in same home</p> <p>1 or greater (whole number) = number of siblings in home</p> <p>99 = no siblings/not applicable</p> <p>Null should be used if the agency indicated “1” (reunify with parent or legal guardian), “2” (live with other relative), “4” (emancipation), “6” (runaway or whereabouts unknown), “7” (death of child), “8” (transfer to another agency), or “9” (not applicable) for element 155</p>

Adoption and Guardianship Assistance Data File Elements

Reporting Population – Section 1355.42(b)

Adoption and guardianship assistance reporting population (b)(1)-(b)(2)

- (1) The title IV-E agency must include in the adoption and guardianship assistance reporting population any child who is:
 - (i) In a finalized adoption under a title IV-E adoption assistance agreement pursuant to section 473(a) of the Act with the reporting title IV-E agency that is or was in effect at some point during the current report period; or
 - (ii) In a legal guardianship under a title IV-E guardianship assistance agreement pursuant to section 473(d) of the Act with the reporting title IV-E agency that is or was in effect at some point during the current report period.
- (2) A child remains in the adoption or guardianship assistance reporting population through the end of the report period in which the title IV-E agreement ends or is terminated.

The adoption and guardianship assistance reporting population includes children who were adopted or are under a legal guardianship and with a title IV-E assistance agreement. The child remains in the assistance data file as long as the title IV-E agreement is in place.

The Adoption and Guardianship Assistance Data File is *new in AFCARS 2020*. This data file newly collects information on title IV-E guardianships. In addition, a child will now have an entrance and an exit into the reporting population, as the child will enter the reporting population when the adoption or guardianship is finalized, will remain there for the entirety of the title IV-E agreement, and will exit when the agreement ends. This means that a child will remain in the reporting population for multiple submissions. AFCARS 1993 had an Adoption File which included the child in the submission only if the child's adoption was finalized during the reporting period in whose adoption the title IV-E agency had any involvement. Many of the elements that were collected in the adoption data file for AFCARS 1993 are now part of the out-of-home care data file in AFCARS 2020.

General Information – Section 1355.45(a)

Element A1: Title IV-E Agency

Assistance Element A1: Title IV-E Agency (a)(1)

Indicate the title IV-E agency responsible for submitting the AFCARS data to ACF per requirements issued by ACF.

Element A1 is the title IV-E agency responsible for submitting the AFCARS data. For state agencies the title IV-E agency identifier is the 2-digit state Federal Information Processing Standards (FIPS)¹² code. The state FIPS codes can be located on the Census Bureau’s website and are maintained by the American National Standards Institute¹³. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

For example, Alabama’s FIPS code is 01.

For Tribal agencies the Title IV-E agency identifier is the last three digits of the Environmental Protection Agency (EPA) Tribal identifier data code standard. The “Tribal Entity Mapping” Excel spreadsheet is maintained and updated on the EPA’s website¹⁴ and is reported in Appendix C.

For example, the EPA identifier for Penobscot Nation is 199.

Element A1, title IV-E agency, is **revised in AFCARS 2020** to report the FIPS code or the EPA identifier. In the Adoption data file for AFCARS 1993, agencies reported the U.S. Postal Services two-letter abbreviation as the state agency IV-E identifier.

Data format	String
Data constraint	State agencies: 2-digit state FIPS code Tribal agencies: 3-digit EPA Tribal standard code

¹² American National Standards Institute codes (ANSI codes) are standardized numeric or alphabetic codes issued by the American National Standards Institute (ANSI) to ensure uniform identification of geographic entities through all federal government agencies.

¹³ Census FIPS Codes <https://www.census.gov/geographies/reference-files/2017/demo/popest/2017-fips.html>

¹⁴ EPA Tribal identifier data standards <https://www.epa.gov/data-standards/tribal-identifier-data-standard>

Element A2: Report Date

Assistance Element A2: Report Date (a)(2)

The report date corresponds to the end of the current report period. Indicate the last month and the year of the report period.

Element A2 is the report period ending date, which is the year and last month of the reporting period. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

The AFCARS report periods are October 1 through March 31 and April 1 through September 30. Data for each report period are due no later than May 15 and November 14, respectively.

For example, for the 23A submission period, the agency should indicate “202303” (year|month).

There are ***no changes*** in how this element is reported in the AFCARS 1993 Adoption file versus how it is reported in the AFCARS 2020 Adoption and Guardianship Assistance File.

Data format	Date
Data constraint	YYYYMM

Element A3: Child Record Number

Assistance Element A3: Child Record Number (a)(3)

The child record number is the encrypted, unique person identification number. The record number must be encrypted in accordance with ACF standards. Indicate the record number for the child.

Element 4 is the child record number. The record number is a sequential number or a unique number twelve-character identifier which is encrypted and remains the same identifier for the child from intake through the life of the case regardless of where the family or child moves within the state or Tribal services area which the agency uses to transmit responsible for transmitting the data to the Children's Bureau. It is important that the record number submitted to AFCARS not be linked to the child's case ID by outside agencies, including the Children's Bureau. Agencies should verify that they are not using the child's record number for multiple children in a family. Every child must have their own unique ID, which should be the same for each child within other data sets submitted to the Children's Bureau to allow for data analysis among data sets. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

Additional information on encryption is in AFCARS Technical Bulletin #21.

There are ***no changes*** in how this element is reported from the Adoption data file for AFCARS 1993 to the Assistance Data File for AFCARS 2020.

Data format	String
Data constraint	Encrypted (by ACF Standards), unique within IV-E Agency

Child Demographics – Section 1355.45(b)

Element A4: Child’s Date of Birth

Assistance Element A4: Child’s Date of Birth (b)(1)

Indicate the **month, day and year** of the child's birth.

Element A4 is the child’s date of birth submitted in the format of the year, month, and day. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

If a date of birth cannot be ascertained because the child was abandoned, an estimated date of birth can be used in lieu of the actual birth date. Abandoned means that the child was left alone or with others and the identity of the parent or legal guardian is unknown and cannot be ascertained. This includes a child left at a safe haven.

For example, if a newborn child was abandoned and it is unclear when the child was born in August of 2020, the birthday should be indicated as “20200815” (year|month|day).

Element A4, child’s date of birth, is **revised in AFCARS 2020** to also report the day. In the Adoption data file for AFCARS 1993, agencies only reported the month and year of the child’s birth.

Data format	Date
Data constraint	YYYYMMDD

Element A5: Child’s Sex

Assistance Element A5: Child’s Sex (b)(2)

Indicate “male” or “female”.

Element A5 is the child’s sex. Indicate whether the child is male or female. This element is required and cannot be left blank. The regulation states that this element be 100 percent free of missing data in order to meet the compliance standards.

AFCARS 2020 aligns with the reporting of this element in NYTD¹⁵. It is recommended that agencies adopt the same approach that they use for NYTD reporting.

There are ***no changes*** in how this element is reported in the AFCARS 1993 Adoption file versus how it is reported in the AFCARS 2020 Adoption and Guardianship Assistance File.

Data format	Numeric
Data constraint	1 = Male 2 = Female

¹⁵ NYTD Guide to the NYTD Review https://www.acf.hhs.gov/sites/default/files/cb/nytd_review_guide.pdf

Elements: A6-A13-Race

Child's Race (b)(3)

In general, a child's race is determined by the child or the child's parent(s) or legal guardian(s). Indicate whether each race category listed in the data elements described in paragraphs (b)(2)(i) through (viii) of this section applies with a “yes” or “no”.

Assistance Element A6: American Indian or Alaska Native (b)(3)(i)

An American Indian or Alaska Native child has origins in any of the original peoples of North or South America (including Central America) and maintains Tribal affiliation or community attachment.

Assistance Element A7: Asian (b)(3)(ii)

An Asian child has origins in any of the original peoples of the Far East, Southeast Asia or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand and Vietnam.

Assistance Element A8: Black or African American (b)(3)(iii)

A Black or African American child has origins in any of the black racial groups of Africa.

Assistance Element A9: Native Hawaiian or Other Pacific Islander (b)(3)(iv)

A Native Hawaiian or Other Pacific Islander child has origins in any of the original peoples of Hawaii, Guam, Samoa or other Pacific Islands.

Assistance Element A10: White (b)(3)(v)

A White child has origins in any of the original peoples of Europe, the Middle East or North Africa.

Assistance Element A11: Race Unknown (b)(3)(vi)

The child or parent or legal guardian does not know the race, or at least one race of the child. This category does not apply when the child has been abandoned or the parents failed to return and the identity of the child, parent(s), or legal guardian(s) is known.

Assistance Element A12: Race Abandoned (b)(3)(vii)

The child's race is unknown because the child has been abandoned. Abandoned means that the child was left alone or with others and the parent(s) or legal guardian(s)' identity is unknown and cannot be ascertained. This includes a child left at a “safe haven”.

Assistance Element A13: Race Declined (b)(3)(viii)

The child or parent or legal guardian has declined to identify a race.

For elements A6-A13, indicate the child's race. In general, a person's race is determined by how they define themselves. In the case of a young child, a parent or legal guardian determines the race of the child. **The agency may not determine the child's race.** Hispanic/Latino is reported as an ethnicity in element A14, not a race.

The agency collecting race information must select all possible racial combinations.

However, abandoned and declined are elements that should not be chosen in combination with any other element in this section. There should not be a primary race identified.

For example, if a child identifies as Asian, African American, and Native Hawaiian, the agency should indicate “1,” yes, for element A7, element A8, and element A9.

Unknown should be used when a child does not know their race due to a young age or is unable to communicate their race. Unknown may also be used if the child is multiracial and one or more races is unknown.

For example, if a child was removed from their grandmother who only knew the race of her daughter, who is white, and not the race of the child's father, the agency should indicate the child's race as white and unknown. The agency should indicate "1" (yes) for elements A10 and A11.

Abandoned should only be used to identify a child's race if the child was abandoned. The agency may not assume the child's race. Abandoned means that the child was left alone or with others and the identity of the parent or legal guardian is unknown and cannot be ascertained. This includes a child left at a "safe haven."

For example, if a young child who is less than a year old has been abandoned, the child's race should be indicated as "1" (abandoned) for element A12.

If a child was abandoned when they were young, but are now able to self-identify, the agency should allow the child to self-identify.

Declined should only be used if the child or parent or legal guardian declined or does not wish to identify a race.

For example, if a parent does not wish to answer information on their child's race, the agency should not assume the child's race and should indicate "1" (yes) for element A13.

Elements A11-A13, unknown, abandoned, and declined, are ***revised in the Assistance Data File for AFCARS 2020.***

Data format	Numeric
Data constraint	0 = No 1 = Yes

Element A14: Hispanic or Latino Origin

Assistance Element A14: Hispanic/Latino Origin (b)(4)

In general, a child's ethnicity is determined by the child or the child's parent(s) or legal guardian(s). A child is of Hispanic or Latino ethnicity if the child is a person of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race. Indicate whether this category applies with a “**yes**” or “**no**”. If the child or the child's parent or legal guardian does not know or cannot communicate whether the child is of Hispanic or Latino ethnicity, indicate “**unknown**”. If the child was abandoned indicate “abandoned”. Abandoned means that the child was left alone or with others and the parent(s) or legal guardian(s)' identity is unknown and cannot be ascertained. This includes a child left at a “safe haven”. If the child or the child's parent(s) or legal guardian(s) refuses to identify the child's ethnicity, indicate “**declined**”.

For element A14, indicate the child’s ethnicity. As with race information, the child or parent should be reporting ethnic information. If a child is of Cuban, Mexican, Puerto Rican, South or Central American or other Spanish culture or origin, regardless of race the agency should report “yes” for element A14. **Children of Hispanic/Latino ethnicity may be any race. As with race, the agency may not determine the child’s ethnicity.**

The definitions of abandoned, unknown, and declined have the same parameters as child’s race (elements A6-A13).

Abandoned should only be used if the child was abandoned. Abandoned means that the child was left alone or with others and the identity of the parent or legal guardian is unknown and cannot be ascertained. This includes a child left at a “safe haven.” If a child was abandoned when they were young, but are now able to self-identify, the agency should allow the child to self-identify.

Unknown should be used when a child does not know their ethnicity, due to a young age or inability to communicate their ethnicity. Unknown may also be used if the child is multiracial and one race is unknown.

Declined should only be used if the child’s or parent or legal guardian declined or does not wish to identify a child’s ethnicity.

The responses to ethnicity are *revised in the Assistance Data File for AFCARS 2020* to add options for unknown and declined.

Data format	Numeric
Data constraint	0 = No 1 = Yes 7 = Abandoned 8 = Declined 9 = Unknown

Adoption and Guardianship Assistance Agreement Information – Section 1355.45(c)-(f)

Element A15: Assistance Agreement Type

Assistance Element A15: Assistance agreement type (c)(1)

Indicate whether the child is or was in a finalized adoption with a title IV-E adoption assistance agreement or in a legal guardianship with a title IV-E guardianship assistance agreement, pursuant to sections 473(a) and 473(d) of the Act, in effect during the report period. Indicate “**title IV-E adoption assistance agreement**” or “**title IV-E guardianship assistance agreement**”, as appropriate.

For element A15, indicate if the adoption was finalized with a title IV-E adoption assistance agreement or if the guardianship was legalized with a title IV-E guardianship assistance agreement.

Element A15, assistance agreement type, is *new to the Assistance Data File for AFCARS 2020*.

Data format	Numeric
Data constraint	1 = Title IV-E adoption assistance agreement 2 = Title IV-E guardianship assistance agreement

Element A16: Adoption or Guardianship Subsidy Amount

Assistance Element A16: Adoption or guardianship subsidy amount (c)(2)

Indicate the per diem dollar amount of the financial subsidy paid to the adoptive parent(s) or legal guardian(s) on behalf of the child during the last month of the current report period, if any. The title IV-E agency must indicate “0” if a financial subsidy was not paid during the last month of the report period.

For element A16, indicate the subsidy amount that was paid during the last month of the report period or paid during the last month the child was in the reporting population.

Element A16, adoption or guardianship subsidy amount, is *revised in AFCARS 2020* to also report the amount of a guardianship subsidy. In the Adoption Data File for AFCARS 1993, agencies only reported the amount of the adoption subsidy.

Data format	Numeric
Data constraint	Dollar amount (round to the nearest dollar) 0 = No financial subsidy was paid

Element A17: Adoption Finalization or Guardianship Legalization Date

Assistance Element A17: Adoption Finalization or Guardianship Legalization Date (d)

Indicate the month, day and year that the child's adoption was finalized or the guardianship became legalized.

For element A17, indicate the year, month, and day that a child's adoption was finalized or that guardianship became legalized.

Element A17, adoption or guardianship legalization date, is *revised in AFCARS 2020* to also report the date that the guardianship became legalized. In the Adoption Data File for AFCARS 1993, agencies only reported the date the adoption was finalized.

Data format	Date
Data constraint	YYYYMMDD

Element A18: Agreement Termination Date

Assistance Element A18: Agreement Termination Date (e)

If the title IV-E agency terminated the adoption assistance or guardianship assistance agreement or the agreement expired during the report period, indicate the month, day and year that the agreement terminated or expired; otherwise leave this data element blank.

For element A18, indicate the year, month, and day that the adoption or guardianship assistance agreement was terminated by the agency or that the agreement expired or ended.

Element A18, agreement termination date, is *new to the Assistance Data File for AFCARS 2020*.

Data format	Date
Data constraint	YYYYMMDD Null should be used if the agreement has not ended

Element A19: Adoption or Guardianship Placing Agency

Assistance Element A19: Adoption or Guardianship Placing Agency (f)

Indicate the agency that placed the child for adoption or legal guardianship.

- Indicate “**title IV-E agency**” if the reporting title IV-E agency placed the child for adoption or legal guardianship.
- Indicate “**private agency under agreement**” if a private agency placed the child for adoption or legal guardianship through an agreement with the reporting title IV-E agency.
- Indicate “**Indian tribe under contract/agreement**” if an Indian tribe, tribal organization or consortia placed the child for adoption or legal guardianship through a contract or an agreement with the reporting title IV-E agency.

For element A19, indicate the agency that placed the child for adoption or legal guardianship. Agencies should indicate either “1” (title IV-E agency), “2” (private agency under agreement), or “3” (Tribe under contract/agreement).

Response option “1,” Title IV-E agency, applies if the agency is a state title IV-E or a Tribal IV-E agency.

Element A19, adoption or guardianship placing agency, is *revised in the Assistance Data File for AFCARS 2020*.

Data format	Numeric
Data constraint	1 = Title IV-E agency 2 = Private agency under agreement 3 = Tribe under contract/agreement

Appendix A – AFCARS Out-of-Home Care Data Elements Side-by-Side Comparison

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
			(a) General information	General information
1	Title IV-E Agency	Yes	(a)(1) Title IV-E Agency	1. Title IV-E Agency
2	Report Date	Same	(a)(2) Report date	2. Report date
3	Local Agency	Yes	(a)(3) Local agency	3. Local agency
4	Child Record Number	Same	(a)(4) Child record number	4. Child’s Record number
			(b) Child information	Child's Demographic Information
5	Child’s Date of Birth	Same	(b)(1) Child's date of birth	5. Date of birth
6	Child’s Sex	Same	(b)(2) Child's sex	7. Child’s Sex
7	Agency Made Inquires	New	(b)(3) Reason to know a child is an “Indian Child” as defined in the Indian Child Welfare Act (ICWA)	Not in AFCARS 1993
8	Child’s Tribal Membership	New	(b)(4)(i) Child’s tribal membership – federally recognized tribe	Not in AFCARS 1993
9	Federally Recognized Tribe	New	(b)(4)(ii) Child’s tribal membership	Not in AFCARS 1993
10	Application of ICWA	New	(b)(5)(i) Application of ICWA	Not in AFCARS 1993
11	Date of Determination that ICWA Applies	New	(b)(5)(ii) Application of ICWA	Not in AFCARS 1993
12	Notification ICWA	New	(b)(6) Notification	Not in AFCARS 1993
			(b)(7) Child’s race	8. Child’s Race
13	American Indian or Alaska Native	Same	(i) American Indian or Alaska Native	a) American Indian or Alaska Native
14	Asian	Same	(ii) Asian	b) Asian

¹⁶ Out-of-Home Care Data File Elements as Published in the Final Rule Issued 5/12/2020 (85 FR 28410, 45 CFR 1355.44)

¹⁷ Foster Care Data File and Adoption Data File Elements as Published in the Appendix to 45 CFR part 1355 (1993)

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
15	Black or African American	Same	(iii) Black or African American	c) Black or African American
16	Native Hawaiian or Other Pacific Islander	Same	(iv) Native Hawaiian or Other Pacific Islander	d) Native Hawaiian or Other Pacific Islander
17	White	Same	(v) White	e) White
18	Unknown	Same	(vi) Unknown	f) Unable to Determine
19	Abandoned	New	(vii) Abandoned	Not in AFCARS 1993
20	Declined	New	(viii) Declined	Not in AFCARS 1993
21	Child's Hispanic or Latino ethnicity	Same	(b)(8) Child's Hispanic or Latino ethnicity	9. Child's Hispanic or Latino Ethnicity
22	Health Assessment	New	(b)(9) Health assessment	Not in AFCARS 1993
23	Health Conditions	Revised	(b)(10) Health, behavioral or mental health conditions	10. Has the Child Been Clinically Diagnosed with a Disability(ies)?
24	Intellectual disability	Revised	(b)(10)(i) Intellectual disability	11. Mental Retardation
25	Autism spectrum disorder	Revised	(b)(10)(ii) Autism spectrum disorder	15. Other Medically Diagnosed Conditions Requiring Special Care
26	Visual impairment and blindness	Revised	(b)(10)(iii) Visual impairment and blindness	12. Visually or Hearing Impaired
27	Hearing impairment and deafness	Revised	(b)(10)(iv) Hearing impairment and deafness	12. Visually or Hearing Impaired
28	Orthopedic impairment or other physical condition	Revised	(b)(10)(v) Orthopedic impairment or other physical condition	13. Physically Disabled
29	Mental/emotional disorders	Revised	(b)(10)(vi) Mental/emotional disorders	14. Emotionally Disturbed (DSM- IV)
30	Attention deficit hyperactivity disorder	Revised	(b)(10)(vii) Attention deficit hyperactivity disorder	14. Emotionally Disturbed (DSM- IV)
31	Serious mental disorders	Revised	(b)(10)(viii) Serious mental disorders	14. Emotionally Disturbed (DSM- IV)
32	Developmental delay	Revised	(b)(10)(ix) Developmental delay	15. Other Medically Diagnosed Conditions Requiring Special Care

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
33	Developmental disability	Revised	(b)(10)(x) Developmental disability	15. Other Medically Diagnosed Conditions Requiring Special Care
34	Other diagnosed condition	Revised	(b)(10)(xi) Other diagnosed condition	15. Other Medically Diagnosed Conditions Requiring Special Care
35	School enrollment	New	(b)(11) School enrollment	Not in AFCARS 1993
36	Highest Educational level Completed	New	(b)(12) Educational level	Not in AFCARS 1993
37	Special education	New	(b)(14) Special education	Not in AFCARS 1993
38	Pregnant	New	(b)(13)(i) Child is pregnant as of the end of the report period	Not in AFCARS 1993
39	Ever fathered or bore a child	New	(b)(13)(ii) Child has ever fathered or bore a child	Not in AFCARS 1993
40	Child and his/her child(ren) placed together	New	(b)(13)(iii) Child and his/her child(ren) placed together at any point during the report period	Not in AFCARS 1993
41	Prior adoption	Revised	(b)(15) Prior adoption	16. Has this Child Ever Been Adopted?
42	Prior adoption date	Revised	(b)(15)(i) Prior adoption date	17. If yes, how old was the child when the adoption was legalized?
43	Prior adoption intercountry	New	(b)(15)(ii) Prior adoption intercountry	Not in AFCARS 1993
44	Prior guardianship	New	(b)(16)(i) Prior guardianship	Not in AFCARS 1993
45	Prior guardianship date	New	(b)(16)(ii) Prior guardianship date	Not in AFCARS 1993
46	Support/Assistance Received	New	(b)(17) Child financial and medical assistance	59 - 65 Sources of Federal Financial Support/Assistance for Child
47	State/Tribal adoption assistance	Revised	(b)(17)(i) State/Tribal adoption assistance	65. None of the Above
48	State/Tribal foster care	Revised	(b)(17)(ii) State/Tribal foster care	65. None of the Above
49	Title IV-E adoption subsidy	Same	(b)(17)(iii) Title IV-E adoption subsidy	60. Title IV-E (adoption subsidy)

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
50	Title IV-E guardianship assistance	Revised	(b)(17)(iv) Title IV-E guardianship assistance	65. None of the Above
51	Title IV-A TANF	Same	(b)(17)(v) Title IV-A TANF	61. Title IV-A
52	Title IV-B	Revised	(b)(17)(vi) Title IV-B	65. None of the Above
53	Chafee Program	Revised	(b)(17)(vii) Chafee Program	65. None of the Above
54	Other	Revised	(b)(17)(viii) Other	62. Title IV-D (Child Support) 63. Title XIX (Medicaid) 64. SSI or Other Social Security Benefits 65. None of the Above
55	Foster Care Maintenance Payment	Same	(b)(18) Title IV-E foster care during report period	59. Title IV-E (Foster Care)
			Not in final rule	66. Amount of the Monthly Foster Care Payment
56	Total Number of Siblings	New	(b)(19) Total number of siblings	Not in AFCARS 1993
57	Siblings in Foster Care	New	(b)(20) Siblings in foster care	Not in AFCARS 1993
58	Siblings in Living Arrangement	New	(b)(21) Siblings in living arrangement	Not in AFCARS 1993
			(c) Parent or legal guardian information	Principal Caretaker Information
59	Year of Birth of First Parent or Legal Guardian	Revised	(c)(1) Year of birth of first parent or legal guardian	45. Year of Birth (1 st Principal Caretaker) - number
60	Year of Birth of Second Parent or Legal Guardian	Revised	(c)(2) Year of birth of second parent or legal guardian	46. Year of Birth (2 nd Principal Caretaker - if applicable)
61	Tribal Membership Mother	New	(c)(3) Tribal membership mother	Not in AFCARS 1993
62	Tribal Membership Father	New	(c)(4) Tribal membership father	Not in AFCARS 1993
63	Termination of Parental Rights for First Parent	New	(c)(5) Termination/modification of parental rights	Not in AFCARS 1993
64	Termination of Parental Rights for Second Parent	New	(c)(5) Termination/modification of parental rights	Not in AFCARS 1993

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
65	Date of Petition for Termination of Parental Rights for First Parent	New	(c)(5)(i) Termination/modification of parental rights petition	Not in AFCARS 1993
66	Date of Petition for Termination of Parental Rights for Second Parent	New	(c)(5)(i) Termination/modification of parental rights petition	Not in AFCARS 1993
67	Date of Termination of Parental Rights for First Parent	Same	(c)(5)(ii) Termination/modification of parental rights	47. Date of Mother's Parental Rights Termination (foster care file) 19. Date of Mother's termination of parental rights (adoption data file)
68	Date of Termination of Parental Rights for Second Parent	Same	(c)(5)(ii) Termination/modification of parental rights	48. Date of Legal or Putative Father's Parental Rights Termination (foster care file) 20. Date of Father's termination of parental rights (adoption data file)
			Not in final rule	44. Caretaker Family Structure
			(d) Removal Information	Removal/Placement Setting Indicators
69	Date of Child's Removal	Same	(d)(1) Date of child's removal	18. Date of first removal from home 19. Total Number of Removals From Home to Date 21. Date of latest removal from home
70	Transaction Date: Removal	Same	(d)(2) Removal transaction date	22. Removal Transaction Date
71	Environment at Removal	New	(d)(3) Environment at removal	Not in AFCARS 1993
			Not in final rule	25. Manner of Removal from Home for Current Removal Episode

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
	Actions or Conditions Associated with Removal		(d)(4) Child and family circumstances at removal	Actions or Conditions Associated with Removal
72	Runaway	New	(d)(4)(i) Runaway	Not in AFCARS 1993
73	Whereabouts Unknown	New	(d)(4)(ii) Whereabouts unknown	Not in AFCARS 1993
74	Physical Abuse	Same	(d)(4)(iii) Physical abuse	26. Physical Abuse (alleged/reported)
75	Sexual Abuse	Same	(d)(4)(iv) Sexual abuse	27. Sexual Abuse (alleged/reported)
76	Psychological or Emotional Abuse	New	(d)(4)(v) Psychological or emotional abuse	Not in AFCARS 1993
77	Neglect	Same	(d)(4)(vi) Neglect	28. Neglect (alleged/reported)
78	Medical Neglect	New	(d)(4)(vii) Medical neglect	Not in AFCARS 1993
79	Domestic Violence	New	(d)(4)(viii) Domestic violence	Not in AFCARS 1993
80	Abandonment	Same	(d)(4)(ix) Abandonment	38. Abandonment
81	Failure to Return	New	(d)(4)(x) Failure to return	Not in AFCARS 1993
82	Caretaker's Alcohol Use	Same	(d)(4)(xi) Caretaker's alcohol use	29. Alcohol Abuse (parent)
83	Caretaker's Drug Use	Same	(d)(4)(xii) Caretaker's drug use	30. Drug Abuse (parent)
84	Child Alcohol Use	Same	(d)(4)(xiii) Child alcohol use	31. Alcohol Abuse (child)
85	Child Drug Use	Same	(d)(4)(xiv) Child drug use	32. Drug Abuse (child)
86	Prenatal Alcohol Exposure	New	(d)(4)(xv) Prenatal alcohol exposure	31. Alcohol Abuse (child)
87	Prenatal Drug Exposure	New	(d)(4)(xvi) Prenatal drug exposure	32. Drug Abuse (child)
88	Diagnosed Condition	Revised	(d)(4)(xvii) Diagnosed Condition	33. Child's Disability
89	Inadequate Access to Mental Health Services	New	(d)(4)(xviii) Inadequate access to mental health services	Not in AFCARS 1993
90	Inadequate Access to Medical Services	New	(d)(4)(xix) Inadequate access to medical services	Not in AFCARS 1993
91	Child Behavior Problem	Same	(d)(4)(xx) Child behavior problem	34. Child's Behavior Problem
92	Death of Caretaker	Same	(d)(4)(xxi) Death of caretaker	35. Death of Parent(s)
93	Incarceration of Caretaker	Same	(d)(4)(xxii) Incarceration of caretaker	36. Incarceration of Parent(s)

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
94	Caretaker's Significant Impairment-Physical/Emotional	New	(d)(4)(xxiii) Caretakers significant impairment – physical/emotional	37. Caretaker's Inability to Cope Due to Illness or Other Reason
95	Caretaker's Significant Impairment-Cognitive	New	(d)(4)(xxiv) Caretaker's significant impairment – cognitive	37. Caretaker's Inability to Cope Due to Illness or Other Reason
96	Inadequate Housing	Same	(d)(4)(xxv) Inadequate housing	40. Inadequate Housing
97	Voluntary Relinquishment for Adoption	Same	(d)(4)(xxvi) Voluntary relinquishment for adoption	39. Relinquishment
98	Child Requested Placement	New	(d)(4)(xxvii) Child requested placement	Not in AFCARS 1993
99	Sex Trafficking	New	(d)(4)(xxviii) Sex trafficking	Not in AFCARS 1993
100	Parental Immigration Detainment or Deportation	New	(d)(4)(xxix) Parental immigration detainment or deportation	Not in AFCARS 1993
101	Family Conflict Related to Child's	New	(d)(4)(xxx) Family conflict related to child's sexual orientation, gender identity, or gender expression.	Not in AFCARS 1993
102	Educational Neglect	New	(d)(4)(xxxi) Educational Neglect	Not in AFCARS 1993
103	Public Agency Title IV-E Agreement	New	(d)(4)(xxxii) Public agency title IV-E agreement	Not in AFCARS 1993
104	Tribal Title IV-E Agreement	New	(d)(4)(xxxiii) Tribal title IV-E agreement	Not in AFCARS 1993
105	Homelessness	New	(d)(4)(xxxiv) Homelessness	40. Inadequate Housing
106	Prior Victim of Sex Trafficking	New	(d)(5) Victim of sex trafficking prior to entering foster care	Not in AFCARS 1993
107	Prior Report to Law Enforcement	New	(d)(5)(i) Report to Law Enforcement	Not in AFCARS 1993

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
108	Prior Date of Sex Trafficking Report to Law Enforcement	New	(d)(5)(ii) Date	Not in AFCARS 1993
109	Victim of Sex Trafficking while in Foster Care	New	(d)(6) Victim of sex trafficking while in foster care	Not in AFCARS 1993
110	Report to Law Enforcement for Current Victimization	New	(d)(6)(i) Report to law enforcement	Not in AFCARS 1993
111	Date of Sex Trafficking Report to Law Enforcement	New	(d)(6)(ii) Date	Not in AFCARS 1993
			(e) Living arrangement and provider information	Current Placement Settings
112	Date of Living Arrangement	Same	(e)(1) Date of living arrangement	23. Date of Placement in Current Foster Care Setting 24. Number of Previous Placement Settings During This Removal Episode?
113	Foster Family Home	New	(e)(2) Foster family home	41. Current Placement Setting
114	Licensed Home	Revised	(e)(3) Foster family home type (e)(3)(i) Licensed home	41. Current Placement Setting
115	Therapeutic Foster Family Home	New	(e)(3)(ii) Therapeutic foster family home	Not in AFCARS 1993
116	Shelter Care Foster Family Home	New	(e)(3)(iii) Shelter care foster family home	Not in AFCARS 1993
117	Relative Foster Family Home	Revised	(e)(3)(iv) Relative foster family home	41. Current Placement Setting
118	Pre-adoptive Home	Revised	(e)(3)(v) Pre-adoptive home	41. Current Placement Setting
119	Kin Foster Family Home	New	(e)(3)(vi) Kin foster family home	Not in AFCARS 1993

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
120	Other Living Arrangement Type	Revised	(e)(4) Other living arrangement type	41. Current Placement Setting
121	Location of Living Arrangement	Revised	(e)(5) Location of living arrangement	42. Is Current Placement Out-of-State/Tribal service area
122	Jurisdiction or Country Where Child is Living	New	(e)(6) Jurisdiction or country where child is living	Not in AFCARS 1993
123	Marital Status of the Foster Parent	Revised	(e)(7) Marital status of the foster parent(s)	49. Foster Family Structure
124	Child's Relationship to the Foster Parent	New	(e)(8) Child's relationships to the foster parent(s)	41. Current Placement Setting
125	Year of Birth for First Foster Parent	Same	(e)(9) Year of birth for first foster parent	50. Year of Birth (1 st Foster Caretaker)
126	Tribal Membership of First Foster Parent	New	(e)(10) First foster parent tribal membership	Not in AFCARS 1993
			(e)(11) Race of first foster parent	52. Race of 1 st Foster Caretaker
127	American Indian or Alaska Native	Same	(i) American Indian or Alaska Native	a) American Indian or Alaska Native
128	Asian	Same	(ii) Asian	b) Asian
129	Black or African American	Same	(iii) Black or African American	c) Black or African American
130	Native Hawaiian or Other Pacific Islander	Same	(iv) Native Hawaiian or Other Pacific Islander	d) Native Hawaiian or Other Pacific Islander
131	White	Same	(v) White	e) White
132	Unknown	Same	(vii) Unknown	f) Unable to Determine
133	Declined	New	(viii) Declined	Not in AFCARS 1993
134	Hispanic or Latino Ethnicity of First Foster Parent	Same	(e)(12) Hispanic or Latino ethnicity of first foster parent	53. Hispanic or Latino Ethnicity of 1 st Foster Caretaker
135	Sex of First Foster Parent	New	(e)(13) Sex of first foster parent	49. Foster Family Structure

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
136	Year of Birth for Second Foster Parent	Same	(e)(14) Year of birth for second foster parent	51. Year of Birth (2 nd Foster Caretaker)
137	Tribal Membership of Second Foster Parent	New	(e)(15) Second foster parent tribal membership	Not in AFCARS 1993
			(e)(11) Race of second foster parent	52. Race of 2 nd Foster Caretaker
138	American Indian or Alaska Native	Same	(i) American Indian or Alaska Native	a) American Indian or Alaska Native
139	Asian	Same	(ii) Asian	b) Asian
140	Black or African American	Same	(iii) Black or African American	c) Black or African American
141	Native Hawaiian or Other Pacific Islander	Same	(iv) Native Hawaiian or Other Pacific Islander	d) Native Hawaiian or Other Pacific Islander
142	White	Same	(v) White	e) White
143	Unknown	Same	(vii) Unknown	f) Unable to Determine
144	Declined	New	(viii) Declined	Not in AFCARS 1993
145	Hispanic or Latino Ethnicity of Second Foster Parent	Same	(e)(12) Hispanic or Latino ethnicity of second foster parent	53. Hispanic or Latino Ethnicity of 2 nd Foster Caretaker
146	Sex of Second Foster Parent	New	(e)(18) Sex of second foster parent	49. Foster Family Structure
			(f) Permanency planning	Most Recent Case Plan Goal
147	Date of Permanency Plan	New	(f)(2) Date of permanency plan	Not in AFCARS 1993
148	Permanency Plan	Revised	(f)(1) Permanency plan	43. Most Recent Case Plan Goal
149	Date of Periodic Review	Same	(f)(3) Date of periodic review	5. Date of Most Recent Periodic Review
150	Date of Permanency Hearing	New	(f)(4) Date of permanency hearing	5. Date of Most Recent Periodic Review
151	Caseworker Visit Dates	New	(f)(5) Caseworker visit dates	Not in AFCARS 1993
152	Caseworker Visit Location	New	(f)(6) Caseworker visit location	Not in AFCARS 1993
			(g) General exit information	Outcome Information

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
153	Date of Exit	Same	(g)(1) Date of exit	56. Date of Discharge from Foster Care (foster care data file) 20. Date Child Was Discharged from Last Foster Care Episode (foster care data file) 21. Date adoption legalized (adoption data file)
154	Transaction Date: Exit	Same	(g)(2) Exit transaction date	57. Foster care discharge transaction date
155	Exit Reason	Revised	(g)(3) Exit reason	58. Reason for Discharge
156	Transfer to Another Agency	New	(g)(4) Transfer to another agency	Not in AFCARS 1993
			(h) Exit to adoption and guardianship information	Adoption Data File Data Elements – for adoptions only, guardianship not collected
157	Marital Status of the Adoptive Parent or Guardian	Revised	(h)(1) Marital status of the adoptive parent(s) or guardian(s)	22. Adoptive Parents' Family Structure (adoption data file)
			(h)(2) Child's relationship to the adoptive parent(s) or guardian(s)	29-32 Relationship of Adoptive Parent(s) to the Child (adoption data file)
			Not in final rule	29. Relationship-Stepparent (adoption data file)
158	Relative	Same	(h)(2)(i) Relative(s)	30. Relationship - other relative (adoption data file)
159	Kin	New	(h)(2)(ii) Kin	Not in AFCARS 1993
160	Non-Relative	Same	(h)(2)(iii) Non-relative(s)	32. Relationship - other non-relative (adoption data file)
161	Foster Parent	Same	(h)(2)(iv) Foster parent(s)	31. Relationship - foster parent of child (adoption data file)

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
162	Date of Birth of First Adoptive Parent or Guardian	Revised	(h)(3) Date of birth of first adoptive parent or guardian	23. Adoptive Mother's Year of Birth (adoption data file)
163	Tribal Membership of First Adoptive Parent or Guardian	New	(h)(4) First adoptive parent or guardian tribal membership	Not in AFCARS 1993
			(e)(11) Race of first adoptive parent	25. Adoptive Mother's Race (adoption data file)
164	American Indian or Alaska Native	Same	(i) American Indian or Alaska Native	a) American Indian or Alaska Native
165	Asian	Same	(ii) Asian	b) Asian
166	Black or African American	Same	(iii) Black or African American	c) Black or African American
167	Native Hawaiian or Other Pacific Islander	Same	(iv) Native Hawaiian or Other Pacific Islander	d) Native Hawaiian or Other Pacific Islander
168	White	Same	(v) White	e) White
169	Unknown	Same	(vii) Unknown	f) Unable to Determine
170	Declined	New	(viii) Declined	Not in AFCARS 1993
171	Hispanic or Latino Ethnicity of Second Foster Parent	Same	(h)(6) Hispanic or Latino ethnicity of first adoptive parent or guardian	26. Adoptive Mother's Hispanic or Latino Ethnicity (adoption data file)
172	Sex of First Adoptive Parent or Guardian	New	(h)(7) Sex of first adoptive parent or guardian	22. Adoptive Parents' Family Structure (adoption data file)
173	Date of birth of Second Adoptive Parent or Guardian	Revised	(h)(8) Date of birth of second adoptive parent, guardian, or other member of the couple	24. Adoptive Father's Year of Birth (adoption data file)
174	Tribal Membership of Second Adoptive Parent or Guardian	New	(h)(9) Second adoptive parent, guardian, or other member of the couple tribal membership	Not in AFCARS 1993

Element Number	Element Name	Revisions	AFCARS 2020 ¹⁶	AFCARS 1993 ¹⁷
			(e)(11) Race of second adoptive parent	25. Adoptive Father's Race (adoption data file)
175	American Indian or Alaska Native	Same	(i) American Indian or Alaska Native	a) American Indian or Alaska Native
176	Asian	Same	(ii) Asian	b) Asian
177	Black or African American	Same	(iii) Black or African American	c) Black or African American
178	Native Hawaiian or Other Pacific Islander	Same	(iv) Native Hawaiian or Other Pacific Islander	d) Native Hawaiian or Other Pacific Islander
179	White	Same	(v) White	e) White
180	Unknown	Same	(vii) Unknown	f) Unable to Determine
181	Declined	New	(viii) Declined	Not in AFCARS 1993
182	Hispanic or Latino Ethnicity of Second Foster Parent	Same	(h)(11) Hispanic or Latino ethnicity of second adoptive parent, guardian, or other member of the couple	26. Adoptive Father's Hispanic or Latino Ethnicity (adoption data file)
183	Sex of Second Adoptive Parent or Guardian	New	(h)(12) Sex of second adoptive parent, guardian, or other member of the couple	22. Adoptive Parents' Family Structure (adoption data file)
184	Intra/Interjurisdictional Adoption or Guardianship	Revised	(h)(13) Inter/Intrajurisdictional adoption or guardianship	33. Child was placed from (adoption only)
185	Assistance Agreement Type	Revised	(h)(14) Assistance agreement type	35. Is the child receiving a monthly subsidy? (adoption data file) 37. Adoption assistance – IV-E (adoption data file)
186	Siblings in Adoptive or Guardianship Home	New	(h)(19) Siblings in adoptive or guardianship home	10. Primary Factor or Condition for Special Needs (adoption data file)

Appendix B – ICD-10 and DSM-5 Mappings of AFCARS Diagnosed Conditions

Element 24: Intellectual Disability

Out-of-Home Care Element 24: Intellectual Disability (b)(10)(i)

The child has or previously had significantly sub-average general cognitive and motor functioning existing concurrently with deficits in adaptive behavior manifested during the developmental period that adversely affect the child's socialization and learning.

For element 24, indicate whether the child currently has or previously had an intellectual disability. Adaptive behavior, or life skills, is the collection of conceptual, social, and practical skills that are learned and performed by people in their everyday lives. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children's physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 24.

ICD-10 Codes		DSM-5 Codes	
F70	Mild intellectual disabilities (I.Q. 50–69)	317	Mild intellectual disabilities
F71	Moderate intellectual disabilities (I.Q. 35–49)	318	Moderate intellectual disabilities
F72	Severe intellectual disabilities (I.Q. 20–34)	318.1	Severe intellectual disabilities
F73	Profound intellectual disabilities (I.Q. under 20)	318.2	Profound intellectual disabilities
F78	Other intellectual disabilities (specified level NEC)	319	Other intellectual disabilities
F79	Unspecified intellectual disabilities		

Element 25: Autism Spectrum Disorder

Out-of-Home Care Element 25: Autism Spectrum Disorder (b)(10)(ii)

The child has or previously had a neurodevelopment disorder, characterized by social impairments, communication difficulties, and restricted, repetitive, and stereotyped patterns of behavior. This includes the range of disorders from autistic disorder, sometimes called autism or classical autism spectrum disorder, to milder forms known as Asperger syndrome and pervasive developmental disorder not otherwise specified.

For element 25, indicate whether the child currently has or was previously diagnosed with autism spectrum disorder. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children’s physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 25.

ICD-10 Codes		DSM-5 Codes	
F84.0	Autistic Disorder	299	Autistic Disorder
F84.2	Rett Syndrome	330.8	Rett syndrome
F84.5	Asperger syndrome		
F84.8	Other pervasive developmental disorder		
F84.9	Pervasive developmental disorder, unspecified		
F80.82	Social pragmatic communication disorder	315.39	Social pragmatic communication disorder

Element 26: Visual Impairment and Blindness

Out-of-Home Care Element 26: Visual Impairment and Blindness (b)(10)(iii)

The child has or previously had a visual impairment such as blindness, amblyopia, or color blindness that may adversely affect the child’s day-to-day functioning or educational performance.

For element 26, indicate whether the child currently has or was previously diagnosed with a visual impairment or blindness. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children’s physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 26.

ICD-10 Codes		DSM-5 Codes	
H00- H59	Diseases of the eye and adnexa, especially:		
H54	Blindness and low vision	369	Blindness and low vision
H53	Visual disturbances	368.9	Visual disturbances
H33	Retinal detachment and defects	361	Retinal detachment and defects
H26.0	Infantile and juvenile cataract	366.00	Non-senile cataract NOS
Q10- Q15	Congenital malformations of the eye, especially:		
Q10	Congenital malformations of the eyelid, lacrimal apparatus, and orbit		

Q11	Anophthalmos, microphthalmos, and macropthalmos		
Q12	Congenital lens malformations		
Q13	Congenital malformations of anterior segment of the eye		
Q14	Congenital malformations of posterior segment of the eye		
Q15	Other congenital malformations of the eye		

Element 27: Hearing Impairment and Deafness

Out-of-Home Care Element 27: Hearing Impairment and Deafness (b)(10)(iv)

The child has or previously had an impairment in hearing, whether permanent or fluctuating, that adversely affects the child's day-to-day functioning and educational performance.

For element 27, indicate whether the child currently has or was previously diagnosed with a hearing impairment or deafness. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children's physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 27.

ICD-10 Codes		DSM-5 Codes	
H60-H95	Diseases of the ear and mastoid process, especially:		
H80	Otosclerosis		
H90	Conductive and sensorineural hearing loss	389.06	Conductive and sensorineural hearing loss
H91	Other and unspecified hearing loss	389.9	Unspecified hearing loss
Q16	Congenital malformations of the ear causing impairment of hearing		

Element 28: Orthopedic Impairment or Other Physical Condition

Out-of-Home Care Element 28: Orthopedic Impairment or Other Physical Condition (b)(10)(v)

The child has or previously had a physical deformity, such as amputations and fractures or burns that cause contractures, or an orthopedic impairment, including impairments caused by congenital anomalies or disease, such as cerebral palsy, spina bifida, multiple sclerosis, or muscular dystrophy.

For element 28, indicate whether the child currently has or was previously diagnosed with an orthopedic impairment or other physical condition. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children’s physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 28.

ICD-10 Codes		DSM-5 Codes	
S00-T88	Injury, poisoning, and certain other consequences of external causes: (dependent of extent and location of injury)		
M00-M99	Diseases of the musculoskeletal system and connective tissue	710-739	Diseases of the musculoskeletal system and connective tissue
Q65-79	Congenital malformations and deformities of the musculoskeletal system		
Exclusion examples			
Q67	Congenital facial asymmetry		
Q67.1	Congenital compression facies		
M26-27	Dentofacial anomalies and other disorders of the jaw		
M95.1	Cauliflower ear		
M95, M95.3	Acquired deformity of nose, neck		
T24	Burn and corrosion of lower limb, except ankle and foot		
T25	Burn and corrosion of ankle and foot (dependent on degree)		
Q67.2	Dolichocephaly		
Q67.3	Plagiocephaly		
Q67.4	Other congenital deformities of skull, face, and jaw		
Q69	Polydactyly		
Q70	Syndactyly		
Q75	Other congenital malformations of skull and face bones		
Q76.5	Cervical rib		
Q79	NEC includes abdominal wall defects		

Element 29: Mental/Emotional Disorders

Out-of-Home Care Element 29: Mental/Emotional Disorders (b)(10)(vi)

The child has or previously had one or more mood or personality disorders or conditions over a long period of time and to a marked degree, such as conduct disorder, oppositional defiant disorder, emotional disturbance, anxiety disorder, obsessive-compulsive disorder, or eating disorder.

For element 29, indicate whether the child has or previously had one or more diagnosable mental, behavioral, or emotional disorder. The disorders should include other specified and unspecified disorders, without corresponding psychosis. Examples of mental and emotional disorders that meet this definition include but are not limited to personality disorders, obsessive-compulsive disorder, disruptive disorders, trauma-related disorders, gender dysphoria, eating disorders, and anxiety disorders. Note that depressive disorders are categorized in element 31, serious mental disorders. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children’s physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 29.

ICD-10 Codes		DSM-5 Codes	
F42	Obsessive-compulsive disorder	300.3	Obsessive-compulsive disorders
F43.10	Post-traumatic stress disorder	313.89	Post-traumatic stress disorder
F94.1	Reactive attachment disorder	309.81	Reactive attachment disorder
F34.8	Disruptive mood dysregulation disorder	296.99	Disruptive mood dysregulation disorder
F50	Eating disorders	307.1	Anorexia nervosa
		307.51	Bulimia nervosa
F91.3	Oppositional defiant disorder	313.81	Oppositional defiant disorder
F91.2	Conduct disorder	312.81	Conduct disorder, early childhood onset
		312.82	Conduct disorder, adolescent onset
F41.1	Generalized anxiety disorder	300.02	Generalized anxiety disorder
F61.3	Borderline personality disorder	301.83	Borderline personality disorder
F64.1	Gender dysphoria in adolescents	302.85	Gender dysphoria in adolescents

Element 30: Attention Deficit Hyperactivity Disorder

Out-of-Home Care Element 30: Attention Deficit Hyperactivity Disorder (b)(10)(vii)

The child has or previously had a diagnosis of the neurobehavioral disorders of attention deficit/hyperactivity disorder (ADHD) or attention deficit disorder (ADD).

For element 30, indicate whether the child currently has or was previously diagnosed with ADHD or ADD. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children’s physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 30.

ICD-10 Codes		DSM-5 Codes	
F90.1	ADHD predominately hyperactive type	314.01	ADHD predominately hyperactive type
F90.2	ADHD predominately inattentive type	314.00	ADHD predominately inattentive type

Element 31: Serious Mental Disorders

Out-of-Home Care Element 31: Serious Mental Disorders (b)(10)(viii)

The child has or previously had a diagnosis of a serious mental disorder or illness, such as bipolar disorder, depression, psychotic disorders, or schizophrenia.

For element 31, indicate whether the child currently has or was previously diagnosed with a serious mental disorder or illness. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children’s physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 31.

ICD-10 Codes		DSM-5 Codes	
F20.9	Schizophrenia	295.90	Schizophrenia
F25.0	Schizoaffective disorder	295.70	Schizoaffective disorder
F21	Schizotypal personality disorder	301.22	Schizotypal personality disorder
F31	Bipolar disorder	296.4	Bipolar disorder, most recent episode manic
		296.5	Bipolar disorder, most recent episode depressed
F32- F33	Major depressive disorder	296.2	Major depressive disorder

Element 32: Developmental Delay

Out-of-Home Care Element 32: Developmental Delay (b)(10)(ix)

The child has been assessed by appropriate diagnostic instruments and procedures and is experiencing delays in one or more of the following areas: physical development or motor skills; cognitive development; communication, language, or speech development; social or emotional development; or adaptive development.

For element 32, indicate whether the child currently has or was previously diagnosed with a developmental delay. A child with a developmental delay would be expected to improve over time with proper interventions, in contrast with a developmental disability. If your agency utilizes ICD-10 and/or DSM-5 codes for recording children’s physical, behavioral, and mental health conditions, the below table offers guidance on mapping these values to AFCARS element 32.

ICD-10 Codes		DSM-5 Codes	
F80	Specific developmental disorders or speech and language	315.39	Specific developmental disorders or speech and language
F81	Specific developmental disorders of scholastic skills	315.9	Specific developmental disorders of scholastic skills
F82	Specific developmental disorder to motor function	315.4	Specific developmental disorder to motor function
F84.9	Pervasive developmental disorders		
Z55-65	Persons with potential health hazards related to socioeconomic and psychosocial circumstances		
E40-46	Malnutrition		

Element 33: Developmental Disability

Out-of-Home Care Element 33: Developmental Disability (b)(10)(x)

The child has or was previously diagnosed with a developmental disability as defined in the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (Pub. L. 106-402), section 102(8)). This means a severe, chronic disability of an individual that is attributable to a mental or physical impairment or combination of mental and physical impairments that manifests before the age of 22, is likely to continue indefinitely, and results in substantial functional limitations in three or more areas of major life activity. Areas of major life activity include self-care, receptive and expressive language, learning; mobility, self-direction, capacity for independent living, and economic self-sufficiency and reflect the individual's need for a combination and sequence of special, interdisciplinary, or generic services, individualized supports or other forms of assistance that are of lifelong or extended duration, and are individually planned and coordinated. If a child is given the diagnosis of “developmental disability,” do not indicate the individual conditions that form the basis of this diagnosis separately.

For element 33, indicate whether the child currently has or was previously diagnosed with a developmental disability. A developmental disability will persist for a lifetime and is due to permanent neurological changes. A child with a developmental disability can make progress with proper intervention, but progress will be limited. This definition contrasts with the definition of a developmental delay. Some conditions include developmental disability without intellectual disability, such as cerebral palsy and autism spectrum disorder. If

your agency utilizes ICD-10, the below table offers guidance on mapping these values to AFCARS element 33. Note that not all chromosome abnormalities include a developmental delay.

ICD-10 Codes	
Q90-99	Chromosomal abnormalities, not elsewhere classified
Q00-Q07	Congenital malformations of the nervous system

Element 34: Other Diagnosed Condition

Out-of-Home Care Element 31: Serious Mental Disorders (b)(10)(xi)

The child has or previously had a diagnosed condition or other health impairment other than those described above, which requires special medical care, such as asthma, diabetes, chronic illnesses, a diagnosis as HIV positive or AIDS, epilepsy, traumatic brain injury, other neurological disorders, speech/language impairment, learning disability, or substance abuse issues.

For element 34, indicate whether the child currently has or was previously diagnosed with any other condition requiring special care not covered in elements 24–33. Special medical care may include care beyond regular pediatric care. Special care could involve regular visits to specialists and monitoring through bloodwork, imaging, or other laboratory tests.

Appendix C – List of Federally Recognized Tribes with EPA identifier code

EPA Tribal Identifier Data Standard Updated 06/03/2021¹⁸

The list of Tribes is located in an Excel spreadsheet referred to on the EPA Tribal Identifier Data Webpage as “Tribe Entity Mapping”

The last three digits of the EPA Tribal identifier, as shown below, are used to report federally recognized Tribes in AFCARS 2020

Tribe	EPA Code	State
Agdaagux Tribe of King Cove	341	AK
Akiachak Native Community	343	AK
Akiak Native Community	344	AK
Alatna Village	347	AK
Algaaciq Native Village (St. Mary's)	349	AK
Allakaket Village	350	AK
Alutiiq Tribe of Old Harbor	495	AK
Angoon Community Association	354	AK
Anvik Village	356	AK
Arctic Village (See Native Village of Venetie Tribal Government)	357	AK
Asa'carsarmiut Tribe	358	AK
Atqasuk Village (Atkasook)	361	AK
Beaver Village	363	AK
Birch Creek Tribe	366	AK
Central Council of the Tlingit & Haida Indian Tribes	551	AK
Chalkyitsik Village	371	AK
Cheesh-Na Tribe	372	AK
Chevak Native Village	374	AK
Chickaloon Native Village	375	AK
Chignik Bay Tribal Council	376	AK
Chignik Lake Village	378	AK
Chilkat Indian Village (Klukwan)	379	AK
Chilkoot Indian Association (Haines)	380	AK
Chinik Eskimo Community (Golovin)	381	AK
Chuloonawick Native Village	384	AK
Circle Native Community	385	AK
Craig Tribal Association	388	AK
Curyung Tribal Council	390	AK
Douglas Indian Association	394	AK
Egegik Village	397	AK

¹⁸ EPA Tribal Identifier Data Standard <https://www.epa.gov/data-standards/tribal-identifier-data-standard>

Tribe	EPA Code	State
Eklutna Native Village	398	AK
Emmonak Village	402	AK
Evansville Village (aka Bettles Field)	403	AK
Galena Village (aka Loudon Village)	408	AK
Gulkana Village Council	413	AK
Healy Lake Village	415	AK
Holy Cross Tribe	416	AK
Hoonah Indian Association	417	AK
Hughes Village	419	AK
Huslia Village	420	AK
Hydaburg Cooperative Association	421	AK
Igiugig Village	422	AK
Inupiat Community of the Arctic Slope	424	AK
Iqumiut Traditional Council	425	AK
Ivanof Bay Tribe	426	AK
Kaguyak Village	427	AK
Kaktovik Village (aka Barter Island)	429	AK
Kasigluk Traditional Elders Council	435	AK
Kenaitze Indian Tribe	436	AK
Ketchikan Indian Corporation	437	AK
King Island Native Community	439	AK
King Salmon Tribe	440	AK
Klawock Cooperative Association	443	AK
Knik Tribe	445	AK
Kokhanok Village	447	AK
Koyukuk Native Village	452	AK
Levelock Village	457	AK
Lime Village	458	AK
Manley Hot Springs Village	460	AK
Manokotak Village	461	AK
McGrath Native Village	464	AK
Mentasta Traditional Council	466	AK
Metlakatla Indian Community, Annette Island Reserve	467	AK
Naknek Native Village	469	AK
Native Village of Afognak	340	AK
Native Village of Akhiok	342	AK
Native Village of Akutan	345	AK
Native Village of Aleknagik	348	AK
Native Village of Ambler	351	AK
Native Village of Atka	359	AK

Tribe	EPA Code	State
Native Village of Barrow Inupiat Traditional Government	362	AK
Native Village of Belkofski	364	AK
Native Village of Brevig Mission	367	AK
Native Village of Buckland	368	AK
Native Village of Cantwell	369	AK
Native Village of Chenega (aka Chanega)	370	AK
Native Village of Chignik Lagoon	377	AK
Native Village of Chitina	382	AK
Native Village of Chuathbaluk (Russian Mission, Kuskokwim)	383	AK
Native Village of Council	387	AK
Native Village of Deering	391	AK
Native Village of Diomedes (aka Inalik)	392	AK
Native Village of Eagle	395	AK
Native Village of Eek	396	AK
Native Village of Ekuk	399	AK
Native Village of Ekwok	400	AK
Native Village of Elim	401	AK
Native Village of Eyak (Cordova)	404	AK
Native Village of False Pass	405	AK
Native Village of Fort Yukon	406	AK
Native Village of Gakona	407	AK
Native Village of Gambell	409	AK
Native Village of Georgetown	410	AK
Native Village of Goodnews Bay	411	AK
Native Village of Hamilton	414	AK
Native Village of Hooper Bay	418	AK
Native Village of Kanatak	432	AK
Native Village of Karluk	433	AK
Native Village of Kiana	438	AK
Native Village of Kipnuk	441	AK
Native Village of Kivalina	442	AK
Native Village of Kluti Kaah (aka Copper Center)	444	AK
Native Village of Kobuk	446	AK
Native Village of Kongiganak	448	AK
Native Village of Kotzebue	450	AK
Native Village of Koyuk	451	AK
Native Village of Kwigillingok	454	AK
Native Village of Kwinhagak (aka Quinhagak)	455	AK
Native Village of Larsen Bay	456	AK
Native Village of Marshall (aka Fortuna Ledge)	462	AK

Tribe	EPA Code	State
Native Village of Mary's Igloo	463	AK
Native Village of Mekoryuk	465	AK
Native Village of Minto	468	AK
Native Village of Nanwalek (aka English Bay)	470	AK
Native Village of Napaimute	471	AK
Native Village of Napakiak	472	AK
Native Village of Napaskiak	473	AK
Native Village of Nelson Lagoon	474	AK
Native Village of Nightmute	480	AK
Native Village of Nikolski	482	AK
Native Village of Noatak	484	AK
Native Village of Nuiqsut (aka Nooiksut)	489	AK
Native Village of Nunam Iqua	492	AK
Native Village of Nunapitchuk	493	AK
Native Village of Ouzinkie	498	AK
Native Village of Paimiut	499	AK
Native Village of Perryville	502	AK
Native Village of Pilot Point	504	AK
Native Village of Point Hope	508	AK
Native Village of Point Lay	509	AK
Native Village of Port Graham	510	AK
Native Village of Port Heiden	511	AK
Native Village of Port Lions	512	AK
Native Village of Ruby	519	AK
Native Village of Saint Michael	521	AK
Native Village of Savoonga	524	AK
Native Village of Scammon Bay	526	AK
Native Village of Selawik	527	AK
Native Village of Shaktoolik	530	AK
Native Village of Shishmaref	531	AK
Native Village of Shungnak	532	AK
Native Village of Stevens	539	AK
Native Village of Tanacross	543	AK
Native Village of Tanana	544	AK
Native Village of Tatitlek	546	AK
Native Village of Tazlina	547	AK
Native Village of Teller	549	AK
Native Village of Tetlin	550	AK
Native Village of Tuntutuliak	554	AK
Native Village of Tununak	555	AK
Native Village of Tyonek	557	AK

Tribe	EPA Code	State
Native Village of Unalakleet	560	AK
Native Village of Unga	561	AK
Native Village of Venetie Tribal Government (Arctic Village and Village of Venetie)	563	AK
Native Village of Wales	565	AK
Native Village of White Mountain	566	AK
Nenana Native Association	475	AK
New Koliganek Village Council	476	AK
New Stuyahok Village	477	AK
Newhalen Village	478	AK
Newtok Village	479	AK
Nikolai Village	481	AK
Ninilchik Village	483	AK
Nome Eskimo Community	485	AK
Nondalton Village	486	AK
Noorvik Native Community	487	AK
Northway Village	488	AK
Nulato Village	490	AK
Nunakauyarmiut Tribe	491	AK
Organized Village of Grayling (aka Holikachuk)	412	AK
Organized Village of Kake	428	AK
Organized Village of Kasaan	434	AK
Organized Village of Kwethluk	453	AK
Organized Village of Saxman	525	AK
Orutsararmiut Traditional Native Council	496	AK
Oscarville Traditional Village	497	AK
Pauloff Harbor Village	500	AK
Pedro Bay Village	501	AK
Petersburg Indian Association	503	AK
Pilot Station Traditional Village	505	AK
Pitka's Point Traditional Council	506	AK
Platinum Traditional Village	507	AK
Portage Creek Village (aka Ohgsenakale)	513	AK
Pribilof Islands Aleut Communities of St. Paul & St. George Islands	514	AK
Qagan Tayagungin Tribe of Sand Point	515	AK
Qawalangin Tribe of Unalaska	516	AK
Rampart Village	517	AK
Saint George Island (See Pribilof Islands Aleut Communities of St. Paul & St. George Islands)	520	AK
Saint Paul Island (See Pribilof Islands Aleut Communities of St. Paul & St. George Islands)	522	AK

Tribe	EPA Code	State
Salamatof Tribe	523	AK
Seldovia Village Tribe	528	AK
Shageluk Native Village	529	AK
Sitka Tribe of Alaska	533	AK
Skagway Village	534	AK
South Naknek Village	537	AK
Stebbins Community Association	538	AK
Sun'aq Tribe of Kodiak	541	AK
Takotna Village	542	AK
Tangirnaq Native Village	545	AK
Telida Village	548	AK
Traditional Village of Togiak	552	AK
Tuluksak Native Community	553	AK
Twin Hills Village	556	AK
Ugashik Village	558	AK
Umkumiut Native Village	559	AK
Village of Alakanuk	346	AK
Village of Anaktuvuk Pass	352	AK
Village of Aniak	355	AK
Village of Atmautluak	360	AK
Village of Bill Moore's Slough	365	AK
Village of Chefornak	373	AK
Village of Clarks Point	386	AK
Village of Crooked Creek	389	AK
Village of Dot Lake	393	AK
Village of Iliamna	423	AK
Village of Kalskag	430	AK
Village of Kaltag	431	AK
Village of Kotlik	449	AK
Village of Lower Kalskag	459	AK
Village of Ohogamiut	494	AK
Village of Red Devil	518	AK
Village of Sleetmute	535	AK
Village of Solomon	536	AK
Village of Stony River	540	AK
Village of Venetie (See Native Village of Venetie Tribal Government)	562	AK
Village of Wainwright	564	AK
Wrangell Cooperative Association	567	AK
Yakutat Tlingit Tribe	568	AK
Yupiit of Andreafski	353	AK

Tribe	EPA Code	State
Poarch Band of Creeks	204	AL; FL
Ak-Chin Indian Community	003	AZ
Cocopah Tribe of Arizona	048	AZ
Fort McDowell Yavapai Nation, Arizona	090	AZ
Gila River Indian Community of the Gila River Indian Reservation, Arizona	093	AZ
Havasupai Tribe of the Havasupai Reservation, Arizona	100	AZ
Hopi Tribe of Arizona	104	AZ
Hualapai Indian Tribe of the Hualapai Indian Reservation, Arizona	107	AZ
Kaibab Band of Paiute Indians of the Kaibab Indian Reservation, Arizona	118	AZ
Pascua Yaqui Tribe of Arizona	193	AZ
Salt River Pima-Maricopa Indian Community of the Salt River Reservation, Arizona	252	AZ
San Carlos Apache Tribe of the San Carlos Reservation, Arizona	254	AZ
San Juan Southern Paiute Tribe of Arizona	255	AZ
Tohono O'odham Nation of Arizona	302	AZ
Tonto Apache Tribe of Arizona	305	AZ
White Mountain Apache Tribe of the Fort Apache Reservation, Arizona	324	AZ
Yavapai-Apache Nation of the Camp Verde Indian Reservation, Arizona	332	AZ
Yavapai-Prescott Indian Tribe	333	AZ
Colorado River Indian Tribes of the Colorado River Indian Reservation, Arizona and California	051	AZ; CA
Quechan Tribe of the Fort Yuma Indian Reservation, California & Arizona	232	AZ; CA
Fort Mojave Indian Tribe of Arizona, California & Nevada	091	AZ; CA; NV
Zuni Tribe of the Zuni Reservation, New Mexico	339	AZ; NM
Fort Sill Apache Tribe of Oklahoma	092	AZ; NM; OK
Navajo Nation, Arizona, New Mexico & Utah	171	AZ; NM; UT
Agua Caliente Band of Cahuilla Indians of the Agua Caliente Indian Reservation, California	002	CA
Alturas Indian Rancheria, California	006	CA
Augustine Band of Cahuilla Indians, California	011	CA
Bear River Band of the Rohnerville Rancheria, California	014	CA
Berry Creek Rancheria of Maidu Indians of California	015	CA

Tribe	EPA Code	State
Big Lagoon Rancheria, California	016	CA
Big Pine Paiute Tribe of the Owens Valley	017	CA
Big Sandy Rancheria of Western Mono Indians of California	018	CA
Big Valley Band of Pomo Indians of the Big Valley Rancheria, California	019	CA
Bishop Paiute Tribe	189	CA
Blue Lake Rancheria, California	021	CA
Bridgeport Indian Colony	022	CA
Buena Vista Rancheria of Me-Wuk Indians of California	023	CA
Cabazon Band of Mission Indians, California	025	CA
Cachil DeHe Band of Wintun Indians of the Colusa Indian Community of the Colusa Rancheria, California	026	CA
Cahto Tribe of the Laytonville Rancheria	029	CA
Cahuilla Band of Indians	028	CA
California Valley Miwok Tribe, California	030	CA
Campo Band of Diegueno Mission Indians of the Campo Indian Reservation, California	031	CA
Capitan Grande Band of Diegueno Mission Indians of California (Barona Group of Capitan Grande Band of Mission Indians of the Barona Reservation, California; Viejas (Baron Long) Group of Capitan Grande Band of Mission Indians of the Viejas Reservation, California)	032	CA
Cedarville Rancheria, California	035	CA
Chemehuevi Indian Tribe of the Chemehuevi Reservation, California	036	CA
Cher-Ae Heights Indian Community of the Trinidad Rancheria, California	037	CA
Chicken Ranch Rancheria of Me-Wuk Indians of California	042	CA
Cloverdale Rancheria of Pomo Indians of California	047	CA
Cold Springs Rancheria of Mono Indians of California	050	CA
Coyote Valley Band of Pomo Indians of California	068	CA
Dry Creek Rancheria Band of Pomo Indians, California	074	CA
Elem Indian Colony of Pomo Indians of the Sulphur Bank Rancheria, California	078	CA
Elk Valley Rancheria, California	079	CA
Enterprise Rancheria of Maidu Indians of California	081	CA
Ewiiapaayp Band of Kumeyaay Indians, California	082	CA
Federated Indians of Graton Rancheria, California	083	CA
Fort Bidwell Indian Community of the Fort Bidwell Reservation of California	087	CA
Fort Independence Indian Community of Paiute Indians of the Fort Independence Reservation, California	088	CA

Tribe	EPA Code	State
Greenville Rancheria	095	CA
Grindstone Indian Rancheria of Wintun-Wailaki Indians of California	096	CA
Guidiville Rancheria of California	097	CA
Habematolel Pomo of Upper Lake, California	098	CA
Hoopa Valley Tribe, California	103	CA
Hopland Band of Pomo Indians, California	105	CA
Iipay Nation of Santa Ysabel, California	108	CA
Inaja Band of Diegueno Mission Indians of the Inaja and Cosmit Reservation, California	109	CA
Ione Band of Miwok Indians of California	110	CA
Jackson Band of Miwuk Indians	113	CA
Jamul Indian Village of California	115	CA
Karuk Tribe	120	CA
Kashia Band of Pomo Indians of the Stewarts Point Rancheria, California	121	CA
Kletsel Dehe Band of Wintun Indians	064	CA
Koi Nation of Northern California	140	CA
La Jolla Band of Luiseno Indians, California	132	CA
La Posta Band of Diegueno Mission Indians of the La Posta Indian Reservation, California	133	CA
Lone Pine Paiute-Shoshone Tribe	191	CA
Los Coyotes Band of Cahuilla and Cupeno Indians, California	141	CA
Lytton Rancheria of California	147	CA
Manchester Band of Pomo Indians of the Manchester Rancheria, California	149	CA
Manzanita Band of Diegueno Mission Indians of the Manzanita Reservation, California	150	CA
Mechoopda Indian Tribe of Chico Rancheria, California	154	CA
Mesa Grande Band of Diegueno Mission Indians of the Mesa Grande Reservation, California	156	CA
Middletown Rancheria of Pomo Indians of California	160	CA
Mooretown Rancheria of Maidu Indians of California	166	CA
Morongoband of Mission Indians, California	167	CA
Northfork Rancheria of Mono Indians of California	176	CA
Pala Band of Mission Indians	192	CA
Paskenta Band of Nomlaki Indians of California	194	CA
Pauma Band of Luiseno Mission Indians of the Pauma & Yuima Reservation, California	196	CA
Pechanga Band of Luiseno Mission Indians of the Pechanga Reservation, California	198	CA

Tribe	EPA Code	State
Picayune Rancheria of Chukchansi Indians of California	201	CA
Pinoleville Pomo Nation, California	202	CA
Pit River Tribe, California (includes XL Ranch, Big Bend, Likely, Lookout, Montgomery Creek and Roaring Creek Rancherias)	203	CA
Potter Valley Tribe, California	209	CA
Quartz Valley Indian Community of the Quartz Valley Reservation of California	231	CA
Ramona Band of Cahuilla, California	235	CA
Redding Rancheria, California	238	CA
Redwood Valley or Little River Band of Pomo Indians of the Redwood Valley Rancheria California	239	CA
Resighini Rancheria, California	241	CA
Rincon Band of Luiseno Mission Indians of Rincon Reservation, California	242	CA
Robinson Rancheria	243	CA
Round Valley Indian Tribes, Round Valley Reservation, California	245	CA
San Manuel Band of Mission Indians, California	256	CA
San Pasqual Band of Diegueno Mission Indians of California	257	CA
Santa Rosa Band of Cahuilla Indians, California	259	CA
Santa Rosa Indian Community of the Santa Rosa Rancheria, California	258	CA
Santa Ynez Band of Chumash Mission Indians of the Santa Ynez Reservation, California	260	CA
Scotts Valley Band of Pomo Indians of California	264	CA
Sherwood Valley Rancheria of Pomo Indians of California	271	CA
Shingle Springs Band of Miwok Indians, Shingle Springs Rancheria (Verona Tract), California	272	CA
Soboba Band of Luiseno Indians, California	283	CA
Susanville Indian Rancheria, California	294	CA
Sycuan Band of the Kumeyaay Nation	296	CA
Table Mountain Rancheria	297	CA
Tejon Indian Tribe	298	CA
Tolowa Dee-ni' Nation	281	CA
Torres Martinez Desert Cahuilla Indians, California	306	CA
Tule River Indian Tribe of the Tule River Reservation, California	307	CA
Tuolumne Band of Me-Wuk Indians of the Tuolumne Rancheria of California	310	CA
Twenty-Nine Palms Band of Mission Indians of California	313	CA
United Auburn Indian Community of the Auburn Rancheria of California	314	CA

Tribe	EPA Code	State
Utu Utu Gwaitu Paiute Tribe of the Benton Paiute Reservation, California	320	CA
Wilton Rancheria, California	326	CA
Wiyot Tribe, California	329	CA
Yocha Dehe Wintun Nation, California	335	CA
Yurok Tribe of the Yurok Reservation, California	338	CA
Timbisha Shoshone Tribe	071	CA; NV
Washoe Tribe of Nevada & California (Carson Colony, Dresslerville Colony, Woodfords Community, Stewart Community & Washoe Ranches)	323	CA; NV
Southern Ute Indian Tribe of the Southern Ute Reservation, Colorado	285	CO
Ute Mountain Ute Tribe	319	CO; NM
Mashantucket Pequot Indian Tribe	151	CT
Mohegan Tribe of Indians of Connecticut	165	CT
Miccosukee Tribe of Indians	159	FL
Seminole Tribe of Florida	266	FL
Sac & Fox Tribe of the Mississippi in Iowa	246	IA
Omaha Tribe of Nebraska	181	IA; NE
Ponca Tribe of Nebraska	207	IA; NE
Winnebago Tribe of Nebraska	327	IA; NE
Kootenai Tribe of Idaho	131	ID
Nez Perce Tribe	172	ID
Shoshone-Bannock Tribes of the Fort Hall Reservation	276	ID
Coeur D'Alene Tribe	049	ID; MT
Shoshone-Paiute Tribes of the Duck Valley Reservation, Nevada	277	ID; NV
Pokagon Band of Potawatomi Indians, Michigan and Indiana	205	IN; MI
Kickapoo Tribe of Indians of the Kickapoo Reservation in Kansas	126	KS
Prairie Band Potawatomi Nation	210	KS
Iowa Tribe of Kansas and Nebraska	111	KS; NE
Sac & Fox Nation of Missouri in Kansas and Nebraska	247	KS; NE
Quapaw Nation	230	KS; OK
The Muscogee (Creek) Nation	169	KS; OK
Wyandotte Nation	330	KS; OK
Chitimacha Tribe of Louisiana	044	LA
Coushatta Tribe of Louisiana	065	LA
Jena Band of Choctaw Indians	116	LA
Tunica-Biloxi Indian Tribe	309	LA
Mashpee Wampanoag Tribe	152	MA
Wampanoag Tribe of Gay Head (Aquinnah)	322	MA
Aroostook Band of Micmacs	009	ME

Tribe	EPA Code	State
Houlton Band of Maliseet Indians	106	ME
Passamaquoddy Tribe	195	ME
Penobscot Nation	199	ME
Bay Mills Indian Community, Michigan	013	MI
Grand Traverse Band of Ottawa and Chippewa Indians, Michigan	094	MI
Hannahville Indian Community, Michigan	099	MI
Keweenaw Bay Indian Community, Michigan	124	MI
Lac Vieux Desert Band of Lake Superior Chippewa Indians of Michigan	136	MI
Little River Band of Ottawa Indians, Michigan	138	MI
Little Traverse Bay Bands of Odawa Indians, Michigan	139	MI
Match-e-be-nash-she-wish Band of Pottawatomi Indians of Michigan	153	MI
Nottawaseppi Huron Band of the Potawatomi, Michigan	178	MI
Saginaw Chippewa Indian Tribe of Michigan	249	MI
Sault Ste. Marie Tribe of Chippewa Indians, Michigan	263	MI
Lower Sioux Indian Community in the State of Minnesota	145	MN
Minnesota Chippewa Tribe, Minnesota (Six component reservations: Bois Forte Band (Nett Lake); Fond du Lac Band; Grand Portage Band; Leech Lake Band; Mille Lacs Band; White Earth Band)	161	MN
Prairie Island Indian Community in the State of Minnesota	211	MN
Red Lake Band of Chippewa Indians, Minnesota	237	MN
Shakopee Mdewakanton Sioux Community of Minnesota	269	MN
Upper Sioux Community, Minnesota	316	MN; WI
Eastern Shawnee Tribe of Oklahoma	077	MO; OK
Mississippi Band of Choctaw Indians	162	MS; TN
Assiniboine and Sioux Tribes of the Fort Peck Indian Reservation, Montana	010	MT
Blackfeet Tribe of the Blackfeet Indian Reservation of Montana	020	MT
Chippewa Cree Indians of the Rocky Boy's Reservation, Montana	043	MT
Confederated Salish and Kootenai Tribes of the Flathead Reservation	053	MT
Crow Tribe of Montana	069	MT
Fort Belknap Indian Community of the Fort Belknap Reservation of Montana	086	MT
Little Shell Tribe of Chippewa Indians of Montana	597	MT
Northern Cheyenne Tribe of the Northern Cheyenne Indian Reservation, Montana	175	MT
Turtle Mountain Band of Chippewa Indians of North Dakota	311	MT; ND; SD
Eastern Band of Cherokee Indians	076	NC

Tribe	EPA Code	State
Spirit Lake Tribe, North Dakota	286	ND
Three Affiliated Tribes of the Fort Berthold Reservation, North Dakota	301	ND
Sisseton-Wahpeton Oyate of the Lake Traverse Reservation, South Dakota	278	ND; SD
Standing Rock Sioux Tribe of North & South Dakota	289	ND; SD
Santee Sioux Nation, Nebraska	261	NE
Oglala Sioux Tribe	179	NE; SD
Jicarilla Apache Nation, New Mexico	117	NM
Kewa Pueblo, New Mexico	123	NM
Mescalero Apache Tribe of the Mescalero Reservation, New Mexico	157	NM
Ohkay Owingeh, New Mexico	180	NM
Pueblo of Acoma, New Mexico	212	NM
Pueblo of Cochiti, New Mexico	213	NM
Pueblo of Isleta, New Mexico	215	NM
Pueblo of Jemez, New Mexico	214	NM
Pueblo of Laguna, New Mexico	216	NM
Pueblo of Nambe, New Mexico	217	NM
Pueblo of Picuris, New Mexico	218	NM
Pueblo of Pojoaque, New Mexico	219	NM
Pueblo of San Felipe, New Mexico	220	NM
Pueblo of San Ildefonso, New Mexico	221	NM
Pueblo of Sandia, New Mexico	222	NM
Pueblo of Santa Ana, New Mexico	223	NM
Pueblo of Santa Clara, New Mexico	224	NM
Pueblo of Taos, New Mexico	225	NM
Pueblo of Tesuque, New Mexico	226	NM
Pueblo of Zia, New Mexico	227	NM
Duckwater Shoshone Tribe of the Duckwater Reservation, Nevada	075	NV
Ely Shoshone Tribe of Nevada	080	NV
Las Vegas Tribe of Paiute Indians of the Las Vegas Indian Colony, Nevada	137	NV
Lovelock Paiute Tribe of the Lovelock Indian Colony, Nevada	142	NV
Moapa Band of Paiute Indians of the Moapa River Indian Reservation, Nevada	163	NV
Paiute-Shoshone Tribe of the Fallon Reservation and Colony, Nevada	190	NV
Pyramid Lake Paiute Tribe of the Pyramid Lake Reservation, Nevada	229	NV
Reno-Sparks Indian Colony, Nevada	240	NV
Summit Lake Paiute Tribe of Nevada	292	NV

Tribe	EPA Code	State
Te-Moak Tribe of Western Shoshone Indians of Nevada (Four constituent bands: Battle Mountain Band; Elko Band; South Fork Band and Wells Band)	299	NV
Walker River Paiute Tribe of the Walker River Reservation, Nevada	321	NV
Winnemucca Indian Colony of Nevada	328	NV
Yerington Paiute Tribe of the Yerington Colony & Campbell Ranch, Nevada	334	NV
Yomba Shoshone Tribe of the Yomba Reservation, Nevada	336	NV
Fort McDermitt Paiute and Shoshone Tribes of the Fort McDermitt Indian Reservation, Nevada and Oregon	089	NV; OR
Confederated Tribes of the Goshute Reservation, Nevada and Utah	057	NV; UT
Cayuga Nation	034	NY
Oneida Indian Nation	182	NY
Onondaga Nation	184	NY
Saint Regis Mohawk Tribe	251	NY
Seneca Nation of Indians	267	NY
Shinnecock Indian Nation	273	NY
Tonawanda Band of Seneca	303	NY
Tuscarora Nation	312	NY
Absentee-Shawnee Tribe of Indians of Oklahoma	001	OK
Alabama-Quassarte Tribal Town	005	OK
Apache Tribe of Oklahoma	007	OK
Caddo Nation of Oklahoma	027	OK
Cherokee Nation	038	OK
Cheyenne and Arapaho Tribes, Oklahoma	039	OK
Citizen Potawatomi Nation, Oklahoma	046	OK
Comanche Nation, Oklahoma	052	OK
Delaware Nation, Oklahoma	072	OK
Delaware Tribe of Indians	073	OK
Iowa Tribe of Oklahoma	112	OK
Kaw Nation, Oklahoma	122	OK
Kialegee Tribal Town	125	OK
Kickapoo Tribe of Oklahoma	127	OK
Kiowa Indian Tribe of Oklahoma	129	OK
Miami Tribe of Oklahoma	158	OK
Modoc Nation	164	OK
Otoe-Missouria Tribe of Indians, Oklahoma	187	OK
Ottawa Tribe of Oklahoma	186	OK
Pawnee Nation of Oklahoma	197	OK
Peoria Tribe of Indians of Oklahoma	200	OK

Tribe	EPA Code	State
Ponca Tribe of Indians of Oklahoma	206	OK
Sac & Fox Nation, Oklahoma	248	OK
Seneca-Cayuga Nation	268	OK
Shawnee Tribe	270	OK
The Chickasaw Nation	041	OK
The Choctaw Nation of Oklahoma	045	OK
The Osage Nation	185	OK
The Seminole Nation of Oklahoma	265	OK
Thlopthlocco Tribal Town	300	OK
Tonkawa Tribe of Indians of Oklahoma	304	OK
United Keetoowah Band of Cherokee Indians in Oklahoma	315	OK
Wichita and Affiliated Tribes (Wichita, Keechi, Waco & Tawakonie), Oklahoma	325	OK
Burns Paiute Tribe	024	OR
Confederated Tribes of Siletz Indians of Oregon	059	OR
Confederated Tribes of the Coos, Lower Umpqua and Siuslaw Indians	056	OR
Confederated Tribes of the Grand Ronde Community of Oregon	058	OR
Confederated Tribes of the Umatilla Indian Reservation	060	OR
Confederated Tribes of the Warm Springs Reservation of Oregon	061	OR
Coquille Indian Tribe	063	OR
Cow Creek Band of Umpqua Tribe of Indians	066	OR
Klamath Tribes	130	OR
Narragansett Indian Tribe	170	RI
Catawba Indian Nation (aka Catawba Tribe of South Carolina)	033	SC
Cheyenne River Sioux Tribe of the Cheyenne River Reservation, South Dakota	040	SD
Crow Creek Sioux Tribe of the Crow Creek Reservation, South Dakota	070	SD
Flandreau Santee Sioux Tribe of South Dakota	084	SD
Lower Brule Sioux Tribe of the Lower Brule Reservation, South Dakota	143	SD
Rosebud Sioux Tribe of the Rosebud Indian Reservation, South Dakota	244	SD
Yankton Sioux Tribe of South Dakota	331	SD
Alabama-Coushatta Tribe of Texas	004	TX
Kickapoo Traditional Tribe of Texas	128	TX
Ysleta del Sur Pueblo	337	TX
Northwestern Band of the Shoshone Nation	177	UT
Paiute Indian Tribe of Utah (Cedar Band of Paiutes, Kanosh Band of Paiutes, Koosharem Band of Paiutes, Indian Peaks Band of Paiutes, and Shivwits Band of Paiutes)	188	UT

Tribe	EPA Code	State
Skull Valley Band of Goshute Indians of Utah	280	UT
Ute Indian Tribe of the Uintah & Ouray Reservation, Utah	318	UT
Chickahominy Indian Tribe	591	VA
Chickahominy Indian Tribe--Eastern Division	592	VA
Monacan Indian Nation	593	VA
Nansemond Indian Nation	594	VA
Pamunkey Indian Tribe	590	VA
Rappahannock Tribe, Inc.	595	VA
Upper Mattaponi Tribe	596	VA
Confederated Tribes and Bands of the Yakama Nation	062	WA
Confederated Tribes of the Chehalis Reservation	054	WA
Confederated Tribes of the Colville Reservation	055	WA
Cowlitz Indian Tribe	067	WA
Hoh Indian Tribe	102	WA
Jamestown S'Klallam Tribe	114	WA
Kalispel Indian Community of the Kalispel Reservation	119	WA
Lower Elwha Tribal Community	144	WA
Lummi Tribe of the Lummi Reservation	146	WA
Makah Indian Tribe of the Makah Indian Reservation	148	WA
Muckleshoot Indian Tribe	168	WA
Nisqually Indian Tribe	173	WA
Nooksack Indian Tribe	174	WA
Port Gamble S'Klallam Tribe	208	WA
Puyallup Tribe of the Puyallup Reservation	228	WA
Quileute Tribe of the Quileute Reservation	233	WA
Quinault Indian Nation	234	WA
Samish Indian Nation	253	WA
Sauk-Suiattle Indian Tribe	262	WA
Shoalwater Bay Indian Tribe of the Shoalwater Bay Indian Reservation	274	WA
Skokomish Indian Tribe	279	WA
Snoqualmie Indian Tribe	282	WA
Spokane Tribe of the Spokane Reservation	287	WA
Squaxin Island Tribe of the Squaxin Island Reservation	288	WA
Stillaguamish Tribe of Indians of Washington	291	WA
Suquamish Indian Tribe of the Port Madison Reservation	293	WA
Swinomish Indian Tribal Community	295	WA
Tulalip Tribes of Washington	308	WA
Upper Skagit Indian Tribe	317	WA
Bad River Band of the Lake Superior Tribe of Chippewa Indians of the Bad River Reservation, Wisconsin	012	WI

Tribe	EPA Code	State
Forest County Potawatomi Community, Wisconsin	085	WI
Ho-Chunk Nation of Wisconsin	101	WI
Lac Courte Oreilles Band of Lake Superior Chippewa Indians of Wisconsin	134	WI
Lac du Flambeau Band of Lake Superior Chippewa Indians of the Lac du Flambeau Reservation of Wisconsin	135	WI
Menominee Indian Tribe of Wisconsin	155	WI
Oneida Nation	183	WI
Red Cliff Band of Lake Superior Chippewa Indians of Wisconsin	236	WI
Sokaogon Chippewa Community, Wisconsin	284	WI
St. Croix Chippewa Indians of Wisconsin	250	WI
Stockbridge Munsee Community, Wisconsin	290	WI
Arapaho Tribe of the Wind River Reservation, Wyoming	008	WY
Eastern Shoshone Tribe of the Wind River Reservation, Wyoming	275	WY

Appendix D – List of ISO Country Codes

Updated 09/30/2020

The International Organization for Standardization (ISO) maintains the ISO 3166 standard codes for the representation of names of countries¹⁹.

English short name	Alpha-3 code
Afghanistan	AFG
Albania	ALB
Algeria	DZA
American Samoa	ASM
Andorra	AND
Angola	AGO
Anguilla	AIA
Antarctica	ATA
Antigua and Barbuda	ATG
Argentina	ARG
Armenia	ARM
Aruba	ABW
Australia	AUS
Austria	AUT
Azerbaijan	AZE
Bahamas (the)	BHS
Bahrain	BHR
Bangladesh	BGD
Barbados	BRB
Belarus	BLR
Belgium	BEL
Belize	BLZ
Benin	BEN
Bermuda	BMU
Åland Islands	ALA
Bhutan	BTN
Bolivia (Plurinational State of)	BOL
Bonaire, Sint Eustatius and Saba	BES
Bosnia and Herzegovina	BIH
Botswana	BWA
Bouvet Island	BVT
Brazil	BRA

¹⁹ ISO Online Browsing Platform <https://www.iso.org/obp/ui/#search>

English short name	Alpha-3 code
British Indian Ocean Territory (the)	IOT
Brunei Darussalam	BRN
Bulgaria	BGR
Burkina Faso	BFA
Burundi	BDI
Cabo Verde	CPV
Cambodia	KHM
Cameroon	CMR
Canada	CAN
Cayman Islands (the)	CYM
Central African Republic (the)	CAF
Chad	TCD
Chile	CHL
China	CHN
Christmas Island	CXR
Cocos (Keeling) Islands (the)	CCK
Colombia	COL
Comoros (the)	COM
Congo (the Democratic Republic of the)	COD
Congo (the)	COG
Cook Islands (the)	COK
Costa Rica	CRI
Croatia	HRV
Cuba	CUB
Curaçao	CUW
Cyprus	CYP
Czechia	CZE
Côte d'Ivoire	CIV
Denmark	DNK
Djibouti	DJI
Dominica	DMA
Dominican Republic (the)	DOM
Ecuador	ECU
Egypt	EGY
El Salvador	SLV
Equatorial Guinea	GNQ
Eritrea	ERI
Estonia	EST
Eswatini	SWZ
Ethiopia	ETH
Falkland Islands (the) [Malvinas]	FLK

English short name	Alpha-3 code
Faroe Islands (the)	FRO
Fiji	FJI
Finland	FIN
France	FRA
French Guiana	GUF
French Polynesia	PYF
French Southern Territories (the)	ATF
Gabon	GAB
Gambia (the)	GMB
Georgia	GEO
Germany	DEU
Ghana	GHA
Gibraltar	GIB
Greece	GRC
Greenland	GRL
Grenada	GRD
Guadeloupe	GLP
Guam	GUM
Guatemala	GTM
Guernsey	GGY
Guinea	GIN
Guinea-Bissau	GNB
Guyana	GUY
Haiti	HTI
Heard Island and McDonald Islands	HMD
Holy See (the)	VAT
Honduras	HND
Hong Kong	HKG
Hungary	HUN
Iceland	ISL
India	IND
Indonesia	IDN
Iran (Islamic Republic of)	IRN
Iraq	IRQ
Ireland	IRL
Isle of Man	IMN
Israel	ISR
Italy	ITA
Jamaica	JAM
Japan	JPN
Jersey	JEY

English short name	Alpha-3 code
Jordan	JOR
Kazakhstan	KAZ
Kenya	KEN
Kiribati	KIR
Korea (the Democratic People's Republic of)	PRK
Korea (the Republic of)	KOR
Kuwait	KWT
Kyrgyzstan	KGZ
Lao People's Democratic Republic (the)	LAO
Latvia	LVA
Lebanon	LBN
Lesotho	LSO
Liberia	LBR
Libya	LYB
Liechtenstein	LIE
Lithuania	LTU
Luxembourg	LUX
Macao	MAC
Madagascar	MDG
Malawi	MWI
Malaysia	MYS
Maldives	MDV
Mali	MLI
Malta	MLT
Marshall Islands (the)	MHL
Martinique	MTQ
Mauritania	MRT
Mauritius	MUS
Mayotte	MYT
Mexico	MEX
Micronesia (Federated States of)	FSM
Moldova (the Republic of)	MDA
Monaco	MCO
Mongolia	MNG
Montenegro	MNE
Montserrat	MSR
Morocco	MAR
Mozambique	MOZ
Myanmar	MMR
Namibia	NAM
Nauru	NRU

English short name	Alpha-3 code
Nepal	NPL
Netherlands (the)	NLD
New Caledonia	NCL
New Zealand	NZL
Nicaragua	NIC
Niger (the)	NER
Nigeria	NGA
Niue	NIU
Norfolk Island	NFK
North Macedonia	MKD
Northern Mariana Islands (the)	MNP
Norway	NOR
Oman	OMN
Pakistan	PAK
Palau	PLW
Palestine, State of	PSE
Panama	PAN
Papua New Guinea	PNG
Paraguay	PRY
Peru	PER
Philippines (the)	PHL
Pitcairn	PCN
Poland	POL
Portugal	PRT
Puerto Rico	PRI
Qatar	QAT
Romania	ROU
Russian Federation (the)	RUS
Rwanda	RWA
Réunion	REU
Saint Barthélemy	BLM
Saint Helena, Ascension and Tristan da Cunha	SHN
Saint Kitts and Nevis	KNA
Saint Lucia	LCA
Saint Martin (French part)	MAF
Saint Pierre and Miquelon	SPM
Saint Vincent and the Grenadines	VCT
Samoa	WSM
San Marino	SMR
Sao Tome and Principe	STP
Saudi Arabia	SAU

English short name	Alpha-3 code
Senegal	SEN
Serbia	SRB
Seychelles	SYC
Sierra Leone	SLE
Singapore	SGP
Sint Maarten (Dutch part)	SXM
Slovakia	SVK
Slovenia	SVN
Solomon Islands	SLB
Somalia	SOM
South Africa	ZAF
South Georgia and the South Sandwich Islands	SGS
South Sudan	SSD
Spain	ESP
Sri Lanka	LKA
Sudan (the)	SDN
Suriname	SUR
Svalbard and Jan Mayen	SJM
Sweden	SWE
Switzerland	CHE
Syrian Arab Republic (the)	SYR
Taiwan (Province of China)	TWN
Tajikistan	TJK
Tanzania, the United Republic of	TZA
Thailand	THA
Timor-Leste	TLS
Togo	TGO
Tokelau	TKL
Tonga	TON
Trinidad and Tobago	TTO
Tunisia	TUN
Turkey	TUR
Turkmenistan	TKM
Turks and Caicos Islands (the)	TCA
Tuvalu	TUV
Uganda	UGA
Ukraine	UKR
United Arab Emirates (the)	ARE
United Kingdom of Great Britain and Northern Ireland (the)	GBR
United States Minor Outlying Islands (the)	UMI
United States of America (the)	USA

English short name	Alpha-3 code
Uruguay	URY
Uzbekistan	UZB
Vanuatu	VUT
Venezuela (Bolivarian Republic of)	VEN
Viet Nam	VNM
Virgin Islands (British)	VGB
Virgin Islands (U.S.)	VIR
Wallis and Futuna	WLF
Western Sahara*	ESH
Yemen	YEM
Zambia	ZMB
Zimbabwe	ZWE

Appendix E – Summary of Revisions to Technical Bulletin #20

Version	Elements affected	Description
1.2	Introduction	Added section to clarify Section 1355.43(f) on record retention
1.1	Reporting Population Requirements for both files	Added clarification to who is included in the reporting population
1.1	Element 4 & A4: Child Record Number	Aligned the definition to meet requirements described in TB#21
1.1	Elements 63 & 64: TPR for First and Second Parents	Clarified that the status of voluntary or involuntary should be reported when the petition has been filed
1.1	Element 70: Transaction Date: Removal	Added language to define a tardy transaction compliance error
1.1	Element 103: Public Agency Title IV-E Agreement	Added clarifying language to describe and give examples for when to report this element
1.1	Element 104: Tribal Title IV-E Agreement	Added clarifying language to describe and give examples for when to report this element
1.1	Element 108: Prior Date of Sex Trafficking Report to Law Enforcement	Clarified that the agency should report multiple dates, if applicable
1.1	Element 110: Report to Law Enforcement for Current Victimization	Clarified language that the agency is the one that made the report
1.1	Element 111: Date of Sex Trafficking Report to Law Enforcement	Clarified that the agency should report multiple dates, if applicable
1.1	Element 112: Date of Living Arrangement	Added language about moves within a campus
1.1	Element 114-119: Foster Family Home Type	Added language and examples around reporting pre-adoptive homes
1.1	Element 120: Other Living Arrangement	Clarified usage of the Qualified Residential Treatment Program option
1.1	Element 148: Permanency Plan	Clarified guardianship can be with a relative or non-relative
1.1	Element 154: Transaction Date: Exit	Added language to define a tardy transaction compliance error
1.1	Element 155: Exit Reason	Added language to clarify the definition of reunify and when to use guardianship or live with other relatives
1.1	Element 186: Siblings in Adoptive or Guardianship Home	Clarified when to count siblings
1.2	Appendices	Added guidance on how to map ICD-10 and DSM-5 codes to AFCARS elements 24–34 as new Appendix B